



Business, Transportation, and Housing

The Business, Transportation and Housing Agency oversees and coordinates the activities of various departments, offices and economic development programs with responsibility for maintaining the strength and efficiency of California's infrastructure and financial markets. These programs provide financial and programmatic regulation important to an efficient marketplace and community development, assistance in ensuring patients' rights, and transportation infrastructure for the safe and efficient flow of people and commerce.

2100 Department of Alcoholic Beverage Control

The Department of Alcoholic Beverage Control is vested with the exclusive power to license and regulate persons and businesses engaged in the manufacture, importation, distribution and sale of alcoholic beverages in the State of California. The Department's mission is to administer the provisions of the Alcoholic Beverage Control Act in a manner that fosters and protects the health, safety, welfare, and economic well being of the people of California.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Administration of the Alcoholic Beverage Control Act	417.7	428.2	428.2	\$47,070	\$54,609	\$57,171
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	417.7	428.2	428.2	\$47,070	\$54,609	\$57,171
FUNDING				2009-10*	2010-11*	2011-12*
0890 Federal Trust Fund				\$529	\$1,404	\$1,362
0995 Reimbursements				1,895	1,047	1,047
3036 Alcohol Beverages Control Fund				44,646	52,158	54,762
TOTALS, EXPENDITURES, ALL FUNDS				\$47,070	\$54,609	\$57,171

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Article XX, Section 22 of the California Constitution and Division 9 of the Business and Professions Code.

PROGRAM AUTHORITY

Administration of the Alcoholic Beverage Control Act:

Article XX, Section 22 of the California Constitution, and Division 9 of the Business and Professions Code.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Control Section 3.60 Adjustment	\$-	\$766	-	\$-	\$766	-
• Health Care Adjustments	-	55	-	-	95	-
• 2011-12 Budget Cycle Adjustment for SWCAP	-	-	-	-	62	-
• Removal of 2010-11 Budget Cycle Adjustment for SWCAP	-	-	-	-	-104	-
• Control Section 3.91 Adjustment	-	-2,935	-	-	-371	-
• Control Section 3.90 Adjustment	-	-1,746	-17.0	-	-1,746	-17.0
Totals, Other Workload Budget Adjustments	\$-	-\$3,860	-17.0	\$-	-\$1,298	-17.0
Totals, Workload Budget Adjustments	\$-	-\$3,860	-17.0	\$-	-\$1,298	-17.0
Totals, Budget Adjustments	\$-	-\$3,860	-17.0	\$-	-\$1,298	-17.0

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF THE ALCOHOLIC BEVERAGE CONTROL ACT

This program conducts three major activities:

- Licensing, which ensures that only qualified persons and legitimate businesses are licensed to sell, manufacture, or otherwise deal in alcoholic beverages.
- Compliance, which ensures adherence to the alcoholic beverage control laws and regulations by all persons operating within the alcoholic beverage industry.
- Administration, which provides staff support and conducts administrative hearings.

* Dollars in thousands, except in Salary Range.

2100 Department of Alcoholic Beverage Control - Continued

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	ADMINISTRATION OF THE ALCOHOLIC BEVERAGE CONTROL ACT			
	State Operations:			
0890	Federal Trust Fund	\$529	\$1,404	\$1,362
0995	Reimbursements	1,895	1,047	1,047
3036	Alcohol Beverages Control Fund	42,647	49,158	51,762
	Totals, State Operations	\$45,071	\$51,609	\$54,171
	Local Assistance:			
3036	Alcohol Beverages Control Fund	\$1,999	\$3,000	\$3,000
	Totals, Local Assistance	\$1,999	\$3,000	\$3,000
	TOTALS, EXPENDITURES			
	State Operations	45,071	51,609	54,171
	Local Assistance	1,999	3,000	3,000
	Totals, Expenditures	\$47,070	\$54,609	\$57,171

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions/Personnel	Years		2009-10*	2010-11*	2011-12*
	2009-10	2010-11	2011-12			
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	417.7	460.2	460.2	\$23,221	\$29,274	\$29,825
Total Adjustments	-	-	-	-	-2,087	-
Estimated Salary Savings	-	-32.0	-32.0	-	-2,079	-2,096
Net Totals, Salaries and Wages	417.7	428.2	428.2	\$23,221	\$25,108	\$27,729
Staff Benefits	-	-	-	10,616	12,047	13,050
Totals, Personal Services	417.7	428.2	428.2	\$33,837	\$37,155	\$40,779
OPERATING EXPENSES AND EQUIPMENT				\$11,234	\$14,454	\$13,392
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$45,071	\$51,609	\$54,171

	2 Local Assistance		
	Expenditures		
	2009-10*	2010-11*	2011-12*
Local Law Enforcement Agency Grants	\$1,999	\$3,000	\$3,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,999	\$3,000	\$3,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2009-10*	2010-11*	2011-12*
0890 Federal Trust Fund				
APPROPRIATIONS				
001 Budget Act appropriation		\$1,307	\$1,404	\$1,362
Budget Adjustment		-778	-	-
TOTALS, EXPENDITURES		\$529	\$1,404	\$1,362
0995 Reimbursements				
APPROPRIATIONS				
Reimbursements		\$1,895	\$1,047	\$1,047

* Dollars in thousands, except in Salary Range.

2100 Department of Alcoholic Beverage Control - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
3036 Alcohol Beverages Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$52,969	\$53,018	\$51,762
Allocation for employee compensation	-	55	-
Adjustment per Section 3.60	-16	766	-
Reduction per Section 3.90	-4,723	-1,746	-
Reduction per Section 15.30	-210	-	-
Reduction per Control Section 3.91	-	-2,935	-
Adjustment per Section 3.55	-73	-	-
Totals Available	\$47,947	\$49,158	\$51,762
Unexpended balance, estimated savings	-5,300	-	-
TOTALS, EXPENDITURES	\$42,647	\$49,158	\$51,762
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$45,071	\$51,609	\$54,171
2 LOCAL ASSISTANCE			
2009-10* 2010-11* 2011-12*			
3036 Alcohol Beverages Control Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$3,000	\$3,000	\$3,000
Totals Available	\$3,000	\$3,000	\$3,000
Unexpended balance, estimated savings	-1,001	-	-
TOTALS, EXPENDITURES	\$1,999	\$3,000	\$3,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$1,999	\$3,000	\$3,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$47,070	\$54,609	\$57,171
FUND CONDITION STATEMENTS			
2009-10* 2010-11* 2011-12*			
3036 Alcohol Beverages Control Fund^s			
BEGINNING BALANCE	\$13,087	\$19,556	\$20,029
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
121000 Liquor License Fees	51,059	52,724	53,773
161000 Escheat of Unclaimed Checks & Warrants	84	58	58
161400 Miscellaneous Revenue	37	37	37
Total Revenues, Transfers, and Other Adjustments	\$51,180	\$52,819	\$53,868
Total Resources	\$64,267	\$72,375	\$73,897
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	65	157	105
2100 Department of Alcoholic Beverage Control			
State Operations	42,647	49,158	51,762
Local Assistance	1,999	3,000	3,000
8880 Financial Information System for California (State Operations)	-	31	227
Total Expenditures and Expenditure Adjustments	\$44,711	\$52,346	\$55,094
FUND BALANCE	\$19,556	\$20,029	\$18,803
Reserve for economic uncertainties	19,556	20,029	18,803

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
Totals, Authorized Positions	417.7	460.2	460.2	\$23,221	\$29,274	\$29,825

* Dollars in thousands, except in Salary Range.

2100 Department of Alcoholic Beverage Control - Continued

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Furlough Adjustments	-	-	-	-	-1,675	-
PLP Adjustments	-	-	-	-	-412	-
Total Adjustments	-	-	-	\$-	-\$2,087	\$-
TOTALS, SALARIES AND WAGES	417.7	460.2	460.2	\$23,221	\$27,187	\$29,825

2120 Alcoholic Beverage Control Appeals Board

The Alcoholic Beverage Control Appeals Board provides a forum of appeal to persons who are dissatisfied with the Department of Alcoholic Beverage Control's decisions to order penalties or issue, deny, condition, transfer, suspend, or revoke any alcoholic beverage license.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Administrative Review	7.1	8.3	8.3	\$748	\$968	\$1,029
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	7.1	8.3	8.3	\$748	\$968	\$1,029
FUNDING				2009-10*	2010-11*	2011-12*
0117 Alcoholic Beverage Control Appeals Fund				\$748	\$968	\$1,029
TOTALS, EXPENDITURES, ALL FUNDS				\$748	\$968	\$1,029

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Article XX, Section 22, of the California Constitution and Division 9, Business and Professions Code.

PROGRAM AUTHORITY

Division 9 of Business and Professions Code.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• 2011-12 Budget Cycle Adjustment for ProRata	\$-	\$-	-	\$-	\$54	-
• Control Section 3.60 Adjustment	-	13	-	-	13	-
• Health Care Adjustments	-	3	-	-	5	-
• Control Section 3.91 Adjustment	-	-49	-	-	-8	-
• Control Section 3.90 Adjustment	-	-31	-0.5	-	-31	-0.5
• Removal of 2010-11 Budget Cycle Adjustment for ProRata	-	-	-	-	-36	-
Totals, Other Workload Budget Adjustments	\$-	-\$64	-0.5	\$-	-\$3	-0.5
Totals, Workload Budget Adjustments	\$-	-\$64	-0.5	\$-	-\$3	-0.5
Totals, Budget Adjustments	\$-	-\$64	-0.5	\$-	-\$3	-0.5

PROGRAM DESCRIPTIONS

10 - ADMINISTRATIVE REVIEW

* Dollars in thousands, except in Salary Range.

2120 Alcoholic Beverage Control Appeals Board - Continued

This program provides an appeals process and issues decisions on appeals filed with the Alcoholic Beverage Control Appeals Board. These decisions are based on administrative hearings that involve license applications or alleged violations of the Alcoholic Beverage Control Act.

DETAILED EXPENDITURES BY PROGRAM

	2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS			
10 ADMINISTRATIVE REVIEW			
State Operations:			
0117 Alcoholic Beverage Control Appeals Fund	\$748	\$968	\$1,029
Totals, State Operations	\$748	\$968	\$1,029
TOTALS, EXPENDITURES			
State Operations	748	968	1,029
Totals, Expenditures	\$748	\$968	\$1,029

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions/Personnel Years					
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	7.1	8.8	8.8	\$357	\$512	\$516
Total Adjustments	-	-	-	-	-35	-
Estimated Salary Savings	-	-0.5	-0.5	-	-31	-31
Net Totals, Salaries and Wages	7.1	8.3	8.3	\$357	\$446	\$485
Staff Benefits	-	-	-	155	219	236
Totals, Personal Services	7.1	8.3	8.3	\$512	\$665	\$721
OPERATING EXPENSES AND EQUIPMENT						
				\$236	\$303	\$308
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$748	\$968	\$1,029

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0117 Alcoholic Beverage Control Appeals Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,038	\$1,032	\$1,029
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	1	13	-
Reduction per Section 3.90	-72	-31	-
Reduction per Control Section 3.91	-	-49	-
Adjustment per Section 3.55	-2	-	-
Totals Available	\$965	\$968	\$1,029
Unexpended balance, estimated savings	-217	-	-
TOTALS, EXPENDITURES	\$748	\$968	\$1,029
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$748	\$968	\$1,029

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0117 Alcoholic Beverage Control Appeals Fund [§]			
BEGINNING BALANCE	\$995	\$1,260	\$1,332
Prior year adjustments	-1	-	-

* Dollars in thousands, except in Salary Range.

2120 Alcoholic Beverage Control Appeals Board - Continued

	2009-10*	2010-11*	2011-12*
Adjusted Beginning Balance	\$994	\$1,260	\$1,332
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125600 Other Regulatory Fees	1,015	1,043	1,053
Total Revenues, Transfers, and Other Adjustments	<u>\$1,015</u>	<u>\$1,043</u>	<u>\$1,053</u>
Total Resources	\$2,009	\$2,303	\$2,385
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1	2	1
2120 Alcoholic Beverage Control Appeals Board (State Operations)	748	968	1,029
8880 Financial Information System for California (State Operations)	-	1	4
Total Expenditures and Expenditure Adjustments	<u>\$749</u>	<u>\$971</u>	<u>\$1,034</u>
FUND BALANCE	\$1,260	\$1,332	\$1,351
Reserve for economic uncertainties	1,260	1,332	1,351

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	7.1	8.8	8.8	\$357	\$512	\$516
Furlough Adjustments	-	-	-	-	-26	-
PLP Adjustments	-	-	-	-	-9	-
Total Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>-\$35</u>	<u>\$-</u>
TOTALS, SALARIES AND WAGES	7.1	8.8	8.8	\$357	\$477	\$516

2150 Department of Financial Institutions

The mission of the California Department of Financial Institutions is to promote the integrity and stability of California's financial services system through the regulation and supervision of financial institutions that are either required to be licensed by the state or that choose a state license.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Licensing and Supervision of Banks and Trust Companies	128.5	119.7	119.7	\$20,090	\$21,562	\$22,890
20 Money Transmitters	24.4	21.6	21.6	2,810	2,987	3,153
40 Administration of Local Agency Security	3.5	3.6	3.6	294	374	400
50 Supervision of California Business and Industrial Development Corporations	-	-	-	20	33	33
60 Credit Unions	53.0	50.4	50.4	5,672	6,988	7,464
70 Savings and Loan	-	-	-	69	104	104
80 Industrial Banks	5.8	7.2	7.2	439	951	1,010
90.01 Administration	50.1	45.0	47.7	5,774	6,153	6,747
90.02 Distributed Administration	-	-	-	-5,774	-6,153	-6,747
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	265.3	247.5	250.2	\$29,394	\$32,999	\$35,054
FUNDING				2009-10*	2010-11*	2011-12*
0240 Local Agency Deposit Security Fund				\$294	\$374	\$400
0298 Financial Institutions Fund				21,900	24,415	25,968
0299 Credit Union Fund				5,672	6,988	7,464

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

FUNDING	2009-10*	2010-11*	2011-12*
0995 Reimbursements	1,528	1,222	1,222
TOTALS, EXPENDITURES, ALL FUNDS	\$29,394	\$32,999	\$35,054

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Financial Code, Division 1, Chapter 2.

PROGRAM AUTHORITY

10-Licensing and Supervision of Banks and Trust Companies:

California Financial Code, Division 1 (Banking Law).

20-Money Transmitters:

California Financial Code, Division 1, Chapters 14, 14A; Division 16.

40-Administration of Local Agency Security:

Government Code Sections 53630-53686.

50-Supervision of California Business and Industrial Development Corporations:

California Financial Code, Division 15.

60-Credit Unions:

California Financial Code, Division 5.

70-Savings and Loan:

California Financial Code, Division 2.

80-Industrial Banks:

California Financial Code, Division 1, Sections 1400 through 1412; Division 7.

90-Administration:

California Financial Code, Division 1, Chapter 2.

MAJOR PROGRAM CHANGES

- The 2011-12 Governor's Budget proposes \$352,000 and three positions to handle increased consumer complaints and inquiries concerning financial institutions. The Governor's Budget also converts to permanent status five Financial Institutions Examiner positions that were provided on a limited-term basis in 2009-10, and that would otherwise expire at the end of 2010-11.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Control Section 3.60 Adjustment	\$-	\$499	-	\$-	\$499	-
• Various Baseline Adjustments	-	-	-	-	367	-
• Health Care Adjustment (Item 9800)	-	101	-	-	173	-
• Control Section 3.91 Adjustment	-	-1,730	-	-	-466	-
• Control Section 3.90 Adjustment	-	-1,041	-13.8	-	-1,041	-13.8
Totals, Other Workload Budget Adjustments	\$-	-\$2,171	-13.8	\$-	-\$468	-13.8
Totals, Workload Budget Adjustments	\$-	-\$2,171	-13.8	\$-	-\$468	-13.8
Policy Adjustments						

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
• Problem Licensees: Banking Examination and Consumer Services Impact	\$-	\$-	2.4	\$-	\$352	2.8
Totals, Policy Adjustments	\$-	\$-	2.4	\$-	\$352	2.8
Totals, Budget Adjustments	\$-	-\$2,171	-11.4	\$-	-\$116	-11.0

PROGRAM DESCRIPTIONS

10 - LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES

The objective of this program is to promote the integrity and stability of state-licensed banks and trust companies through the regulation and supervision of these institutions. Program activities include examinations at least once every two years to ensure that business is conducted in a safe and sound manner, and investigation of new bank and trust company applications.

20 - MONEY TRANSMITTERS

The objective of this program is to promote the integrity and stability of businesses selling payment instruments (money orders), traveler's checks, and those licensed to transmit money abroad, through the regulation and supervision of these institutions. Examinations are conducted at any time to ensure the licensees are complying with the provisions of the law and operating in a safe and sound manner. In order to protect the public, a thorough examination of each new applicant is conducted before the license is issued.

40 - ADMINISTRATION OF LOCAL AGENCY SECURITY

As the Administrator of the Local Agency Security Program, the Commissioner monitors the amount and quality of collateral pledged to secure deposits of public funds made by approximately 1,500 local agencies. The Commissioner also administers local agency security for banks, savings and loans, credit unions, and industrial banks as well as federally-chartered financial institutions.

50 - SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS

This program licenses and regulates non-fiduciary businesses and industrial development corporations. The program ensures that business is conducted in a financially sound manner through both periodic examinations and analyses of required reports.

60 - CREDIT UNIONS

The primary objective of this program is to promote the integrity and stability of credit unions through the regulation and supervision of these institutions, including examinations to ensure they are operating in a safe and sound manner and complying with the appropriate provisions of the Financial Code relating to their operation.

70 - SAVINGS AND LOAN

The Savings and Loan Program ensures that savings associations comply with applicable laws and regulations.

80 - INDUSTRIAL BANKS

The Industrial Banks Program administers and enforces the provisions of the Financial Code relating to industrial loan companies. This includes processing applications for new companies and conducting examinations to determine compliance with applicable laws and regulations.

90 - ADMINISTRATION

The Administration Program provides services essential for the administration of the Department and its programs, including executive, legal, legislative, policy, fiscal, business services, and information technology.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	LICENSING AND SUPERVISION OF BANKS AND TRUST COMPANIES			
	State Operations:			
0298	Financial Institutions Fund	\$18,562	\$20,340	\$21,668

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

	2009-10*	2010-11*	2011-12*
0995 Reimbursements	1,528	1,222	1,222
Totals, State Operations	\$20,090	\$21,562	\$22,890
PROGRAM REQUIREMENTS			
20 MONEY TRANSMITTERS			
State Operations:			
0298 Financial Institutions Fund	\$2,810	\$2,987	\$3,153
Totals, State Operations	\$2,810	\$2,987	\$3,153
PROGRAM REQUIREMENTS			
40 ADMINISTRATION OF LOCAL AGENCY SECURITY			
State Operations:			
0240 Local Agency Security Deposit Fund	\$294	\$374	\$400
Totals, State Operations	\$294	\$374	\$400
PROGRAM REQUIREMENTS			
50 SUPERVISION OF CALIFORNIA BUSINESS AND INDUSTRIAL DEVELOPMENT CORPORATIONS			
State Operations:			
0298 Financial Institutions Fund	\$20	\$33	\$33
Totals, State Operations	\$20	\$33	\$33
PROGRAM REQUIREMENTS			
60 CREDIT UNIONS			
State Operations:			
0299 Credit Union Fund	\$5,672	\$6,988	\$7,464
Totals, State Operations	\$5,672	\$6,988	\$7,464
PROGRAM REQUIREMENTS			
70 SAVINGS AND LOAN			
State Operations:			
0298 Financial Institutions Fund	\$69	\$104	\$104
Totals, State Operations	\$69	\$104	\$104
PROGRAM REQUIREMENTS			
80 INDUSTRIAL BANKS			
State Operations:			
0298 Financial Institutions Fund	\$439	\$951	\$1,010
Totals, State Operations	\$439	\$951	\$1,010
TOTALS, EXPENDITURES			
State Operations	29,394	32,999	35,054
Totals, Expenditures	\$29,394	\$32,999	\$35,054

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	265.3	265.0	265.0	\$16,690	\$18,792	\$19,333
Total Adjustments	-	10.0	13.0	-	-416	544
Estimated Salary Savings	-	-27.5	-27.8	-	-1,979	-2,012
Net Totals, Salaries and Wages	265.3	247.5	250.2	\$16,690	\$16,397	\$17,865
Staff Benefits	-	-	-	5,953	6,288	6,524
Totals, Personal Services	265.3	247.5	250.2	\$22,643	\$22,685	\$24,389
OPERATING EXPENSES AND EQUIPMENT						
				\$6,751	\$10,314	\$10,665

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$29,394	\$32,999	\$35,054

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0240 Local Agency Deposit Security Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$409	\$409	\$400
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	1	8	-
Reduction per Section 3.90	-45	-17	-
Reduction per Control Section 3.91	-	-28	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$364	\$374	\$400
Unexpended balance, estimated savings	-70	-	-
TOTALS, EXPENDITURES	\$294	\$374	\$400
0298 Financial Institutions Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$25,223	\$26,038	\$25,968
Allocation for employee compensation	-	76	-
Adjustment per Section 3.60	41	375	-
Reduction per Section 3.90	-2,182	-774	-
Reduction per Control Section 3.91	-	-1,300	-
Adjustment per Section 3.55	-33	-	-
Totals Available	\$23,049	\$24,415	\$25,968
Unexpended balance, estimated savings	-1,149	-	-
TOTALS, EXPENDITURES	\$21,900	\$24,415	\$25,968
0299 Credit Union Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$7,022	\$7,501	\$7,464
Allocation for employee compensation	-	23	-
Adjustment per Section 3.60	11	116	-
Reduction per Section 3.90	-617	-249	-
Reduction per Control Section 3.91	-	-403	-
Adjustment per Section 3.55	-10	-	-
Totals Available	\$6,406	\$6,988	\$7,464
Unexpended balance, estimated savings	-734	-	-
TOTALS, EXPENDITURES	\$5,672	\$6,988	\$7,464
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,528	\$1,222	\$1,222
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$29,394	\$32,999	\$35,054

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0240 Local Agency Deposit Security Fund ^s			
BEGINNING BALANCE	\$452	\$492	\$458

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

	2009-10*	2010-11*	2011-12*
Prior year adjustments	-6	-	-
Adjusted Beginning Balance	\$446	\$492	\$458
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
121200 Other Regulatory Taxes	315	315	315
150300 Income From Surplus Money Investments	4	5	5
164300 Penalty Assessments	21	20	20
Total Revenues, Transfers, and Other Adjustments	<u>\$340</u>	<u>\$340</u>	<u>\$340</u>
Total Resources	\$786	\$832	\$798
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	-	-	1
2150 Department of Financial Institutions (State Operations)	294	374	400
8880 Financial Information System for California (State Operations)	-	-	2
Total Expenditures and Expenditure Adjustments	<u>\$294</u>	<u>\$374</u>	<u>\$403</u>
FUND BALANCE	\$492	\$458	\$395
Reserve for economic uncertainties	492	458	395

0298 Financial Institutions Fund ^s

BEGINNING BALANCE	\$9,168	\$11,554	\$9,319
Prior year adjustments	-63	-	-
Adjusted Beginning Balance	\$9,105	\$11,554	\$9,319
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
121200 Other Regulatory Taxes	22,879	20,800	20,800
125700 Other Regulatory Licenses and Permits	141	153	153
150300 Income From Surplus Money Investments	98	95	95
161400 Miscellaneous Revenue	1,249	1,166	1,166
163000 Settlements/Judgments(not Anti-trust)	-	25	25
Total Revenues, Transfers, and Other Adjustments	<u>\$24,367</u>	<u>\$22,239</u>	<u>\$22,239</u>
Total Resources	\$33,472	\$33,793	\$31,558
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	18	44	35
2150 Department of Financial Institutions (State Operations)	21,900	24,415	25,968
8880 Financial Information System for California (State Operations)	-	15	108
Total Expenditures and Expenditure Adjustments	<u>\$21,918</u>	<u>\$24,474</u>	<u>\$26,111</u>
FUND BALANCE	\$11,554	\$9,319	\$5,447
Reserve for economic uncertainties	11,554	9,319	5,447

0299 Credit Union Fund ^s

BEGINNING BALANCE	\$2,588	\$2,846	\$1,998
Prior year adjustments	-208	-	-
Adjusted Beginning Balance	\$2,380	\$2,846	\$1,998
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
121200 Other Regulatory Taxes	6,089	6,089	6,089
125700 Other Regulatory Licenses and Permits	1	15	15
142500 Miscellaneous Services to the Public	-	2	2
150300 Income From Surplus Money Investments	53	50	50

* Dollars in thousands, except in Salary Range.

2150 Department of Financial Institutions - Continued

	2009-10*	2010-11*	2011-12*
Total Revenues, Transfers, and Other Adjustments	\$6,143	\$6,156	\$6,156
Total Resources	\$8,523	\$9,002	\$8,154
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	5	12	10
2150 Department of Financial Institutions (State Operations)	5,672	6,988	7,464
8880 Financial Information System for California (State Operations)	-	4	31
Total Expenditures and Expenditure Adjustments	\$5,677	\$7,004	\$7,505
FUND BALANCE			
Reserve for economic uncertainties	2,846	1,998	649

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	265.3	265.0	265.0	\$16,690	\$18,792	\$19,333
Furlough Adjustments	-	-	-	-	-591	-
PLP Adjustments	-	-	-	-	-526	-302
Salary Range						
Proposed New Positions:						
Banking Augmentation to Address Economic Deterioration:						
Senior Financial Institutions Examiner	-	10.0	10.0	5,561-7,097	701	701
Consumer Services Impact:						
Associate Governmental Program Analyst	-	-	2.0	4,400-5,348	-	111
Office Tech (Typing)	-	-	1.0	2,686-3,264	-	34
Totals Proposed New Positions	-	10.0	13.0	\$-	\$701	\$846
Total Adjustments	-	10.0	13.0	\$-	-\$416	\$544
TOTALS, SALARIES AND WAGES	265.3	275.0	278.0	\$16,690	\$18,376	\$19,877

2180 Department of Corporations

The Department of Corporations, under the direction of the California Corporations Commissioner, provides consumer and investor protections by regulating the conduct of a variety of businesses, including securities brokers and dealers, investment advisers and financial planners, and certain fiduciaries and lenders. The Department also oversees the offer and sale of securities, franchises and off-exchange commodities.

Given this responsibility, the Department has a three-part mission:

- Ensure an efficient and accessible financial services marketplace in California.
- Educate the public about the risks and rewards in investing and finances.
- Enforce California's financial services laws to protect the public from fraud.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Investment Program	136.9	160.0	160.0	\$15,726	\$21,194	\$24,337
20 Lender-Fiduciary Program	151.6	153.8	153.8	15,650	20,229	23,060
50.01 Administration	52.1	64.4	64.4	5,083	6,479	6,718
50.02 Distributed Administration	-52.1	-64.4	-64.4	-5,083	-6,479	-6,718
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	288.5	313.8	313.8	\$31,376	\$41,423	\$47,397

* Dollars in thousands, except in Salary Range.

2180 Department of Corporations - Continued

FUNDING	2009-10*	2010-11*	2011-12*
0067 State Corporations Fund	\$31,365	\$41,273	\$47,247
0942 Special Deposit Fund	11	-	-
0995 Reimbursements	-	150	150
TOTALS, EXPENDITURES, ALL FUNDS	\$31,376	\$41,423	\$47,397

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Corporations Code, Section 25600.

PROGRAM AUTHORITY

10-Investment Program:

Corporations Code Sections 25000-25707, 28000-28958, 29000-29201, 29500-29567, 31000-31516; Title 10, California Code of Regulations, Sections 250.1-250.70, 260.000-260.617, 280.100-280.700, 290.570-290.571, and 310.000-310.505.

20-Lender-Fiduciary Program:

Financial Code Sections 4050-4060, 4970-4979.8, 12000-12403, 17000-17702, 22000-22780, 23000-23106, 30000-30704, and 50000-50706; Title 10, California Code of Regulations, Sections 1400-1570, 1700-1769, 1770-1799, 1805.001-1805.213.1, 1950.122-1950.317, and 2020-2030.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Control Section 3.60 Adjustment	\$-	\$616	-	\$-	\$616	-
• Various Baseline Adjustments	-	-	-	-	476	-
• Health Care Adjustment (Item 9800)	-	123	-	-	210	-
• Control Section 3.91 Contracts and Administrative Reduction	-	-2,034	-	-	-503	-
• Control Section 3.90 Workforce Cap Reduction	-	-1,256	-12.0	-	-1,256	-12.0
Totals, Other Workload Budget Adjustments	\$-	-\$2,551	-12.0	\$-	-\$457	-12.0
Totals, Workload Budget Adjustments	\$-	-\$2,551	-12.0	\$-	-\$457	-12.0
Policy Adjustments						
• Information Technology Quality Network Replacement - FSR Year 3 of 3	\$-	\$-	-	\$-	\$3,879	-
Totals, Policy Adjustments	\$-	\$-	-	\$-	\$3,879	-
Totals, Budget Adjustments	\$-	-\$2,551	-12.0	\$-	\$3,422	-12.0

PROGRAM DESCRIPTIONS

10 - INVESTMENT PROGRAM

The Investment Program protects investors in securities and franchise investment transactions and promotes efficient capital formation in California. The program qualifies the offer and sale of certain securities, registers the sale of franchises, and licenses and examines broker-dealers and investment advisers.

20 - LENDER-FIDUCIARY PROGRAM

The Lender-Fiduciary Program protects the public when borrowing and entering into financial transactions with lenders and fiduciaries licensed by the Department. The program licenses and examines mortgage bankers, finance lenders, escrow companies, deferred deposit originators, bill payers and proraters.

50 - ADMINISTRATION

* Dollars in thousands, except in Salary Range.

2180 Department of Corporations - Continued

This program provides executive leadership and direction to the Department, including planning, policy development, budgeting, accounting, personnel, centralized support services, business and information technology services.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	INVESTMENT PROGRAM			
	State Operations:			
0067	State Corporations Fund	\$15,726	\$21,044	\$24,187
0995	Reimbursements	-	150	150
	Totals, State Operations	\$15,726	\$21,194	\$24,337
ELEMENT REQUIREMENTS				
10.10	Corporate Securities Law	\$8,921	\$13,671	\$15,571
	State Operations:			
0067	State Corporations Fund	8,921	13,521	15,421
0995	Reimbursements	-	150	150
10.15	Broker/Dealers	\$1,001	\$3,102	\$3,672
	State Operations:			
0067	State Corporations Fund	1,001	3,102	3,672
10.25	Investment Advisers	\$3,673	\$2,684	\$3,085
	State Operations:			
0067	State Corporations Fund	3,673	2,684	3,085
10.35	Agent Monitoring Law	\$213	\$251	\$294
	State Operations:			
0067	State Corporations Fund	213	251	294
10.65	Franchise Investment Law	\$1,918	\$1,486	\$1,715
	State Operations:			
0067	State Corporations Fund	1,918	1,486	1,715
PROGRAM REQUIREMENTS				
20	LENDER-FIDUCIARY PROGRAM			
	State Operations:			
0067	State Corporations Fund	\$15,650	\$20,229	\$23,060
	Totals, State Operations	\$15,650	\$20,229	\$23,060
ELEMENT REQUIREMENTS				
20.10	Check Sellers, Bill Payers and Proraters	\$127	\$20	\$21
	State Operations:			
0067	State Corporations Fund	127	20	21
20.20	Deferred Deposit Transaction Law	\$2,800	\$4,106	\$4,673
	State Operations:			
0067	State Corporations Fund	2,800	4,106	4,673
20.30	Escrow Law	\$4,595	\$5,566	\$6,312
	State Operations:			
0067	State Corporations Fund	4,595	5,566	6,312
20.85	California Mortgage Loan Originator	\$231	\$1,223	\$1,284
	State Operations:			
0067	State Corporations Fund	231	1,223	1,284
20.90	California Finance Lenders Law	\$5,008	\$6,057	\$7,041
	State Operations:			
0067	State Corporations Fund	5,008	6,057	7,041
20.95	Mortgage Bankers Law	\$2,889	\$3,257	\$3,729

* Dollars in thousands, except in Salary Range.

2180 Department of Corporations - Continued

	2009-10*	2010-11*	2011-12*
State Operations:			
0067 State Corporations Fund	2,889	3,257	3,729
TOTALS, EXPENDITURES			
State Operations	<u>31,376</u>	<u>41,423</u>	<u>47,397</u>
Totals, Expenditures	\$31,376	\$41,423	\$47,397

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	288.5	332.0	332.0	\$16,375	\$21,924	\$22,370
Total Adjustments	-	-12.0	-12.0	-	-2,215	-873
Estimated Salary Savings	<u>-</u>	<u>-6.2</u>	<u>-6.2</u>	<u>-</u>	<u>-547</u>	<u>-547</u>
Net Totals, Salaries and Wages	288.5	313.8	313.8	\$16,375	\$19,162	\$20,950
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>6,234</u>	<u>7,391</u>	<u>7,668</u>
Totals, Personal Services	288.5	313.8	313.8	\$22,609	\$26,553	\$28,618
OPERATING EXPENSES AND EQUIPMENT				<u>\$8,767</u>	<u>\$14,870</u>	<u>\$18,779</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$31,376	\$41,423	\$47,397

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0067 State Corporations Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$39,511	\$43,824	\$47,247
Allocation for employee compensation	-	123	-
Adjustment per Section 3.60	61	616	-
Reduction per Section 3.90	-3,389	-1,256	-
Reduction per Section 15.30	-9	-	-
Reduction per Control Section 3.91	-	-2,034	-
Adjustment per Section 3.55	-55	-	-
Transfer from Item 2180-001-0067 per Provision 1	360	-	-
011 Budget Act appropriation (Transfer to the General Fund)	<u>-</u>	<u>(20,000)</u>	<u>-</u>
Totals Available	\$36,479	\$41,273	\$47,247
Unexpended balance, estimated savings	<u>-5,114</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$31,365	\$41,273	\$47,247
0942 Special Deposit Fund			
APPROPRIATIONS			
Government Code Section 16370 (Conservatorship Account)	<u>\$11</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$11	\$-	\$-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>-</u>	<u>\$150</u>	<u>\$150</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$31,376	\$41,423	\$47,397

FUND CONDITION STATEMENTS

* Dollars in thousands, except in Salary Range.

2180 Department of Corporations - Continued

	2009-10*	2010-11*	2011-12*
0067 State Corporations Fund^s			
BEGINNING BALANCE	\$49,388	\$71,519	\$43,014
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	27,648	29,761	29,000
125800 Renewal Fees	1,032	928	1,000
141200 Sales of Documents	1	2	2
142500 Miscellaneous Services to the Public	11	13	10
150300 Income From Surplus Money Investments	343	390	500
161000 Escheat of Unclaimed Checks & Warrants	12	12	10
161900 Other Revenue - Cost Recoveries	10	51	10
163000 Settlements/Judgments(not Anti-trust)	24,162	1,500	1,500
164300 Penalty Assessments	306	205	200
Transfers and Other Adjustments:			
TO0001 To General Fund per Item 2180-011-0067, Budget Act of 2010	-	-20,000	-
Total Revenues, Transfers, and Other Adjustments	\$53,525	\$12,862	\$32,232
Total Resources	\$102,913	\$84,381	\$75,246
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	29	70	43
2180 Department of Corporations (State Operations)	31,365	41,273	47,247
8880 Financial Information System for California (State Operations)	-	24	-
Total Expenditures and Expenditure Adjustments	\$31,394	\$41,367	\$47,290
FUND BALANCE	\$71,519	\$43,014	\$27,956
Reserve for economic uncertainties	71,519	43,014	27,956

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	288.5	332.0	332.0	\$16,375	\$21,924	\$22,370
Furlough Adjustments	-	-	-	-	-711	-
PLP Adjustments	-	-	-	-	-631	-
Workload and Administrative Adjustments						
Workforce Reduction:						
Staff Counsel IV	-	-1.0	-1.0	-	-126	-126
Staff Counsel III (was SC IV)	-	-1.0	-1.0	-	-114	-114
Supervising Corporation Examiner	-	-1.0	-1.0	-	-94	-94
Systems Software Spec II (Sup), LT	-	-1.0	-1.0	-	-89	-89
Corp Examiner IV - Specialist	-	-1.0	-1.0	-	-85	-85
Accounting Administrator I (Supervisor)	-	-1.0	-1.0	-	-73	-73
Associate Personnel Analyst	-	-1.0	-1.0	-	-64	-64
Staff Services Analyst	-	-2.0	-2.0	-	-107	-107
Auditor I	-	-1.0	-1.0	-	-48	-48
Program Tech II	-	-1.0	-1.0	-	-39	-39
Office Assistant - Typing	-	-1.0	-1.0	-	-34	-34
Totals Workload and Administrative Adjustments	-	-12.0	-12.0	\$-	-\$2,215	-\$873
Total Adjustments	-	-12.0	-12.0	\$-	-\$2,215	-\$873
TOTALS, SALARIES AND WAGES	288.5	320.0	320.0	\$16,375	\$19,709	\$21,497

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development

The mission of the Department of Housing and Community Development (HCD) is to preserve and expand safe and affordable housing opportunities and promote strong communities for all Californians. The HCD: (1) administers housing finance, economic development and community development programs; (2) develops housing policy and advocates for an adequate housing supply; and (3) develops building codes and regulates manufactured homes and mobilehome parks. The HCD also provides technical and financial assistance to local agencies to support housing development.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Codes and Standards Program	191.0	203.1	203.1	\$20,919	\$23,577	\$25,913
20 Financial Assistance Program	200.7	232.0	227.4	636,760	702,101	230,886
30 Housing Policy Development Program	20.0	21.4	20.9	2,261	27,573	2,575
50.01 Administration Program	113.7	118.3	117.3	9,821	11,435	12,027
50.02 Distributed Administration Program	-	-	-	-9,821	-11,435	-12,027
69 Loan Repayments Program	-	-	-	-4,359	-7,245	-3,404
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	525.4	574.8	568.7	\$655,581	\$746,006	\$255,970
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$8,423	\$8,600	\$8,789
0115 Air Pollution Control Fund				-	55	98
0245 Mobilehome Park Revolving Fund				5,266	6,030	6,642
0530 Mobilehome Park Purchase Fund				3,410	6,168	6,453
0648 Mobilehome-Manufactured Home Revolving Fund				14,324	15,429	17,029
0714 Home Building and Rehabilitation Fund				252	550	550
0788 California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund				-	75	75
0813 Self - Help Housing Fund				7,680	55,131	1,937
0890 Federal Trust Fund				303,732	216,082	178,262
0927 Joe Serna, Jr. Farmworker Housing Grant Fund				8,747	31,743	6,306
0929 Housing Rehabilitation Loan Fund				25,923	214,402	11,406
0938 Rental Housing Construction Fund				4,514	6,496	6,218
0972 Manufactured Home Recovery Fund				150	507	518
0980 Predevelopment Loan Fund				1,382	2,742	1,017
0985 Emergency Housing and Assistance Fund				13,510	27,142	1,889
0995 Reimbursements				1,493	1,127	545
3006 Jobs-Housing Balance Improvement Account				345	200	-
3144 Building Standards Administration Special Revolving Fund				224	459	529
3165 Enterprise Zone Fund				-	1,080	1,161
6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund				12,718	34,147	736
6068 Affordable Housing Innovation Fund				16,599	78,657	861
6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006				191,559	11,733	2,558
6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006				595	26,004	1,068
9736 Transit-Oriented Development Implementation Fund				34,735	1,447	1,323
TOTALS, EXPENDITURES, ALL FUNDS				\$655,581	\$746,006	\$255,970

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 65580 et seq.; Health and Safety Code Sections 18000 et seq. and 50000 et seq.

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

MAJOR PROGRAM CHANGES

- The Budget proposes a \$99.0 million reduction in local assistance due to a pause in making bond awards for new housing projects.
- The Budget includes a shift of \$1.1 million from state operations to local assistance in the Community Development Block Grant Program to conform to federally allowable administrative costs, and an associated reduction of 10 positions.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Community Development Block Grant Adjustment	\$-	\$-	-	\$-	\$954	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$954	-
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	-\$178	-\$2,942	-	-\$22	-\$468	-
• Retirement Rate Adjustment	55	919	-	55	919	-
• Limited Term Positions/Expiring programs	-	-	-	-	-64,590	-
• One Time Cost Reductions	-	-	-	-	-42,526	-
• Full Year Cost of New/Expanded Programs	-	-	-	-	52	-
• Carryover/Reappropriation	-	68,000	-	-	-	-
• Miscellaneous Adjustments	-	202,675	-	33	-16,754	-
• Workforce Cap Adjustment	-152	-1,932	-18.0	-152	-1,932	-21.0
• Annual Housing Bond Adjustments	-	-	-	-	-160	-
Totals, Other Workload Budget Adjustments	-\$275	\$266,720	-18.0	-\$86	-\$125,459	-21.0
Totals, Workload Budget Adjustments	-\$275	\$266,720	-18.0	-\$86	-\$124,505	-21.0
Policy Adjustments						
• Suspend Local Assistance Bond Expenditures	\$-	\$-	-	\$-	-\$99,000	-
Totals, Policy Adjustments	\$-	\$-	-	\$-	-\$99,000	-
Totals, Budget Adjustments	-\$275	\$266,720	-18.0	-\$86	-\$223,505	-21.0

PROGRAM DESCRIPTIONS

10 - CODES AND STANDARDS PROGRAM

The objective of this program is to protect the public's health, safety, and general welfare in buildings and structures designed for human occupancy through the enforcement of the relevant provisions of the California Health and Safety Code, including the State Housing Law, Employee Housing Act, Mobilehome Parks Act, Special Occupancy Parks Act, California Factory-Built Housing Law, and the Mobilehome-Manufactured Housing Act of 1980. The program also enforces federal and state standards for the construction, safety, sales, and titling of manufactured homes, mobilehomes, and commercial modulars.

20 - FINANCIAL ASSISTANCE PROGRAM

The objectives of this program are to: (1) increase housing supply by providing loans and grants to develop and preserve affordable housing for lower-income households and (2) promote economic, community, and job development primarily by awarding state and federal housing funds, including funds authorized by the Housing and Emergency Shelter Trust Fund Act of 2006 (Proposition 1C). The program also promotes economic and job development through administration of the state Enterprise Zone Program and federal Community Development Block Grant Program.

30 - HOUSING POLICY DEVELOPMENT PROGRAM

The objective of this program is to help ensure an adequate supply of housing affordable to all income groups through formulation of housing policy, technical assistance and oversight for local housing plans, direct assistance to private and public housing providers, administration of planning and incentive grant programs and information sharing.

50 - ADMINISTRATION PROGRAM

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

This program provides: (1) effective executive leadership in designing, implementing, and communicating housing programs and policies; (2) fiduciary oversight and sound fiscal management through its audit, accounting, and budget functions; (3) development, review, and implementation of legislation affecting the Department; (4) housing-specific legal expertise; and (5) support services in the areas of personnel, information technology solutions, business services, and contract management.

69 - LOAN REPAYMENTS PROGRAM

This program displays the estimated repayments of loans made under the Department's Financial Assistance Program.

DETAILED EXPENDITURES BY PROGRAM

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS				
10	CODES AND STANDARDS PROGRAM			
State Operations:				
0001	General Fund	\$437	\$471	\$490
0245	Mobilehome Park Revolving Fund	5,266	6,030	6,642
0648	Mobilehome-Manufactured Home Revolving Fund	14,324	15,429	17,029
0890	Federal Trust Fund	108	250	250
0972	Manufactured Home Recovery Fund	145	257	268
0995	Reimbursements	410	431	455
3144	Building Standards Administrative Special Revolving Fund	224	459	529
Totals, State Operations		\$20,914	\$23,327	\$25,663
Local Assistance:				
0972	Manufactured Home Recovery Fund	\$5	\$250	\$250
Totals, Local Assistance		\$5	\$250	\$250
PROGRAM REQUIREMENTS				
20	FINANCIAL ASSISTANCE PROGRAM			
State Operations:				
0001	General Fund	\$1,036	\$1,186	\$1,261
0530	Mobilehome Park Purchase Fund	336	603	643
0714	Home Building and Rehabilitation Fund	230	300	300
0813	Self - Help Housing Fund	1,224	2,132	1,938
0890	Federal Trust Fund	8,976	11,943	11,355
0927	Joe Serna, Jr. Farmworker Housing Grant Fund	1,852	2,324	2,887
0929	Housing Rehabilitation Loan Fund	6,620	11,770	11,441
0938	Rental Housing Construction Fund	1,140	2,681	2,569
0980	Predevelopment Loan Fund	213	305	317
0985	Emergency Housing and Assistance Fund	1,455	1,092	1,889
0995	Reimbursements	1,083	696	90
3165	Enterprise Zone Fund	-	1,080	1,161
6038	Building Equity and Growth in Neighborhoods (BEGIN) Fund	473	1,147	736
6068	Affordable Housing Innovation Fund	324	657	861
6069	Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006	1,559	2,458	2,558
9736	Transit-Oriented Development Implementation Fund	735	1,447	1,323
Totals, State Operations		\$27,256	\$41,821	\$41,329
Local Assistance:				
0001	General Fund	\$5,629	\$5,629	\$5,629
0530	Mobilehome Park Purchase Fund	4,068	6,500	6,500
0714	Home Building and Rehabilitation Fund	22	250	250

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

		2009-10*	2010-11*	2011-12*
0788	California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund	-	75	75
0813	Self - Help Housing Fund	6,460	53,000	-
0890	Federal Trust Fund	294,648	203,889	166,657
0927	Joe Serna, Jr. Farmworker Housing Grant Fund	6,980	29,446	3,446
0929	Housing Rehabilitation Loan Fund	20,161	206,350	350
0938	Rental Housing Construction Fund	3,411	3,816	3,650
0980	Predevelopment Loan Fund	3,550	5,000	3,000
0985	Emergency Housing and Assistance Fund	12,055	26,050	-
6038	Building Equity and Growth in Neighborhoods (BEGIN) Fund	12,245	33,000	-
6068	Affordable Housing Innovation Fund	16,275	78,000	-
6069	Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006	190,000	9,275	-
9736	Transit-Oriented Development Implementation Fund	34,000	-	-
Totals, Local Assistance		\$609,504	\$660,280	\$189,557
PROGRAM REQUIREMENTS				
30 HOUSING POLICY DEVELOPMENT PROGRAM				
State Operations:				
0001	General Fund	\$1,321	\$1,314	\$1,409
0115	Air Pollution Control Fund	-	55	98
3006	Jobs-Housing Balance Improvement Account	345	200	-
6071	Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006	595	1,004	1,068
Totals, State Operations		\$2,261	\$2,573	\$2,575
Local Assistance:				
6071	Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006	-	25,000	-
Totals, Local Assistance		\$-	\$25,000	\$-
PROGRAM REQUIREMENTS				
69 LOAN REPAYMENTS PROGRAM				
Local Assistance:				
0530	Mobilehome Park Purchase Fund	-\$994	-\$935	-\$690
0813	Self - Help Housing Fund	-4	-1	-1
0927	Joe Serna Jr. Farmworker Housing Grant Fund	-85	-27	-27
0929	Housing Rehabilitation Loan Fund	-858	-3,718	-385
0938	Rental Housing Construction Fund	-37	-1	-1
0980	Predevelopment Loan Fund	-2,381	-2,563	-2,300
Totals, Local Assistance		-\$4,359	-\$7,245	-\$3,404
TOTALS, EXPENDITURES				
State Operations		50,431	67,721	69,567
Local Assistance		605,150	678,285	186,403
Totals, Expenditures		\$655,581	\$746,006	\$255,970

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions/Personnel Years	2009-10	2010-11	2011-12	2009-10*	2010-11*

PERSONAL SERVICES

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Authorized Positions (Equals Sch. 7A)	525.4	622.1	617.6	\$27,461	\$36,375	\$36,859
Total Adjustments	-	-17.0	-19.0	-	-3,240	-1,279
Estimated Salary Savings	-	-30.3	-29.9	-	-1,961	-1,956
Net Totals, Salaries and Wages	525.4	574.8	568.7	\$27,461	\$31,174	\$33,624
Staff Benefits	-	-	-	11,185	12,470	13,448
Totals, Personal Services	525.4	574.8	568.7	\$38,646	\$43,644	\$47,072
OPERATING EXPENSES AND EQUIPMENT				\$11,785	\$24,077	\$22,495
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$50,431	\$67,721	\$69,567

2 Local Assistance	Expenditures		
	2009-10*	2010-11*	2011-12*
Grants and Subventions	\$480,238	\$460,855	\$179,632
Loans	129,271	224,675	10,175
Special Adjustments-Loan Repayments	-4,359	-7,245	-3,404
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$605,150	\$678,285	\$186,403

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,788	\$3,246	\$3,160
Allocation for employee compensation	-	15	-
Adjustment per Section 3.60	5	55	-
Reduction per Section 3.90	-342	-152	-
Adjustment per Section 4.04	-36	-	-
Reduction per Section 15.30	-1	-	-
Reduction per Control Section 3.91	-	-193	-
Adjustment per Section 3.55	-2	-	-
011 Budget Act appropriation (Loan to the Enterprize Zone Fund)	-	(510)	-
Totals Available	\$3,412	\$2,971	\$3,160
Unexpended balance, estimated savings	-618	-	-
TOTALS, EXPENDITURES	\$2,794	\$2,971	\$3,160
0115 Air Pollution Control Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$54	\$98
Adjustment per Section 3.60	-	1	-
TOTALS, EXPENDITURES	\$-	\$55	\$98
0245 Mobilehome Park Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$6,422	\$6,312	\$6,642
Allocation for employee compensation	-	20	-
Adjustment per Section 3.60	7	107	-
Reduction per Section 3.90	-556	-	-
Reduction per Section 15.30	-43	-	-
Reduction per Control Section 3.91	-	-409	-

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Adjustment per Section 3.55	-1	-	-
Totals Available	\$5,829	\$6,030	\$6,642
Unexpended balance, estimated savings	-563	-	-
TOTALS, EXPENDITURES	\$5,266	\$6,030	\$6,642
0530 Mobilehome Park Purchase Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$601	\$620	\$643
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	1	11	-
Reduction per Section 3.90	-58	-	-
Reduction per Section 15.30	-4	-	-
Reduction per Control Section 3.91	-	-30	-
011 Budget Act appropriation (Loan to the Mobilehome Park Revolving Fund)	(900)	-	-
012 Budget Act appropriation (Loan to the Mobilehome Manufactured Home Revolving Fund)	(2,100)	-	-
Totals Available	\$540	\$603	\$643
Unexpended balance, estimated savings	-204	-	-
TOTALS, EXPENDITURES	\$336	\$603	\$643
0648 Mobilehome-Manufactured Home Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$17,398	-	-
Adjustment per Section 3.60	19	-	-
Reduction per Section 3.90	-1,647	-	-
Reduction per Section 15.30	-127	-	-
Adjustment per Section 3.55	-37	-	-
001 Budget Act appropriation	-	\$16,070	\$17,029
Allocation for employee compensation	-	65	-
Adjustment per Section 3.60	-	272	-
Reduction per Control Section 3.91	-	-978	-
Totals Available	\$15,606	\$15,429	\$17,029
Unexpended balance, estimated savings	-1,282	-	-
TOTALS, EXPENDITURES	\$14,324	\$15,429	\$17,029
0714 Home Building and Rehabilitation Fund			
APPROPRIATIONS			
Chapter 30 and 48, Statutes of 1988: Transfer from Local Assistance for Transfer to Rental Housing Construction Fund	\$125	\$210	\$210
Prior year balances available:			
Chapter 30 and 48, Statutes of 1988: Transfer from Local Assistance for Transfer to Housing Rehabilitation Loan Fund	105	90	90
TOTALS, EXPENDITURES	\$230	\$300	\$300
0813 Self - Help Housing Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$128	\$131	\$119
Adjustment per Section 3.60	-	3	-
Reduction per Section 3.90	-10	-	-
Reduction per Section 15.30	-1	-	-
Reduction per Control Section 3.91	-	-7	-
Health and Safety Code Section 50697.1 (CalHome Program)	52	64	65
Health and Safety Code Section 53533 (a)(5)(A)	338	468	364

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Health and Safety Code Section 50697.1 and 53545 (a)(1)(D) (CalHome Program and Self-Help Housing Program)	743	1,473	1,390
Totals Available	\$1,250	\$2,132	\$1,938
Unexpended balance, estimated savings	-26	-	-
TOTALS, EXPENDITURES	\$1,224	\$2,132	\$1,938
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$11,291	\$13,334	\$11,605
Allocation for employee compensation	-	33	-
Adjustment per Section 3.60	15	188	-
Reduction per Section 3.90	-1,189	-954	-
Reduction per Section 15.30	-92	-	-
Reduction per Control Section 3.91	-	-408	-
Adjustment per Section 3.55	-12	-	-
Adjustment per Control Section 8.55	275	-	-
Revised expenditure authority per Provision 2 of Item 2240-101-0890	812	-	-
Budget Adjustment	-2,016	-	-
TOTALS, EXPENDITURES	\$9,084	\$12,193	\$11,605
0927 Joe Serna, Jr. Farmworker Housing Grant Fund			
APPROPRIATIONS			
011 Budget Act appropriation (Transfer to the General Fund)	-	(\$965)	-
Health and Safety Code Section 50517.5	\$347	480	\$492
Health and Safety Code Section 53533 (a)(4)(A)	596	211	406
Health and Safety Code Section 50517.5 and 53545 (a)(1)(C) (Joe Serna, Jr. Farmworker Housing Program)	909	1,633	1,989
TOTALS, EXPENDITURES	\$1,852	\$2,324	\$2,887
0929 Housing Rehabilitation Loan Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,467	\$2,326	\$2,762
Allocation for employee compensation	-	5	-
Adjustment per Section 3.60	2	39	-
Reduction per Section 3.90	-130	-	-
Reduction per Section 15.30	-10	-	-
Reduction per Control Section 3.91	-	-86	-
Adjustment per Section 3.55	-1	-	-
Health and Safety Code Section 50661 (Multi-Family Housing Program)	436	856	3,074
Health and Safety Code Section 50661 (Monitoring and Management)	1,061	1,419	1,340
Health and Safety Code Section 50661	105	90	90
Health and Safety Code Section 53533 (1)(A)	1,818	3,725	356
Health and Safety Code Section 50661 and 53545 (a)(1)(A) and (B) Multifamily Housing Program and Homeless Youth Program and Supportive Housing)	2,051	3,486	3,909
Totals Available	\$7,799	\$11,860	\$11,531
Unexpended balance, estimated savings	-1,074	-	-
TOTALS, EXPENDITURES	\$6,725	\$11,860	\$11,531
Less funding provided by the Home Building and Rehabilitation Fund	-105	-90	-90
NET TOTALS, EXPENDITURES	\$6,620	\$11,770	\$11,441
0938 Rental Housing Construction Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$977	\$1,115	\$1,176
Allocation for employee compensation	-	2	-

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Adjustment per Section 3.60	1	18	-
Reduction per Section 3.90	-87	-	-
Reduction per Section 15.30	-7	-	-
Reduction per Control Section 3.91	-	-45	-
Health and Safety Code Section 50740 (RHCP Original)	390	1,591	1,393
Health and Safety Code Section 50740 (RHCP Rental Housing Construction Program)	79	110	110
Health and Safety Code Section 50740	<u>45</u>	<u>100</u>	<u>100</u>
Totals Available	\$1,398	\$2,891	\$2,779
Unexpended balance, estimated savings	<u>-133</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,265	\$2,891	\$2,779
Less funding provided by the Home Building and Rehabilitation Loan Fund	<u>-125</u>	<u>-210</u>	<u>-210</u>
NET TOTALS, EXPENDITURES	\$1,140	\$2,681	\$2,569
0972 Manufactured Home Recovery Fund			
APPROPRIATIONS			
Health and Safety Code Section 18070.6 (Claims Against Dealers or Salespersons)	<u>\$145</u>	<u>\$257</u>	<u>\$268</u>
TOTALS, EXPENDITURES	\$145	\$257	\$268
0980 Predevelopment Loan Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$317	\$310	\$317
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	5	-
Reduction per Section 3.90	-24	-	-
Reduction per Section 15.30	-2	-	-
Reduction per Control Section 3.91	<u>-</u>	<u>-11</u>	<u>-</u>
Totals Available	\$291	\$305	\$317
Unexpended balance, estimated savings	<u>-78</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$213	\$305	\$317
0985 Emergency Housing and Assistance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$473	-	\$162
Reduction per Section 3.90	-20	-	-
Reduction per Section 15.30	-2	-	-
Health and Safety Code Section 50800.5	-	\$81	-
Health and Safety Code Section 53533 (a)(5)(A)	565	77	1,161
Health and Safety Code Section 53545 (a)(1)(H)	<u>559</u>	<u>934</u>	<u>566</u>
Totals Available	\$1,575	\$1,092	\$1,889
Unexpended balance, estimated savings	<u>-120</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,455	\$1,092	\$1,889
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,493	\$1,127	\$545
3006 Jobs-Housing Balance Improvement Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$440	\$294	-
Adjustment per Section 3.60	1	-	-
Reduction per Section 3.90	-42	-94	-
Reduction per Section 15.30	<u>-3</u>	<u>-</u>	<u>-</u>
Totals Available	\$396	\$200	\$-
Unexpended balance, estimated savings	<u>-51</u>	<u>-</u>	<u>-</u>

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

1 STATE OPERATIONS	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
TOTALS, EXPENDITURES	\$345	\$200	\$-
3144 Building Standards Administration Special Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$280	\$476	\$529
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	8	-
Reduction per Section 3.90	-28	-	-
Reduction per Section 15.30	-2	-	-
Reduction per Control Section 3.91	-	-26	-
Totals Available	\$250	\$459	\$529
Unexpended balance, estimated savings	-26	-	-
TOTALS, EXPENDITURES	\$224	\$459	\$529
3165 Enterprise Zone Fund			
APPROPRIATIONS			
001 Budget Act appropriation	-	\$1,120	\$1,161
Allocation for employee compensation	-	4	-
Adjustment per Section 3.60	-	19	-
Reduction per Control Section 3.91	-	-63	-
TOTALS, EXPENDITURES	\$-	\$1,080	\$1,161
6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$329	\$282	\$295
Adjustment per Section 3.60	-	3	-
Reduction per Section 3.90	-18	-	-
Reduction per Section 15.30	-1	-	-
Reduction per Control Section 3.91	-	-12	-
002 Budget Act appropriation	1,039	890	441
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	1	5	-
Reduction per Section 3.90	-51	-	-
Reduction per Section 15.30	-4	-	-
Reduction per Control Section 3.91	-	-22	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$1,294	\$1,147	\$736
Unexpended balance, estimated savings	-821	-	-
TOTALS, EXPENDITURES	\$473	\$1,147	\$736
6068 Affordable Housing Innovation Fund			
APPROPRIATIONS			
Prior year balances available:			
Health and Safety Code Section 53545.9 Affordable Housing Innovation Fund (Prop 1 C) as reappropriated per 2240-490, Budget Act of 2010	\$4,728	\$4,322	\$3,622
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	1	9	-
Reduction per Section 3.90	-77	-	-
Reduction per Section 15.30	-6	-	-
Reduction per Control Section 3.91	-	-55	-
Totals Available	\$4,646	\$4,279	\$3,622
Unexpended balance, estimated savings	-	-	-2,761
Balance available in subsequent years	-4,322	-3,622	-

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

1 STATE OPERATIONS	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
TOTALS, EXPENDITURES	\$324	\$657	\$861
6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,555	\$2,550	\$2,558
Allocation for employee compensation	-	7	-
Adjustment per Section 3.60	3	29	-
Reduction per Section 3.90	-239	-	-
Reduction per Section 15.30	-19	-	-
Reduction per Control Section 3.91	-	-128	-
Adjustment per Section 3.55	-2	-	-
Totals Available	\$2,298	\$2,458	\$2,558
Unexpended balance, estimated savings	-739	-	-
TOTALS, EXPENDITURES	\$1,559	\$2,458	\$2,558
6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$908	\$1,063	\$1,068
Adjustment per Section 3.60	1	18	-
Reduction per Section 3.90	-74	-	-
Reduction per Section 15.30	-6	-	-
Reduction per Control Section 3.91	-	-77	-
Adjustment per Section 3.55	-1	-	-
Prior year balances available:			
Chapter 641, Statutes of 2008	397	397	397
Totals Available	\$1,225	\$1,401	\$1,465
Unexpended balance, estimated savings	-233	-	-397
Balance available in subsequent years	-397	-397	-
TOTALS, EXPENDITURES	\$595	\$1,004	\$1,068
9736 Transit-Oriented Development Implementation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,542	\$1,499	\$1,323
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	1	6	-
Reduction per Section 3.90	-94	-	-
Reduction per Section 15.30	-7	-	-
Reduction per Control Section 3.91	-	-61	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$1,441	\$1,447	\$1,323
Unexpended balance, estimated savings	-706	-	-
TOTALS, EXPENDITURES	\$735	\$1,447	\$1,323
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$50,431	\$67,721	\$69,567
2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
0001 General Fund			
APPROPRIATIONS			
101 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$5,629	-	-
101 Budget Act appropriation	-	\$5,629	\$5,629

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
TOTALS, EXPENDITURES	\$5,629	\$5,629	\$5,629
0530 Mobilehome Park Purchase Fund			
APPROPRIATIONS			
Health and Safety Code Section 50782	\$4,068	\$6,500	\$6,500
TOTALS, EXPENDITURES	\$4,068	\$6,500	\$6,500
Loan repayments from local agencies	-994	-935	-690
NET TOTALS, EXPENDITURES	\$3,074	\$5,565	\$5,810
0714 Home Building and Rehabilitation Fund			
APPROPRIATIONS			
Prior year balances available:			
Chapters 30 and 48, Statutes of 1988 (Proposition 84, for transfer to various funds)	\$8,882	\$8,631	\$8,081
Transfer to State Operations	-229	-300	-
Chapters 30 and 48, Statutes of 1988 (Proposition 107, for transfer to various funds)	19,905	19,905	19,905
Chapters 30 and 48, Statutes of 1988 (Proposition 107, for transfer to various funds)	-	-	-300
Totals Available	\$28,558	\$28,236	\$27,686
Balance available in subsequent years	-28,536	-27,986	-27,436
TOTALS, EXPENDITURES	\$22	\$250	\$250
0788 California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund			
APPROPRIATIONS			
Prior year balances available:			
Chapter 27, Statutes of 1988 (transfer to Housing Rehabilitation Loan Fund)	\$6,675	\$6,675	\$6,600
Totals Available	\$6,675	\$6,675	\$6,600
Balance available in subsequent years	-6,675	-6,600	-6,525
TOTALS, EXPENDITURES	\$-	\$75	\$75
0813 Self - Help Housing Fund			
APPROPRIATIONS			
Health and Safety Code Section 53545 (a) (1)(D) CalHome Program (Prop 1C)	\$5,820	\$50,000	-
Health and Safety Code Section 53545 (a) (1) (D) Self-Help Housing Program	640	3,000	-
TOTALS, EXPENDITURES	\$6,460	\$53,000	\$-
Loan repayments from local agencies	-4	-1	-\$1
NET TOTALS, EXPENDITURES	\$6,456	\$52,999	-\$1
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$221,876	-	-
Revised expenditure authority per Provision 2	-812	-	-
Budget Adjustment	-62,325	-	-
101 Budget Act appropriation	-	\$203,889	\$166,657
Prior year balances available:			
Item 2240-101-0890, Budget Act of 2008	207,638	-	-
Budget Adjustment	-71,729	-	-
TOTALS, EXPENDITURES	\$294,648	\$203,889	\$166,657
0927 Joe Serna, Jr. Farmworker Housing Grant Fund			
APPROPRIATIONS			
Health and Safety Code Section 50517.1	\$3,578	\$3,446	\$3,446
Health and Safety Code Section 50517.5 and 53545 (a)(1)(C) Joe Serna, Jr. Farmworker Housing Program	3,402	26,000	-
TOTALS, EXPENDITURES	\$6,980	\$29,446	\$3,446
Loan repayments from local agencies	-85	-27	-27

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

2 LOCAL ASSISTANCE	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
NET TOTALS, EXPENDITURES	\$6,895	\$29,419	\$3,419
0929 Housing Rehabilitation Loan Fund			
APPROPRIATIONS			
Health and Safety Code Section 50661 (Default Reserve)	\$91	\$350	\$350
Health and Safety Code Section 50661 (Default Payment Loan Program)	-	75	75
Health and Safety Code Section 50661 (Supportive Housing Program)	750	-	-
Health and Safety Code Section 53545 (a)(1)(H)	13,412	4,000	-
Health and Safety Code Section (a)(3)(A) Supportive Housing	-	12,000	-
Health and Safety Code Section 50661 and 53545 (a)(1)(A)(i) Multifamily Housing Program	-	110,000	-
Health and Safety Code Section 50661 and 53545 (a)(1)(B) Multifamily Housing Program-Supportive Housing (Prop 1C)	5,908	51,000	-
Health and Safety Code Section 50661 and 53545 (a)(1)(B) Multifamily Housing Program-Homeless Youth Housing (Prop 1C)	-	29,000	-
TOTALS, EXPENDITURES	\$20,161	\$206,425	\$425
Less funding provided by California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund	-	-75	-75
Loan repayments from local agencies	-858	-3,718	-385
NET TOTALS, EXPENDITURES	\$19,303	\$202,632	-\$35
0938 Rental Housing Construction Fund			
APPROPRIATIONS			
Health and Safety Code Section 50771.10 (Default Reserve Account)	\$22	\$250	\$250
Health and Safety Code Section 50740 (RHCP Original)	3,411	3,816	3,650
TOTALS, EXPENDITURES	\$3,433	\$4,066	\$3,900
Less funding provided by the Home Building and Rehabilitation Fund	-22	-250	-250
Loan repayments from local agencies	-37	-1	-1
NET TOTALS, EXPENDITURES	\$3,374	\$3,815	\$3,649
0972 Manufactured Home Recovery Fund			
APPROPRIATIONS			
Health and Safety Code Section 18070	\$5	\$250	\$250
TOTALS, EXPENDITURES	\$5	\$250	\$250
0980 Predevelopment Loan Fund			
APPROPRIATIONS			
Health and Safety Code Section 50531	\$3,550	\$5,000	\$3,000
TOTALS, EXPENDITURES	\$3,550	\$5,000	\$3,000
Loan repayment from local agencies	-2,381	-2,563	-2,300
NET TOTALS, EXPENDITURES	\$1,169	\$2,437	\$700
0985 Emergency Housing and Assistance Fund			
APPROPRIATIONS			
Health and Safety Code Section 50800.5	-	\$6,050	-
Health and Safety Code Section 53533(a)(2)(A)	-	17,000	-
Health and Safety Code Section 50800 and 53545 (a)(1)(H) Emergency Housing Assistance Program-Capital Development (Prop 1C)	\$12,055	3,000	-
TOTALS, EXPENDITURES	\$12,055	\$26,050	\$-
6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund			
APPROPRIATIONS			
102 Budget Act appropriation	\$40,000	\$5,000	-
Prior year balances available:			
Item 2240-102-6038, Budget Act of 2008 as reappropriated by Item 2240-490, Budget Act of 2009	385	-	-
Item 2240-102-6038, Budget Act of 2009 as reappropriated by Item 2240-491, Budget Act of 2010	-	40,000	-

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

	2009-10*	2010-11*	2011-12*
2 LOCAL ASSISTANCE			
Totals Available	\$40,385	\$45,000	\$-
Unexpended balance, estimated savings	-28,140	-12,000	-
TOTALS, EXPENDITURES	\$12,245	\$33,000	\$-
6068 Affordable Housing Innovation Fund			
APPROPRIATIONS			
Prior year balances available:			
Health and Safety Code Section 53545.9 Affordable Housing Innovation Fund (Prop 1 C) as reappropriated per Item 2240- 490, Budget Act of 2010	\$95,000	\$78,725	\$725
Totals Available	\$95,000	\$78,725	\$725
Unexpended balance, estimated savings	-	-	-725
Balance available in subsequent years	-78,725	-725	-
TOTALS, EXPENDITURES	\$16,275	\$78,000	\$-
6069 Regional Planning, Housing, and Infill Incentive Account, Housing and Emergency Shelter Trust Fund of 2006			
APPROPRIATIONS			
101 Budget Act appropriation	\$190,000	\$9,275	-
TOTALS, EXPENDITURES	\$190,000	\$9,275	\$-
6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency Shelter Trust Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$10,000	\$25,000	-
Totals Available	\$10,000	\$25,000	\$-
Unexpended balance, estimated savings	-10,000	-	-
TOTALS, EXPENDITURES	\$-	\$25,000	\$-
9736 Transit-Oriented Development Implementation Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$34,000	-	-
TOTALS, EXPENDITURES	\$34,000	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$605,150	\$678,285	\$186,403
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$655,581	\$746,006	\$255,970

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0101 School Facilities Fee Assistance Fund ^s			
BEGINNING BALANCE	\$877	\$890	\$900
Prior year adjustments	7	-	-
Adjusted Beginning Balance	\$884	\$890	\$900
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	6	10	10
Total Revenues, Transfers, and Other Adjustments	\$6	\$10	\$10
Total Resources	\$890	\$900	\$910
FUND BALANCE	\$890	\$900	\$910
Reserve for economic uncertainties	890	900	910
0245 Mobilehome Park Revolving Fund ^s			
BEGINNING BALANCE	\$1,172	\$1,809	\$2,369
Prior year adjustments	-361	-	-
Adjusted Beginning Balance	\$811	\$1,809	\$2,369
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

	2009-10*	2010-11*	2011-12*
125600 Other Regulatory Fees	5,594	5,934	5,934
125700 Other Regulatory Licenses and Permits	615	615	615
141200 Sales of Documents	1	1	1
150300 Income From Surplus Money Investments	5	5	5
161400 Miscellaneous Revenue	20	20	20
161900 Other Revenue - Cost Recoveries	<u>36</u>	<u>36</u>	<u>36</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$6,271</u>	<u>\$6,611</u>	<u>\$6,611</u>
Total Resources	\$7,082	\$8,420	\$8,980
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	7	17	11
2240 Department of Housing and Community Development (State Operations)	5,266	6,030	6,642
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>4</u>	<u>27</u>
Total Expenditures and Expenditure Adjustments	<u>\$5,273</u>	<u>\$6,051</u>	<u>\$6,680</u>
FUND BALANCE	\$1,809	\$2,369	\$2,300
Reserve for economic uncertainties	1,809	2,369	2,300
0648 Mobilehome-Manufactured Home Revolving Fund ^s			
BEGINNING BALANCE	\$1,247	\$2,360	\$4,819
Prior year adjustments	<u>-1,211</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$36	\$2,360	\$4,819
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
115400 Mobilehome In-Lieu Tax	2,388	2,388	2,388
125600 Other Regulatory Fees	1,984	1,983	1,983
125700 Other Regulatory Licenses and Permits	9,866	11,146	11,146
141200 Sales of Documents	10	10	10
142500 Miscellaneous Services to the Public	965	965	965
150300 Income From Surplus Money Investments	12	12	12
161000 Escheat of Unclaimed Checks & Warrants	50	50	50
161400 Miscellaneous Revenue	25	24	24
161900 Other Revenue - Cost Recoveries	246	246	246
164300 Penalty Assessments	<u>1,122</u>	<u>1,122</u>	<u>1,122</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$16,668</u>	<u>\$17,946</u>	<u>\$17,946</u>
Total Resources	\$16,704	\$20,306	\$22,765
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	20	48	27
2240 Department of Housing and Community Development (State Operations)	14,324	15,429	17,029
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>10</u>	<u>69</u>
Total Expenditures and Expenditure Adjustments	<u>\$14,344</u>	<u>\$15,487</u>	<u>\$17,125</u>
FUND BALANCE	\$2,360	\$4,819	\$5,640
Reserve for economic uncertainties	2,360	4,819	5,640
0813 Self - Help Housing Fund ⁿ			
BEGINNING BALANCE	\$167,437	\$165,436	\$110,306
Prior year adjustments	<u>5,664</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$173,101	\$165,436	\$110,306
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

	2009-10*	2010-11*	2011-12*
214600 Interest Income From Loans	6	-	-
250300 Income From Surplus Money Investment Fund	12	9	9
Total Revenues, Transfers, and Other Adjustments	<u>\$18</u>	<u>\$9</u>	<u>\$9</u>
Total Resources	\$173,119	\$165,445	\$110,315
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	3	7	4
2240 Department of Housing and Community Development			
State Operations	1,224	2,132	1,938
Local Assistance	6,460	53,000	-
8880 Financial Information System for California (State Operations)	-	1	10
Expenditure Adjustments:			
2240 Department of Housing and Community Development			
Loan repayments from local agencies (Local Assistance)	<u>-4</u>	<u>-1</u>	<u>-1</u>
Total Expenditures and Expenditure Adjustments	<u>\$7,683</u>	<u>\$55,139</u>	<u>\$1,951</u>
FUND BALANCE	\$165,436	\$110,306	\$108,364
Reserve for Bond Funded Projects	163,640	108,697	51,943
0927 Joe Serna, Jr. Farmworker Housing Grant Fund^N			
BEGINNING BALANCE	\$106,174	\$102,658	\$73,530
Prior year adjustments	<u>1,593</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$107,767	\$102,658	\$73,530
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
214600 Interest Income from Loans	60	20	20
215600 Income from Investments	29	72	70
299900 Miscellaneous (Rental Receipts)	3,552	3,496	3,446
Transfers and Other Adjustments:			
TO0001 To General Fund per Item 2240-011-0927, Budget Act of 2010	<u>-</u>	<u>-965</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$3,641</u>	<u>\$2,623</u>	<u>\$3,536</u>
Total Resources	\$111,408	\$105,281	\$77,066
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	3	7	4
2240 Department of Housing and Community Development			
State Operations	1,852	2,324	2,887
Local Assistance	6,980	29,446	3,446
8880 Financial Information System for California (State Operations)	-	1	10
Expenditure Adjustments:			
2240 Department of Housing and Community Development			
Loan repayments from local agencies (Local Assistance)	<u>-85</u>	<u>-27</u>	<u>-27</u>
Total Expenditures and Expenditure Adjustments	<u>\$8,750</u>	<u>\$31,751</u>	<u>\$6,320</u>
FUND BALANCE	\$102,658	\$73,530	\$70,746
Reserve for Bond Funded Projects	97,272	69,428	67,033
0929 Housing Rehabilitation Loan Fund^N			
BEGINNING BALANCE	\$215,665	\$243,098	\$148,372
Prior year adjustments	<u>45,169</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$260,834	\$243,098	\$148,372
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

	2009-10*	2010-11*	2011-12*
Revenues:			
214000 Interest from Loans	5,514	6,192	6,663
215600 Income from Investments	506	266	124
250300 Income from Surplus Money Investment Fund	308	259	250
299900 Misc Other Income	1,873	113,000	-
Transfers and Other Adjustments:			
FO0001 From General Fund Loan repayment per Item 2240-011-0929, Budget Act of 2008	-	-	-8,200
FO0001 From General Fund Loan repayment per Chapter 3, Statutes of 2003	-	-	-1,000
Total Revenues, Transfers, and Other Adjustments	<u>\$8,201</u>	<u>\$119,717</u>	<u>-\$2,163</u>
Total Resources	\$269,035	\$362,815	\$146,209
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	14	34	21
2240 Department of Housing and Community Development			
State Operations	6,725	11,860	11,531
Local Assistance	20,161	206,425	425
8880 Financial Information System for California (State Operations)	-	7	54
Expenditure Adjustments:			
2240 Department of Housing and Community Development			
Less funding provided by the Home Building and Rehabilitation Fund (State Operations)	-105	-90	-90
Loan repayments from local agencies (Local Assistance)	-858	-3,718	-385
Less funding provided by California Earthquake Safety and Housing Rehabilitation Bond Account, Housing Rehabilitation Loan Fund (Local Assistance)	-	-75	-75
Total Expenditures and Expenditure Adjustments	<u>\$25,937</u>	<u>\$214,443</u>	<u>\$11,481</u>
FUND BALANCE	\$243,098	\$148,372	\$134,728
Reserve for Bond Funded Projects	220,760	120,549	116,283
0985 Emergency Housing and Assistance Fund ^N			
BEGINNING BALANCE	\$74,416	\$66,254	\$40,764
Prior year adjustments	5,301	-	-
Adjusted Beginning Balance	<u>\$79,717</u>	<u>\$66,254</u>	<u>\$40,764</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
250300 Income from Surplus Money Investment Fund	46	31	16
215600 Income from Investments	3	10	-
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2240-116-0985, Chapter 3, Statutes of 2003, 1st Ex Sess	-	1,617	-
Total Revenues, Transfers, and Other Adjustments	<u>\$49</u>	<u>\$1,658</u>	<u>\$16</u>
Total Resources	\$79,766	\$67,912	\$40,780
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	2	5	2
2240 Department of Housing and Community Development			
State Operations	1,455	1,092	1,889
Local Assistance	12,055	26,050	-
8880 Financial Information System for California (State Operations)	-	1	5
Total Expenditures and Expenditure Adjustments	<u>\$13,512</u>	<u>\$27,148</u>	<u>\$1,896</u>
FUND BALANCE	\$66,254	\$40,764	\$38,884
Reserve for Bond Funded Projects	60,147	39,136	18,408

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

	2009-10*	2010-11*	2011-12*
3006 Jobs-Housing Balance Improvement Account ^s			
BEGINNING BALANCE	\$2,267	\$1,935	\$1,735
Prior year adjustments	13	-	-
Adjusted Beginning Balance	\$2,280	\$1,935	\$1,735
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2240 Department of Housing and Community Development (State Operations)	345	200	-
Total Expenditures and Expenditure Adjustments	\$345	\$200	-
FUND BALANCE	\$1,935	\$1,735	\$1,735
Reserve for economic uncertainties	1,935	1,735	1,735
3165 Enterprise Zone Fund ^s			
BEGINNING BALANCE	-	-	\$550
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
161400 Miscellaneous Revenue	-	\$1,120	1,120
Transfers and Other Adjustments:			
FO0001 From General Fund Loan per Item 2240-011-0001, Budget Act of 2010	-	510	-
TO0001 To General Fund loan repayment per Item 2240-011-0001, Budget Act of 2010	-	-	-170
Total Revenues, Transfers, and Other Adjustments	-	\$1,630	\$950
Total Resources	-	\$1,630	\$1,500
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2240 Department of Housing and Community Development (State Operations)	-	1,080	1,161
Total Expenditures and Expenditure Adjustments	-	\$1,080	\$1,161
FUND BALANCE	-	\$550	\$339
Reserve for economic uncertainties	-	550	339
6038 Building Equity and Growth in Neighborhoods (BEGIN) Fund ^a			
BEGINNING BALANCE	\$79,817	\$68,658	\$34,511
Prior year adjustments	1,559	-	-
Adjusted Beginning Balance	\$81,376	\$68,658	\$34,511
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2240 Department of Housing and Community Development			
State Operations	473	1,147	736
Local Assistance	12,245	33,000	-
Total Expenditures and Expenditure Adjustments	\$12,718	\$34,147	\$736
FUND BALANCE	\$68,658	\$34,511	\$33,775
Reserve for Bond Funded Projects			
6068 Affordable Housing Innovation Fund ^a			
BEGINNING BALANCE	\$99,637	\$83,047	\$4,390
Prior year adjustments	9	-	-
Adjusted Beginning Balance	\$99,646	\$83,047	\$4,390
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2240 Department of Housing and Community Development			
State Operations	324	657	861
Local Assistance	16,275	78,000	-
Total Expenditures and Expenditure Adjustments	\$16,599	\$78,657	\$861

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

	2009-10*	2010-11*	2011-12*
FUND BALANCE	\$83,047	\$4,390	\$3,529
6069 Regional Planning, Housing, and Infill Incentive Account, Housing and			
Emergency Shelter Trust Fund of 2006^B			
BEGINNING BALANCE	\$246,861	\$55,380	\$43,647
Prior year adjustments	78	-	-
Adjusted Beginning Balance	\$246,939	\$55,380	\$43,647
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2240 Department of Housing and Community Development			
State Operations	1,559	2,458	2,558
Local Assistance	190,000	9,275	-
Total Expenditures and Expenditure Adjustments	\$191,559	\$11,733	\$2,558
FUND BALANCE	\$55,380	\$43,647	\$41,089
6071 Housing Urban-Suburban-and-Rural Parks Account, Housing and Emergency			
Shelter Trust Fund of 2006^B			
BEGINNING BALANCE	\$199,944	\$199,349	\$173,345
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2240 Department of Housing and Community Development			
State Operations	595	1,004	1,068
Local Assistance	-	25,000	-
Total Expenditures and Expenditure Adjustments	\$595	\$26,004	\$1,068
FUND BALANCE	\$199,349	\$173,345	\$172,277
9736 Transit-Oriented Development Implementation Fund^N			
BEGINNING BALANCE	\$58,846	\$24,361	\$22,914
Prior year adjustments	250	-	-
Adjusted Beginning Balance	\$59,096	\$24,361	\$22,914
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2240 Department of Housing and Community Development			
State Operations	735	1,447	1,323
Local Assistance	34,000	-	-
Total Expenditures and Expenditure Adjustments	\$34,735	\$1,447	\$1,323
FUND BALANCE	\$24,361	\$22,914	\$21,591

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	525.4	622.1	617.6	\$27,461	\$36,375	\$36,859
Furlough Adjustments	-	-	-	-	-1,109	-
PLP Adjustments	-	-	-	-	-986	-
Workload and Administrative Adjustments:				Salary Range		
Community Development Block Grant:						
Financial Assistance Program:						
Housing & Community Development Manager I	-	-2.0	-2.0	5,079-6,127	-147	-147
Housing & Community Development Rep II	-	-8.0	-8.0	4,619-5,616	-539	-539
Bond Workload Adjustments:						
Financial Assistance Program:						
Housing & Community Development Rep II	-	-5.0	-7.0	4,619-5,616	-325	-459

* Dollars in thousands, except in Salary Range.

2240 Department of Housing and Community Development - Continued

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Housing Policy Development Program:						
Housing & Community Development Rep II	-	-1.0	-1.0	4,619-5,616	-67	-67
Workforce Cap Adjustment						
Housing Policy Development Program:						
Housing & Community Development Rep II	-	-1.0	-1.0	4,619-5,616	-67	-67
Totals, Workload and Admin Adjustments	-	-17.0	-19.0	\$-	-\$1,145	-\$1,279
Total Adjustments	-	-17.0	-19.0	\$-	-\$3,240	-\$1,279
TOTALS, SALARIES AND WAGES	525.4	605.1	598.6	\$27,461	\$33,135	\$35,580

2260 California Housing Finance Agency

The mission of the California Housing Finance Agency, which was statutorily chartered in 1975 to be the State's affordable housing bank, is to create safe, decent, and affordable rental housing and to assist first-time homebuyers in achieving the dream of home ownership by providing affordable first and secondary mortgage loans and mortgage insurance. The agency is financially self-supporting, setting loan interest rates slightly above its cost and charging fees for specific services to cover its lending costs and risks.

The agency has \$11 billion in assets that are comprised mainly of loan receivables and investments related to bond proceeds. Each year, the agency produces an annual report by November 1st and is audited by independent outside auditors. The annual report and audited financial statements outline the lending activity and services provided by the agency and the financial results of those activities. These reports can be viewed using the following link:
<http://www.calhfa.ca.gov/about/publications/reports/index.htm>

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Lending Activity	299.6	331.2	331.2	\$42,814	\$47,958	\$49,233
20 Insurance Activity	5.0	5.0	5.0	1,354	1,351	1,371
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	304.6	336.2	336.2	\$44,168	\$49,309	\$50,604
FUNDING				2009-10*	2010-11*	2011-12*
0501 California Housing Finance Fund				\$42,814	\$46,973	\$48,430
0916 California Housing Loan Insurance Fund				1,354	1,351	1,371
0995 Reimbursements				-	985	803
TOTALS, EXPENDITURES, ALL FUNDS				\$44,168	\$49,309	\$50,604

The California Housing Finance Agency's (CalHFA) funding is not subject to Budget Act appropriation. This budget display is for informational purposes only. Each spring the CalHFA Board adopts an updated five-year business plan and annual budget. The informational budget presented here reflects the Agency's best estimate of the proposed budget for fiscal year 2011-12. As a Public Enterprise Funded entity, CalHFA support comes from revenue bonds and is not supported by the full faith, credit or taxing power of the State of California. CalHFA continues to administer single and multifamily housing lending programs financed with revenue bond proceeds, and a number of down payment assistance programs from Proposition 46 and Proposition 1C general obligation bond proceeds.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Health and Safety Code Sections 50000-52533.

DETAILED BUDGET ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

2260 California Housing Finance Agency - Continued

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustment	\$-	\$676	-	\$-	\$676	-
• Employee Compensation Adjustments	-	-767	-	-	-428	-
• Miscellaneous Adjustment	-	-2,846	-	-	-1,890	-
Totals, Other Workload Budget Adjustments	\$-	-\$2,937	-	\$-	-\$1,642	-
Totals, Workload Budget Adjustments	\$-	-\$2,937	-	\$-	-\$1,642	-
Totals, Budget Adjustments	\$-	-\$2,937	-	\$-	-\$1,642	-

PROGRAM DESCRIPTIONS

10 - LENDING ACTIVITY

The objective of this program is to finance housing at affordable interest rates, using the proceeds of tax-exempt and taxable revenue bonds to make: (1) mortgage loans to qualified first-time homebuyers to finance the purchase of single family homes, (2) permanent loans for the creation and preservation of multifamily housing projects, and (3) special needs housing loans to facilitate the construction, rehabilitation and acquisition of housing needed to serve special needs populations.

20 - INSURANCE ACTIVITY

The objective of the Mortgage Insurance Services Division is to encourage the preservation of existing housing and improve new housing opportunities by offering mortgage insurance products to homebuyers who might not qualify for traditional lending programs. The program provides primary mortgage insurance at favorable rates by working with participating lenders, government agencies, government-sponsored enterprises, and the secondary mortgage market to develop and offer innovative homebuyer lending and mortgage insurance programs.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	LENDING ACTIVITY			
State Operations:				
0501	California Housing Finance Fund	\$42,814	\$46,973	\$48,430
0995	Reimbursements	-	985	803
Totals, State Operations		\$42,814	\$47,958	\$49,233
PROGRAM REQUIREMENTS				
20	INSURANCE ACTIVITY			
State Operations:				
0916	California Housing Loan Insurance Fund	\$1,354	\$1,351	\$1,371
Totals, State Operations		\$1,354	\$1,351	\$1,371
TOTALS, EXPENDITURES				
State Operations		44,168	49,309	50,604
Totals, Expenditures		\$44,168	\$49,309	\$50,604

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures			
	Positions/Personnel Years	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES							
Authorized Positions (Equals Sch. 7A)	304.6	336.2	336.2	\$17,888	\$23,913	\$24,120	
Total Adjustments	-	-	-	-	-657	-	
Salary Savings	-	-	-	-	-963	-963	
Net Totals, Salaries and Wages	304.6	336.2	336.2	\$17,888	\$22,293	\$23,157	

* Dollars in thousands, except in Salary Range.

2260 California Housing Finance Agency - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Staff Benefits	-	-	-	9,019	7,878	7,951
Totals, Personal Services	304.6	336.2	336.2	\$26,907	\$30,171	\$31,108
OPERATING EXPENSES AND EQUIPMENT				\$17,261	\$19,138	\$19,496
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$44,168	\$49,309	\$50,604

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0501 California Housing Finance Fund			
APPROPRIATIONS			
Health and Safety Code Section 51000	\$42,814	\$46,973	\$48,430
TOTALS, EXPENDITURES	\$42,814	\$46,973	\$48,430
0916 California Housing Loan Insurance Fund			
APPROPRIATIONS			
Health and Safety Code Section 51611	\$1,354	\$1,351	\$1,371
TOTALS, EXPENDITURES	\$1,354	\$1,351	\$1,371
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	-	\$985	\$803
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$44,168	\$49,309	\$50,604

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	304.6	336.2	336.2	\$17,888	\$23,913	\$24,120
PLP Adjustments	-	-	-	-	-657	-
Total Adjustments	-	-	-	\$-	-657	\$-
TOTALS, SALARIES AND WAGES	304.6	336.2	336.2	\$-	\$23,256	\$24,120

2310 Office of Real Estate Appraisers

The mission of the Office of Real Estate Appraisers is to protect public safety by ensuring the competency and integrity of real estate appraisers through a program of licensure and enforcement.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Administration of Real Estate Appraisers Program	29.5	32.1	32.1	\$3,734	\$4,907	\$5,031
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	29.5	32.1	32.1	\$3,734	\$4,907	\$5,031
FUNDING				2009-10*	2010-11*	2011-12*
0400 Real Estate Appraisers Regulation Fund				\$3,695	\$4,827	\$4,951
0995 Reimbursements				39	80	80
TOTALS, EXPENDITURES, ALL FUNDS				\$3,734	\$4,907	\$5,031

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

* Dollars in thousands, except in Salary Range.

2310 Office of Real Estate Appraisers - Continued

Business and Professions Code, Division 4, Part 3, Sections 11300 et seq.; California Code of Regulations, Title 10, Chapter 6.5, Sections 3500 et seq.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Control Section 3.60 Adjustment	\$-	\$54	-	\$-	\$54	-
• Health Care Adjustment (Item 9800)	-	12	-	-	21	-
• Various Baseline Adjustments	-	-	-	-	2	-
• Control Section 3.91 Contracts and Administrative Reduction	-	-169	-	-	-56	-
• Control Section 3.90 Workforce Cap Reduction	-	-115	-1.7	-	-115	-1.7
Totals, Other Workload Budget Adjustments	\$-	-\$218	-1.7	\$-	-\$94	-1.7
Totals, Workload Budget Adjustments	\$-	-\$218	-1.7	\$-	-\$94	-1.7
Totals, Budget Adjustments	\$-	-\$218	-1.7	\$-	-\$94	-1.7

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF REAL ESTATE APPRAISERS PROGRAM

The objectives of this program are to ensure that only qualified persons are licensed to conduct appraisals in federally related real estate loan transactions and that all real estate appraisers licensed by the state adhere to applicable laws, regulations, and standards.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	ADMINISTRATION OF REAL ESTATE APPRAISERS PROGRAM			
State Operations:				
0400	Real Estate Appraisers Regulation Fund	\$3,695	\$4,827	\$4,951
0995	Reimbursements	39	80	80
Totals, State Operations		\$3,734	\$4,907	\$5,031
TOTALS, EXPENDITURES				
State Operations		3,734	4,907	5,031
Totals, Expenditures		\$3,734	\$4,907	\$5,031

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures			
	Positions/Personnel Years	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES							
Authorized Positions (Equals Sch. 7A)	29.5	35.5	35.5	\$1,634	\$2,177	\$2,218	
Total Adjustments	-	-	-	-	-141	-	
Estimated Salary Savings	-	-3.4	-3.4	-	-107	-164	
Net Totals, Salaries and Wages	29.5	32.1	32.1	\$1,634	\$1,929	\$2,054	
Staff Benefits	-	-	-	552	578	612	
Totals, Personal Services	29.5	32.1	32.1	\$2,186	\$2,507	\$2,666	
OPERATING EXPENSES AND EQUIPMENT				\$1,276	\$1,969	\$1,934	

* Dollars in thousands, except in Salary Range.

2310 Office of Real Estate Appraisers - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
SPECIAL ITEMS OF EXPENSE				\$272	\$431	\$431
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3,734	\$4,907	\$5,031

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0400 Real Estate Appraisers Regulation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,796	\$5,045	\$4,951
Allocation for employee compensation	-	12	-
Adjustment per Section 3.60	6	54	-
Reduction per Section 3.90	-340	-115	-
Reduction per Section 15.30	-1	-	-
Reduction per Control Section 3.91	-	-169	-
Totals Available	\$4,461	\$4,827	\$4,951
Unexpended balance, estimated savings	-766	-	-
TOTALS, EXPENDITURES	\$3,695	\$4,827	\$4,951
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$39	\$80	\$80
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$3,734	\$4,907	\$5,031

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0400 Real Estate Appraisers Regulation Fund^s			
BEGINNING BALANCE	\$1,925	\$6,658	\$4,871
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
123500 Real Estate License Fees	2,221	2,200	1,831
125700 Other Regulatory Licenses and Permits	679	522	432
150300 Income From Surplus Money Investments	13	20	20
150500 Interest Income From Interfund Loans	217	-	-
161400 Miscellaneous Revenue	194	194	194
164300 Penalty Assessments	104	104	104
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2310-011-0400, Budget Act of 2008	5,000	-	-
Total Revenues, Transfers, and Other Adjustments	<u>\$8,428</u>	<u>\$3,040</u>	<u>\$2,581</u>
Total Resources	\$10,353	\$9,698	\$7,452
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2310 Office of Real Estate Appraisers (State Operations)	3,695	4,827	4,951
Total Expenditures and Expenditure Adjustments	<u>\$3,695</u>	<u>\$4,827</u>	<u>\$4,951</u>
FUND BALANCE	\$6,658	\$4,871	\$2,501
Reserve for economic uncertainties	6,658	4,871	2,501

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	29.5	35.5	35.5	\$1,634	\$2,177	\$2,218

* Dollars in thousands, except in Salary Range.

2310 Office of Real Estate Appraisers - Continued

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Furlough Adjustments	-	-	-	-	-84	-
PLP Adjustments	-	-	-	-	-57	-
Totals, Adjustments	-	-	-	\$-	-\$141	\$-
TOTALS, SALARIES AND WAGES	29.5	35.5	35.5	\$1,634	\$2,036	\$2,218

2320 Department of Real Estate

The mission of the Department of Real Estate is to safeguard and promote the public interests in real estate matters through licensure, regulation, education, and enforcement.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Licensing and Education	57.8	74.6	74.6	\$8,708	\$11,078	\$11,679
20 Enforcement and Recovery	176.7	182.8	184.7	25,498	26,723	27,341
30 Subdivisions	47.4	33.8	33.8	6,123	6,601	6,892
40.10 Administration	52.4	49.7	49.7	6,864	7,136	7,687
40.20 Distributed Administration	-	-	-	-6,809	-7,043	-7,594
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	334.3	340.9	342.8	\$40,384	\$44,495	\$46,005
FUNDING				2009-10*	2010-11*	2011-12*
0317 Real Estate Fund				\$40,016	\$44,060	\$45,570
0995 Reimbursements				368	435	435
TOTALS, EXPENDITURES, ALL FUNDS				\$40,384	\$44,495	\$46,005

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Business and Professions Code, Division 4, Parts 1 and 2.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Control Section 3.60 Adjustment	\$-	\$531	-	\$-	\$531	-
• Health Care Adjustment (Item 9800)	-	70	-	-	120	-
• Control Section 3.91 Contracts and Administrative Reduction	-	-2,133	-	-	-336	-
• Various Baseline Adjustments	-	-	-	-	-553	-
• Control Section 3.90 Workforce Cap Reduction	-	-1,156	-18.9	-	-1,156	-18.9
Totals, Other Workload Budget Adjustments	\$-	-\$2,688	-18.9	\$-	-\$1,394	-18.9
Totals, Workload Budget Adjustments	\$-	-\$2,688	-18.9	\$-	-\$1,394	-18.9
Policy Adjustments						
• SB 36 Mortgage Loan Originator Licensure (SAFE Act)	\$-	\$-	-	\$-	\$216	1.9
Totals, Policy Adjustments	\$-	\$-	-	\$-	\$216	1.9
Totals, Budget Adjustments	\$-	-\$2,688	-18.9	\$-	-\$1,178	-17.0

* Dollars in thousands, except in Salary Range.

2320 Department of Real Estate - Continued

PROGRAM DESCRIPTIONS

10 - LICENSING AND EDUCATION

The objective of the Licensing Program is to ensure that individuals who wish to engage in real estate business and act in the capacity of, or advertise as, a real estate broker or salesperson within California meet specified qualifications.

20 - ENFORCEMENT AND RECOVERY

The objective of this program is to investigate the actions of any person acting in the capacity of a real estate licensee, perform financial compliance audits of licensees and subdividers, and administratively prosecute violations of the Real Estate Law and Subdivided Lands Law.

30 - SUBDIVISIONS

The Subdivisions Program protects the public against fraud and misrepresentation in the sale or lease of subdivided land through the issuance of public reports which disclose vital information to prospective purchasers of subdivision interests.

40 - ADMINISTRATION

The Administration Program provides support services to the department's programs through the Fiscal and Business Services Section, Information Technology Section, and Human Resources Section.

DETAILED EXPENDITURES BY PROGRAM

		<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
PROGRAM REQUIREMENTS				
10	LICENSING AND EDUCATION			
	State Operations:			
0317	Real Estate Fund	\$8,465	\$10,793	\$11,394
0995	Reimbursements	<u>243</u>	<u>285</u>	<u>285</u>
	Totals, State Operations	\$8,708	\$11,078	\$11,679
PROGRAM REQUIREMENTS				
20	ENFORCEMENT AND RECOVERY			
	State Operations:			
0317	Real Estate Fund	<u>\$25,498</u>	<u>\$26,723</u>	<u>\$27,341</u>
	Totals, State Operations	\$25,498	\$26,723	\$27,341
PROGRAM REQUIREMENTS				
30	SUBDIVISIONS			
	State Operations:			
0317	Real Estate Fund	<u>\$6,123</u>	<u>\$6,601</u>	<u>\$6,892</u>
	Totals, State Operations	\$6,123	\$6,601	\$6,892
PROGRAM REQUIREMENTS				
40.10	ADMINISTRATION			
	State Operations:			
0317	Real Estate Fund	\$6,739	\$6,986	\$7,537
0995	Reimbursements	<u>125</u>	<u>150</u>	<u>150</u>
	Totals, State Operations	\$6,864	\$7,136	\$7,687
PROGRAM REQUIREMENTS				
40.20	DISTRIBUTED ADMINISTRATION			
	State Operations:			
0317	Real Estate Fund	<u>-\$6,809</u>	<u>-\$7,043</u>	<u>-\$7,594</u>
	Totals, State Operations	-\$6,809	-\$7,043	-\$7,594
TOTALS, EXPENDITURES				
	State Operations	<u>40,384</u>	<u>44,495</u>	<u>46,005</u>
	Totals, Expenditures	\$40,384	\$44,495	\$46,005

* Dollars in thousands, except in Salary Range.

2320 Department of Real Estate - Continued

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	334.3	378.7	378.7	\$16,886	\$21,446	\$21,888
Total Adjustments	-	-	2.0	-	-1,321	128
Estimated Salary Savings	-	-37.8	-37.9	-	-1,072	-1,817
Net Totals, Salaries and Wages	334.3	340.9	342.8	\$16,886	\$19,053	\$20,199
Staff Benefits	-	-	-	6,399	7,155	7,730
Totals, Personal Services	334.3	340.9	342.8	\$23,285	\$26,208	\$27,929
OPERATING EXPENSES AND EQUIPMENT				\$13,148	\$15,287	\$15,076
SPECIAL ITEMS OF EXPENSE				\$3,951	\$3,000	\$3,000
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$40,384	\$44,495	\$46,005

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0317 Real Estate Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$43,906	-	-
Adjustment per Section 3.60	22	-	-
Reduction per Section 3.90	-3,155	-	-
Reduction per Section 15.30	-59	-	-
Adjustment per Section 3.55	-48	-	-
001 Budget Act appropriation	-	\$46,748	\$45,570
Allocation for employee compensation	-	70	-
Adjustment per Section 3.60	-	531	-
Reduction per Section 3.90	-	-1,156	-
Reduction per Control Section 3.91	-	-2,133	-
Totals Available	\$40,666	\$44,060	\$45,570
Unexpended balance, estimated savings	-650	-	-
TOTALS, EXPENDITURES	\$40,016	\$44,060	\$45,570
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$368	\$435	\$435
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$40,384	\$44,495	\$46,005

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0317 Real Estate Fund^s			
BEGINNING BALANCE	\$22,159	\$26,978	\$31,638
Prior year adjustments	1,283	-	-
Adjusted Beginning Balance	\$23,442	\$26,978	\$31,638
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
123400 Real Estate Examination Fees	3,194	3,264	3,305

* Dollars in thousands, except in Salary Range.

2320 Department of Real Estate - Continued

	2009-10*	2010-11*	2011-12*
123500 Real Estate License Fees	34,775	39,676	41,019
123600 Subdivision Filing Fees	4,381	4,575	4,575
125700 Other Regulatory Licenses and Permits	201	201	201
141200 Sales of Documents	33	33	33
142500 Miscellaneous Services to the Public	136	136	136
150300 Income From Surplus Money Investments	178	261	295
161000 Escheat of Unclaimed Checks & Warrants	9	9	9
161400 Miscellaneous Revenue	483	483	483
164300 Penalty Assessments	200	200	200
Total Revenues, Transfers, and Other Adjustments	<u>\$43,590</u>	<u>\$48,838</u>	<u>\$50,256</u>
Total Resources	\$67,032	\$75,816	\$81,894
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	38	92	62
2320 Department of Real Estate (State Operations)	40,016	44,060	45,570
8880 Financial Information System for California (State Operations)	-	26	200
Total Expenditures and Expenditure Adjustments	<u>\$40,054</u>	<u>\$44,178</u>	<u>\$45,832</u>
FUND BALANCE	\$26,978	\$31,638	\$36,062
Reserve for economic uncertainties	26,978	31,638	36,062

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	334.3	378.7	378.7	\$16,886	\$21,446	\$21,888
Furlough Adjustments	-	-	-	-	-700	-
PLP Adjustments	-	-	-	-	-621	-
Proposed New Positions:	Salary Range					
Program 20:						
Legal Counselor	-	-	1.0	4,678 - 7,050	-	48
General Auditor II	-	-	1.0	3,841 - 4,903	-	80
Totals Proposed New Positions	<u>-</u>	<u>-</u>	<u>2.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$128</u>
Total Adjustments	<u>-</u>	<u>-</u>	<u>2.0</u>	<u>\$-</u>	<u>-\$1,321</u>	<u>\$128</u>
TOTALS, SALARIES AND WAGES	<u>334.3</u>	<u>378.7</u>	<u>380.7</u>	<u>\$16,886</u>	<u>\$20,125</u>	<u>\$22,016</u>

2400 Department of Managed Health Care

The mission of the Department of Managed Health Care is to help California consumers resolve problems with their Health Maintenance Organizations (HMOs) and to ensure a better, more solvent and stable managed health care system through:

- Administration and enforcement of California's HMO patient rights laws.
- Operating the 24-hour-a-day Help Center.
- Licensing and overseeing all HMOs in the state.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
30 Health Plan Program	226.8	234.4	248.0	\$38,030	\$48,563	\$52,381
50.01 Administration	77.7	86.5	86.5	7,903	9,863	10,264
50.02 Distributed Administration	-	-	-	-7,903	-9,863	-10,264
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	<u>304.5</u>	<u>320.9</u>	<u>334.5</u>	<u>\$38,030</u>	<u>\$48,563</u>	<u>\$52,381</u>

* Dollars in thousands, except in Salary Range.

2400 Department of Managed Health Care - Continued

FUNDING	2009-10*	2010-11*	2011-12*
0890 Federal Trust Fund	\$-	\$1,000	\$-
0933 Managed Care Fund	37,720	46,418	51,202
0995 Reimbursements	310	1,145	1,179
TOTALS, EXPENDITURES, ALL FUNDS	\$38,030	\$48,563	\$52,381

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Health and Safety Code Sections 1340-1399.818 inclusive; California Code of Regulations, Title 28, Sections 1000-1300.826.

MAJOR PROGRAM CHANGES

- The Governor's Budget proposes 13 positions and \$1.8 million for the Department of Managed Health Care (DMHC) to ensure health care plans implement requirements of the federal Patient Protection and Affordable Care Act (PPACA) that take effect in 2011-12. These include requirements that health care plans abide by minimum medical loss ratios and offer dependent care coverage until age 26. Beginning in 2011-12 the PPACA also will prohibit health plans from imposing lifetime benefits limits, imposing cost-sharing for preventive services, and failing to provide coverage to dependents under age 19 due to pre-existing conditions.
- The Governor's Budget includes two positions and \$1 million for the DMHC to ensure health care plans abide by PPACA requirements governing the imposition of unreasonable rate increases. Included is \$600,000 to contract for actuarial services to aid in the review of rate increases.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Control Section 3.60 Adjustment	\$-	\$645	-	\$-	\$645	-
• Miscellaneous Adjustment for ProRata	-	-	-	-	352	-
• Health Care Adjustment (Item 9800)	-	101	-	-	173	-
• Control Section 3.91 Adjustment - Contracts and Administrative Reduction	-	-2,133	-	-	-540	-
• Control Section 3.90 Adjustment - Workforce Cap Reduction	-	-1,313	-13.8	-	-1,313	-13.8
Totals, Other Workload Budget Adjustments	\$-	-\$2,700	-13.8	\$-	-\$683	-13.8
Totals, Workload Budget Adjustments	\$-	-\$2,700	-13.8	\$-	-\$683	-13.8
Policy Adjustments						
• Health Care Reform	\$-	\$-	-	\$-	\$1,776	12.0
• SB 1163 Premium Rate Review	-	-	-	-	1,024	1.9
• Federal Grant for Insurance Premium Rate Review	-	1,000	-	-	-	-
Totals, Policy Adjustments	\$-	\$1,000	-	\$-	\$2,800	13.9
Totals, Budget Adjustments	\$-	-\$1,700	-13.8	\$-	\$2,117	0.1

PROGRAM DESCRIPTIONS

30 - HEALTH PLAN PROGRAM

The Health Plan Program's objective is to assure the accessibility and availability of medically necessary health care delivered to the public with appropriate quality-of-care oversight and through financially sound managed care plans. Through focused collaboration and coordination, these programs advance sound health policy and "The Right Care at the Right Time" for all Californian enrollees. The program licenses health care service plans, conducts routine and non-routine financial and medical surveys, works to implement the federal health care reform provisions in California including health plan premium rate review, and operates a consumer services toll-free complaint line (1-888-466-2219). Within this program,

* Dollars in thousands, except in Salary Range.

2400 Department of Managed Health Care - Continued

the Office of the Patient Advocate helps educate consumers about their rights and responsibilities.

50 - ADMINISTRATION

The Administration Program provides services essential for the administration of the Department and its programs, including the Executive Office, Administrative Services, and Information Technology Support.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
30	HEALTH PLAN PROGRAM			
	State Operations:			
0890	Federal Trust Fund	\$-	\$1,000	\$-
0933	Managed Care Fund	37,720	46,418	51,202
0995	Reimbursements	<u>310</u>	<u>1,145</u>	<u>1,179</u>
	Totals, State Operations	\$38,030	\$48,563	\$52,381
ELEMENT REQUIREMENTS				
30.10	Health Care Service Plans	\$35,912	\$46,265	\$50,013
	State Operations:			
0890	Federal Trust Fund	-	1,000	-
0933	Managed Care Fund	35,702	44,120	48,834
0995	Reimbursements	210	1,145	1,179
30.20	Office of Patient Advocate	\$2,118	\$2,298	\$2,368
	State Operations:			
0933	Managed Care Fund	2,018	2,298	2,368
0995	Reimbursements	100	-	-
TOTALS, EXPENDITURES				
	State Operations	<u>38,030</u>	<u>48,563</u>	<u>52,381</u>
	Totals, Expenditures	\$38,030	\$48,563	\$52,381

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions/Personnel Years					
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	304.5	354.0	354.0	\$18,351	\$24,144	\$24,785
Total Adjustments	-	-	15.0	-	-1,545	1,204
Estimated Salary Savings	<u>-</u>	<u>-33.1</u>	<u>-34.5</u>	<u>-</u>	<u>-1,067</u>	<u>-1,149</u>
Net Totals, Salaries and Wages	304.5	320.9	334.5	\$18,351	\$21,532	\$24,840
Staff Benefits	<u>-</u>	<u>-</u>	<u>-</u>	<u>6,510</u>	<u>8,268</u>	<u>9,539</u>
Totals, Personal Services	304.5	320.9	334.5	\$24,861	\$29,800	\$34,379
OPERATING EXPENSES AND EQUIPMENT				<u>\$13,169</u>	<u>\$18,763</u>	<u>\$18,002</u>
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$38,030	\$48,563	\$52,381

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS		2009-10*	2010-11*	2011-12*
0890 Federal Trust Fund				
APPROPRIATIONS				
Federal Funds		<u>-</u>	<u>\$1,000</u>	<u>-</u>
TOTALS, EXPENDITURES		\$-	\$1,000	\$-

* Dollars in thousands, except in Salary Range.

2400 Department of Managed Health Care - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0933 Managed Care Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$44,894	\$46,673	\$48,834
Allocation for employee compensation	-	97	-
Adjustment per Section 3.60	61	609	-
Reduction per Section 3.90	-3,682	-1,242	-
Reduction per Control Section 3.91	-	-2,017	-
Adjustment per Section 3.55	-27	-	-
002 Budget Act appropriation	2,655	2,387	2,368
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	2	21	-
Reduction per Section 3.90	-138	-43	-
Reduction per Control Section 3.91	-	-70	-
Adjustment per Section 3.55	-3	-	-
Totals Available	\$43,762	\$46,418	\$51,202
Unexpended balance, estimated savings	<u>-6,042</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$37,720	\$46,418	\$51,202
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	<u>\$310</u>	<u>\$1,145</u>	<u>\$1,179</u>
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$38,030	\$48,563	\$52,381
<hr/>			
FUND CONDITION STATEMENTS			
	2009-10*	2010-11*	2011-12*
0933 Managed Care Fund [§]			
BEGINNING BALANCE	\$8,889	\$10,235	\$5,271
Prior year adjustments	<u>1,414</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$10,303	\$10,235	\$5,271
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	36,291	40,188	47,173
142500 Miscellaneous Services to the Public	1	1	1
150300 Income From Surplus Money Investments	143	123	123
161000 Escheat of Unclaimed Checks & Warrants	1	1	1
161900 Other Revenue - Cost Recoveries	<u>1,246</u>	<u>1,240</u>	<u>1,240</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$37,682</u>	<u>\$41,553</u>	<u>\$48,538</u>
Total Resources	\$47,985	\$51,788	\$53,809
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	30	73	47
2400 Department of Managed Health Care (State Operations)	37,720	46,418	51,202
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>26</u>	<u>209</u>
Total Expenditures and Expenditure Adjustments	<u>\$37,750</u>	<u>\$46,517</u>	<u>\$51,458</u>
FUND BALANCE	\$10,235	\$5,271	\$2,351
Reserve for economic uncertainties	10,235	5,271	2,351
3133 Managed Care Administrative Fines and Penalties Fund [§]			
BEGINNING BALANCE	\$1,939	\$3,421	\$5,200
Prior year adjustments	<u>907</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,846	\$3,421	\$5,200

* Dollars in thousands, except in Salary Range.

2400 Department of Managed Health Care - Continued

	2009-10*	2010-11*	2011-12*
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	15	18	8
164300 Penalty Assessments	3,642	4,600	1,600
Transfers and Other Adjustments:			
TO0313 To Major Risk Medical Insurance Fund per Item 4280-112-3133, Budget Acts	-2,082	-1,839	-3,554
TO8034 To Medically Underserved Account for Physicians, Health Professions Education Fund per Chapter 607, Statutes 2008 Section 12(a)	-1,000	-1,000	-1,000
Total Revenues, Transfers, and Other Adjustments	<u>\$575</u>	<u>\$1,779</u>	<u>-\$2,946</u>
Total Resources	<u>\$3,421</u>	<u>\$5,200</u>	<u>\$2,254</u>
FUND BALANCE	\$3,421	\$5,200	\$2,254
Reserve for economic uncertainties	3,421	5,200	2,254

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	304.5	354.0	354.0	\$18,351	\$24,144	\$24,785
Furlough Reductions	-	-	-	-	-818	-
PLP Reductions	-	-	-	-	-727	-
Proposed New Positions:				Salary Range		
Health Plan Oversight:						
Staff Counsel III-Spec (3.0 LT pos exp 6-30-13)	-	-	3.0	\$7,682-9,478	-	309
Assoc Life Actuary	-	-	2.0	7,037-9,320	-	196
Staff Counsel (5.0 LT pos exp 6-30-13)	-	-	5.0	5,638-6,818	-	374
Corporation Examiner (2.0 LT pos exp 6-30-13)	-	-	2.0	5,309-6,450	-	141
Help Center:						
Staff Counsel (1.0 LT pos exp 6-30-13)	-	-	1.0	5,638-6,818	-	75
Consumer Assist Tech (1.0 LT pos exp 6-30-13)	-	-	1.0	2,638-3,209	-	35
Legal Services:						
Staff Counsel (1.0 LT pos exp 6-30-13)	-	-	1.0	5,638-6,818	-	74
Totals Proposed New Positions	<u>-</u>	<u>-</u>	<u>15.0</u>	<u>\$-</u>	<u>\$-</u>	<u>\$1,204</u>
Total Adjustments	<u>-</u>	<u>-</u>	<u>15.0</u>	<u>\$-</u>	<u>-\$1,545</u>	<u>\$1,204</u>
TOTALS, SALARIES AND WAGES	304.5	354.0	369.0	\$18,351	\$22,599	\$25,989

2600 California Transportation Commission

The California Transportation Commission advises and assists the Secretary of the Business, Transportation and Housing Agency and the Legislature in formulating and evaluating state policies, plans, and funding for California's transportation programs.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Administration	15.9	18.1	18.1	\$2,744	\$3,731	\$3,914
30 Clean Air and Transportation	-	-	-	2,351	25,000	25,000
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	15.9	18.1	18.1	\$5,095	\$28,731	\$28,914
FUNDING				2009-10*	2010-11*	2011-12*
0042 State Highway Account, State Transportation Fund				\$742	\$1,056	\$1,175
0046 Public Transportation Account, State Transportation Fund				1,282	1,334	1,371

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission - Continued

FUNDING	2009-10*	2010-11*	2011-12*
0703 Clean Air and Transportation Improvement Fund	2,351	25,000	25,000
0995 Reimbursements	366	506	507
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	192	198	204
6056 Trade Corridors Improvement Fund	141	191	198
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	179	183
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	10	52	53
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	91	94
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	10	9
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	11	33	34
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	81	86
TOTALS, EXPENDITURES, ALL FUNDS	\$5,095	\$28,731	\$28,914

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Section 13990, and Title 2, Division 3, Part 5.3 (commencing with Section 14500).

Public Utilities Code, Sections 99612 and 99613, and Division 12, Chapter 4, Article 5 (commencing with Section 130300).

Streets and Highways Code, Section 30952.1.

MAJOR PROGRAM CHANGES

- The Commission Budget includes \$400,000 to contract with a consultant to provide financial feasibility analysis of Public Private Partnership Program projects.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement	\$-	\$44	-	\$-	\$44	-
• Miscellaneous Adjustments	-	-	-	-	-28	-
• Employee Comensation Adjustments	-	-149	-	-	-37	-
• Workforce Cap Adjustment	-	-89	-1.0	-	-89	-1.0
• One Time Cost Reduction	-	-	-	-	-300	-
Totals, Other Workload Budget Adjustments	\$-	-\$194	-1.0	\$-	-\$410	-1.0
Totals, Workload Budget Adjustments	\$-	-\$194	-1.0	\$-	-\$410	-1.0
Policy Adjustments						
• Public Private Partnership (P3) Consultant	\$-	\$-	-	\$-	\$400	-
Totals, Policy Adjustments	\$-	\$-	-	\$-	\$400	-
Totals, Budget Adjustments	\$-	-\$194	-1.0	\$-	-\$10	-1.0

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission - Continued

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF CALIFORNIA TRANSPORTATION COMMISSION

The objective of the Administration Program is to produce and update the State Transportation Improvement Program (STIP) and the STIP Fund Estimate, and to allocate transportation revenues and bond funds for the construction of highway, passenger rail and transit improvements in California.

30 - CLEAN AIR AND TRANSPORTATION IMPROVEMENT

The objective of the Clean Air and Transportation Improvement Program is to provide grants from specified bond funds to the Department of Transportation, the Department of Parks and Recreation, and local agencies to be used for the preservation, acquisition, construction, and improvement of rail infrastructure, paratransit vehicles, bicycle facilities, waterborne ferry vessels and facilities, public transit, and the California State Museum of Railroad Technology.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	Administration			
	State Operations:			
0042	State Highway Account, State Transportation Fund	\$742	\$1,056	\$1,175
0046	Public Transportation Account, State Transportation Fund	1,282	1,334	1,371
0995	Reimbursements	366	506	507
6055	Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	192	198	204
6056	Trade Corridors Improvement Fund	141	191	198
6058	Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	179	183
6059	Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006	10	52	53
6060	State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	91	94
6062	Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	10	9
6063	Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	11	33	34
6064	Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	81	86
	Totals, State Operations	\$2,744	\$3,731	\$3,914
PROGRAM REQUIREMENTS				
30	Clean Air and Transportation			
	Local Assistance:			
0703	Clean Air and Transportation Improvement Fund	\$2,351	\$25,000	\$25,000
	Totals, Local Assistance	\$2,351	\$25,000	\$25,000
TOTALS, EXPENDITURES				
	State Operations	2,744	3,731	3,914

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission - Continued

	2009-10*	2010-11*	2011-12*
Local Assistance	2,351	25,000	25,000
Totals, Expenditures	\$5,095	\$28,731	\$28,914

EXPENDITURES BY CATEGORY

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
1 State Operations						
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	15.9	20.0	20.0	\$1,313	\$1,711	\$1,725
Total Adjustments	-	-	-	-	-95	-
Estimated Salary Savings	-	-1.9	-1.9	-	-144	-144
Net Totals, Salaries and Wages	15.9	18.1	18.1	\$1,313	\$1,472	\$1,581
Staff Benefits	-	-	-	472	525	530
Totals, Personal Services	15.9	18.1	18.1	\$1,785	\$1,997	\$2,111
OPERATING EXPENSES AND EQUIPMENT				\$959	\$1,734	\$1,803
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,744	\$3,731	\$3,914

	Expenditures		
	2009-10*	2010-11*	2011-12*
2 Local Assistance			
Grants and Subventions	\$2,351	\$25,000	\$25,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$2,351	\$25,000	\$25,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

	2009-10*	2010-11*	2011-12*
1 STATE OPERATIONS			
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$888	\$1,122	\$1,175
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	1	9	-
Reduction per Section 3.90	-141	-27	-
Reduction per Control Section 3.91	-	-50	-
Totals Available	\$748	\$1,056	\$1,175
Unexpended balance, estimated savings	-6	-	-
TOTALS, EXPENDITURES	\$742	\$1,056	\$1,175
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,387	\$1,411	\$1,371
Allocation for employee compensation	-	3	-
Adjustment per Section 3.60	2	16	-
Reduction per Section 3.90	-92	-33	-
Reduction per Section 15.30	-2	-	-
Reduction per Control Section 3.91	-	-63	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$1,294	\$1,334	\$1,371
Unexpended balance, estimated savings	-12	-	-
TOTALS, EXPENDITURES	\$1,282	\$1,334	\$1,371

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$366	\$506	\$507
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$208	\$208	\$204
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	3	-
Reduction per Section 3.90	-	-5	-
Reduction per Control Section 3.91	-	-9	-
Totals Available	\$208	\$198	\$204
Unexpended balance, estimated savings	-16	-	-
TOTALS, EXPENDITURES	\$192	\$198	\$204
6056 Trade Corridors Improvement Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$203	\$203	\$198
Adjustment per Section 3.60	-	2	-
Reduction per Section 3.90	-1	-5	-
Reduction per Control Section 3.91	-	-9	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$201	\$191	\$198
Unexpended balance, estimated savings	-60	-	-
TOTALS, EXPENDITURES	\$141	\$191	\$198
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$186	\$187	\$183
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	-	3	-
Reduction per Section 3.90	-12	-4	-
Reduction per Control Section 3.91	-	-8	-
Totals Available	\$174	\$179	\$183
Unexpended balance, estimated savings	-174	-	-
TOTALS, EXPENDITURES	\$-	\$179	\$183
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$54	\$54	\$53
Adjustment per Section 3.60	-	1	-
Reduction per Section 3.90	-4	-1	-
Reduction per Control Section 3.91	-	-2	-
Totals Available	\$50	\$52	\$53
Unexpended balance, estimated savings	-40	-	-
TOTALS, EXPENDITURES	\$10	\$52	\$53
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$96	\$96	\$94
Adjustment per Section 3.60	-	1	-

* Dollars in thousands, except in Salary Range.

2600 California Transportation Commission - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Reduction per Section 3.90	-7	-2	-
Reduction per Control Section 3.91	-	-4	-
Totals Available	\$89	\$91	\$94
Unexpended balance, estimated savings	-89	-	-
TOTALS, EXPENDITURES	\$-	\$91	\$94
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$10	\$10	\$9
Reduction per Section 3.90	-1	-	-
Totals Available	\$9	\$10	\$9
Unexpended balance, estimated savings	-9	-	-
TOTALS, EXPENDITURES	\$-	\$10	\$9
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$35	\$35	\$34
Adjustment per Section 3.60	-	1	-
Reduction per Section 3.90	-2	-1	-
Reduction per Control Section 3.91	-	-2	-
Totals Available	\$33	\$33	\$34
Unexpended balance, estimated savings	-22	-	-
TOTALS, EXPENDITURES	\$11	\$33	\$34
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
001 Budget Act appropriation	\$87	\$87	\$86
Reduction per Section 3.90	-6	-2	-
Reduction per Control Section 3.91	-	-4	-
Totals Available	\$81	\$81	\$86
Unexpended balance, estimated savings	-81	-	-
TOTALS, EXPENDITURES	\$-	\$81	\$86
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$2,744	\$3,731	\$3,914
2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
0703 Clean Air and Transportation Improvement Fund			
APPROPRIATIONS			
Public Utilities Code Sec 99612	\$2,351	\$25,000	\$25,000
TOTALS, EXPENDITURES	\$2,351	\$25,000	\$25,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$2,351	\$25,000	\$25,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$5,095	\$28,731	\$28,914

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	15.9	20.0	20.0	\$1,313	\$1,711	\$1,725
Furlough Adjustments	-	-	-	-	-50	-
PLP Adjustments	-	-	-	-	-45	-
Total Adjustments	-	-1.9	-1.9	\$-	-\$95	\$-
TOTAL SALARIES AND WAGES	15.9	18.1	18.1	\$1,313	\$1,616	\$1,725

* Dollars in thousands, except in Salary Range.

2640 State Transit Assistance

The State Transit Assistance budget provides funding for allocation to local transit agencies to fund a portion of the operations and capital costs associated with local mass transportation programs.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Administration of Transit Programs	-	-	-	\$463,923	\$100,000	\$829,587
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	-	-	-	\$463,923	\$100,000	\$829,587
FUNDING				2009-10*	2010-11*	2011-12*
0046 Public Transportation Account, State Transportation Fund				\$400,000	\$-	\$329,587
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006				63,923	100,000	500,000
TOTALS, EXPENDITURES, ALL FUNDS				\$463,923	\$100,000	\$829,587

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Utilities Code Sections 99312; Revenue and Taxation Code 7102; Government Code Section 29530.

MAJOR PROGRAM CHANGES

- Proposition 1B expenditures are based on recent and projected bond sales.
- The Budget proposes to use non-Article XIX revenues in the State Highway Account to offset General Fund debt service costs. The \$23 million in additional funding that was appropriated to State Transit Assistance in the tax swap from non-Article XIX revenues will instead be funded from the balance in the Public Transportation Account. \$12 million in 2012-13 will be funded from an adjustment in the diesel sales and excise tax rates.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Miscellaneous Adjustments	\$-	\$450,346	-	\$-	\$329,587	-
• Carryover/Reappropriation	-	-1,850,346	-	-	-1,000,000	-
Totals, Other Workload Budget Adjustments	\$-	-\$1,400,000	-	\$-	-\$670,413	-
Totals, Workload Budget Adjustments	\$-	-\$1,400,000	-	\$-	-\$670,413	-
Totals, Budget Adjustments	\$-	-\$1,400,000	-	\$-	-\$670,413	-

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF TRANSIT PROGRAMS

The Administration Program provides funds to local agencies for the operation and construction of public mass transit systems and for street and road projects in rural areas.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10 Administration of Transit Programs				
Local Assistance:				
0046 Public Transportation Account, State Transportation Fund		\$400,000	\$-	\$329,587

* Dollars in thousands, except in Salary Range.

2640 State Transit Assistance - Continued

	2009-10*	2010-11*	2011-12*
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	63,923	100,000	500,000
Totals, Local Assistance	\$463,923	\$100,000	\$829,587
ELEMENT REQUIREMENTS			
10.10 Administration	\$463,923	\$100,000	\$829,587
Local Assistance:			
0046 Public Transportation Account, State Transportation Fund	400,000	-	329,587
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	63,923	100,000	500,000
TOTALS, EXPENDITURES			
Local Assistance	463,923	100,000	829,587
Totals, Expenditures	\$463,923	\$100,000	\$829,587

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
Public Utilities Code Section 99312	-	-	\$306,587
Pending Legislation	-	-	23,000
Chapter 12, Statutes of 2009, Eight Extraordinary Session	\$400,000	-	-
TOTALS, EXPENDITURES	\$400,000	\$-	\$329,587
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$350,000	\$1,500,000	-
Prior year balances available:			
Item 2640-104-6059, Budget Act of 2007	34,692	15,332	\$1
Item 2640-104-6059, Budget Act of 2008	129,577	85,096	426
Item 2640-104-6059, Budget Act of 2009	-	349,918	349,918
Item 2640-104-6059, Budget Act of 2010	-	-	1,500,000
Totals Available	\$514,269	\$1,950,346	\$1,850,345
Balance available in subsequent years	-450,346	-1,850,346	-1,350,345
TOTALS, EXPENDITURES	\$63,923	\$100,000	\$500,000
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$463,923	\$100,000	\$829,587

2660 Department of Transportation

The mission of the California Department of Transportation (Caltrans) is to improve mobility across California through safety, mobility, delivery, stewardship, and service.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Aeronautics	22.7	24.9	24.9	\$2,442	\$7,496	\$7,015
20 Highway Transportation	15,671.5	17,413.2	17,205.1	10,750,161	12,158,068	11,593,784

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
20.10 Capital Outlay Support	8,370.3	9,307.3	9,119.6	1,457,325	1,781,091	1,825,801
20.20 Capital Outlay Projects	-	-	-	5,277,630	6,230,670	5,689,026
20.30 Local Assistance	286.6	344.9	323.0	2,445,695	2,356,139	2,116,714
20.40 Program Development	213.7	241.9	241.0	62,250	75,493	82,019
20.65 Legal	251.5	280.2	280.2	109,778	120,886	122,226
20.70 Operations	1,247.1	1,417.6	1,417.6	175,772	219,472	244,557
20.80 Maintenance	5,302.3	5,821.3	5,823.7	1,221,711	1,374,317	1,513,441
30 Mass Transportation	131.2	175.8	171.4	255,742	805,143	1,024,214
40 Transportation Planning	526.9	617.7	634.8	152,314	169,883	183,142
50.10 Administration Program Costs	1,456.9	1,689.5	1,636.4	96,908	446,713	484,298
50.20 Distributed Administration Program Costs	-	-	-	109,464	-446,713	-484,298
50.60 Business, Information and Technical Services	-	-	-	184,831	-	-
60.10 Equipment Service Program Costs	596.4	704.3	704.3	153,619	227,542	255,445
60.20 Distributed Equipment Service Program Costs	-	-	-	-153,619	-227,542	-255,445
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	18,405.6	20,625.4	20,376.9	\$11,551,862	\$13,140,590	\$12,808,155
FUNDING				2009-10*	2010-11*	2011-12*
0001 General Fund				\$1,520,037	\$83,416	\$83,416
0041 Aeronautics Account, State Transportation Fund				4,245	7,369	7,589
0042 State Highway Account, State Transportation Fund				2,849,323	3,342,518	3,572,846
0045 Bicycle Transportation Account, State Transportation Fund				7,220	7,210	7,210
0046 Public Transportation Account, State Transportation Fund				156,229	373,786	210,378
0052 Local Airport Loan Account				-2,507	-950	-1,051
0183 Environmental Enhancement and Mitigation Program Fund				10,000	10,000	10,000
0365 Historic Property Maintenance Fund				1,619	1,641	1,640
0653 Seismic Retrofit Bond Fund of 1996				3,034	9,679	8,045
0890 Federal Trust Fund				4,414,529	4,684,396	4,284,061
0942 Special Deposit Fund				8,626	11,074	-
0995 Reimbursements				1,656,632	1,323,321	1,124,625
3007 Traffic Congestion Relief Fund				162,009	93,295	107,164
3008 Transportation Investment Fund				-722,083	-	-
3093 Transportation Deferred Investment Fund				-74,027	-83,416	-83,416
6043 High - Speed Passenger Train Bond Fund				-	100,990	27,904
6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006				2,819	-	-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006				1,031,208	1,110,802	1,287,329
6056 Trade Corridors Improvement Fund				82,435	490,065	938,728
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006				250,203	503,217	293,009
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006				-760	63,708	122,660
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006				68,891	263,563	315,855
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006				11,377	9,986	23,653
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006				25,383	187,450	22,123
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006				68,181	141,220	117,797

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

FUNDING	2009-10*	2010-11*	2011-12*
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	17,050	406,249	326,589
6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund	189	1	1
TOTALS, EXPENDITURES, ALL FUNDS	\$11,551,862	\$13,140,590	\$12,808,155

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Streets and Highways Code Section 90 et seq., Government Code Section 14000 et seq.

PROGRAM AUTHORITY

10-Aeronautics:

Public Utilities Code Section 21001-21707.

20-Highway Transportation:

Government Code Section 14000 et seq., Streets and Highways Code Section 100.1.

30-Mass Transportation:

Government Code Section 14000 et seq.

40-Transportation Planning:

Government Code Sections 14000, 14000.5, 14520.3(b), 14526(b), 14527(g), 14529, 65070 - 65073, and 65080.1- 65086.5, 65400, 65583, 65584.01, 65584.04, 65584.04, 65587, 65588 and 29532 et seq.

Public Resources Code Chapter 4.2 Division 13 Section 21155 and Section 75123

Health and Safety Code Division 25.5 Section 38500

Streets and Highways Code 164.6;

Federal Highway Act 23 U.S.C. 104(f), 106, 134, 135, 505; CFR 450.314

60-Equipment:

Streets and Highways Code Section 140.

MAJOR PROGRAM CHANGES

- The Budget proposes the use of \$262.4 million in weight fee revenues in 2010-11 and \$700 million in 2011-12 to reimburse the General Fund for debt service payments made on certain general obligation highway and transit bonds. The Budget proposes the use of \$77.5 million in revenues that are not restricted by the constitution, such as revenue from the rental of state property, in 2011-12 to reimburse the General Fund for debt service payments made on Proposition 116 transit bonds.
- The Budget proposes a loan of \$494 million in weight fee revenues to the General Fund in 2010-11 and \$166.3 million in 2011-12.
- The Budget proposes \$2.3 billion for capital funding of Proposition 1B bond funded projects including \$631.2 million for corridor mobility, \$972.3 million for trade corridors, \$117 million for public transit modernization, \$200 million for state-local partnership projects, \$22 million for local bridge seismic safety, and \$391.9 million for State Route 99.
- The Budget proposes an increase of \$62.1 million from the State Highway Account to replace 508 vehicles to comply with various federal and state air quality mandates.
- The Budget proposes a decrease of \$3 million and 35 positions in 2011-12 as a result of the workload reduction and efficiencies from implementing the Enterprise Resource Planning Financial Infrastructure program.
- The Budget proposes an increase of \$2.4 million and 18 positions to complete Project Initiation Documents for state and locally-funded projects on the state highway system. This includes a decrease of \$4.9 million in State Highway Account resources and an increase of \$7.2 million in reimbursements from locals to complete Project Initiation Documents on locally-funded projects.

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

- The Budget proposes a redirection of \$1.4 million in 2011-12 from local federal subvention funds and 9 positions for six-years limited-term to complete federally-mandated load ratings on local bridges.
- The Budget proposes an increase of \$274,000 and 3 limited-term positions in 2011-12 for three years to administer the Job Access Reverse Commute and New Freedom federal grant programs and process applications for new projects.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Air Quality Mandates	\$-	\$-	-	\$-	\$63,272	-
• Eureka District 1 Office Infrastructure Repairs Project - Construction	-	-	-	-	8,716	-
• Planning PID Program Workload - Zero Base Justification	-	-	-	-	2,408	17.1
• Fuel Cost Increase	-	-	-	-	1,663	-
• Job Access and Reverse Commute/New Freedom (JARC/NF)	-	-	-	-	274	2.9
• Load Rating of Local Bridges	-	-	-	-	-	8.6
• Proposition 1A - Commuter and Urban Rail	-	-	-	-	-	-
• PRSM Liquidation Period Extension	-	-	-	-	-	-
• E-FIS Reduction	-	-	-	-	-3,025	-33.3
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$73,308	-4.7
Other Workload Budget Adjustments						
• Miscellaneous Baseline Adjustments	\$-	\$72,726	-	\$-	\$108,653	-
• Retirement Rate Adjustment	-	43,358	-	-	43,358	-
• Proposition 1B Redistribution of Audit Costs	-	-	-	-	-	-
• Lease Revenue Debt Service Adjustments	-	2	-	-	-4	-
• Abolished Vacant Positions	-	-1,254	-	-	-1,254	-
• Employee Compensation Adjustments	-	-172,796	-	-	-5,833	-
• One-time Cost Reductions	-	-	-	-	-13,532	-
• Workforce Cap Adjustment	-	-40,971	-307.0	-	-40,971	-307.0
• Carryover Adjustments	-	-110,464	-	-	-129,285	-
• Capital Outlay and Local Assistance Expenditure Adjustments	-	-480,614	-	-	4,389,986	-
• Prop 1B Expenditure Adjustments	-	-271,859	-	-	1,669,467	-
• Capital Outlay and Local Assistance Carryover Adjustments	-	432,499	-	-	-1,004,534	-
• Prop 1B Carryover Adjustments	-	1,033,229	-	-	1,009,985	-
Totals, Other Workload Budget Adjustments	\$-	\$503,856	-307.0	\$-	\$6,026,036	-307.0
Totals, Workload Budget Adjustments	\$-	\$503,856	-307.0	\$-	\$6,099,344	-311.7
Totals, Budget Adjustments	\$-	\$503,856	-307.0	\$-	\$6,099,344	-311.7

PROGRAM DESCRIPTIONS

10 - AERONAUTICS

The Division of Aeronautics supports California's aviation activities by promoting safe and effective use of existing airports and heliports. This program ensures that airports and heliports comply with safety regulations, provides engineering and financial assistance for safety and infrastructure improvements, maintains the California Aviation System Plan to reflect changes in the aviation network, provides guidance for land use compatibility in areas around airports, administers airport noise standards regulations, enhances goods movement to and from airports through improved ground access, and promotes and maintains aviation safety.

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

20 - HIGHWAY TRANSPORTATION

The Highway Transportation Program operates, maintains, and continues development of California's state highways. Development and delivery of capital projects make up the largest portion of these efforts. The program also meets its objectives through: (1) coordination and control required by federal and state law for implementing transportation projects, (2) furnishing assistance to city and county transportation programs, and (3) management of traffic through a system of monitoring, analysis, and control. In addition, this program strives to improve highway travel, safety, and the environment through testing, research, and technology development.

30 - MASS TRANSPORTATION

The objective of the Mass Transportation Program is to support the state's transportation system by providing leadership in the implementation of safe, effective public transportation, improved air quality, and environmental protection. The program achieves its objective through: (1) the administration of intercity rail service in California, including capital projects and rail car management, (2) management of state and federal capital and operations grant programs, and (3) planning, support, and coordination of mass transportation services, and (4) administering the Public Transportation Modernization, Improvement and Service Enhancement Account (PTMISEA) of the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 (Bond Act). Additionally, the Mass Transportation Program serves to: (1) improve intercity bus passenger service through enhanced services and facilities, (2) improve public transportation needs for all persons, including the elderly, the disabled, and the economically-disadvantaged, (3) improve urban/commuter rail services, and (4) enhance mobility options in congested corridors.

40 - TRANSPORTATION PLANNING

The Transportation Planning Program implements statewide transportation policy through coordination at the local and regional levels and develops transportation plans and projects. The Department prepares the long-range state transportation plan required by state and federal laws and provides long-range transportation system planning and transportation planning studies as input to the regional transportation plans, the State Transportation Improvement Program (STIP), and departmental policies and programs such as Goods Movement, Climate Action, and Regional Blueprint Planning. The Department also prepares the Interregional Transportation Strategic Plan, which guides investment of the Interregional Improvement Program funds in the STIP.

50 - ADMINISTRATION

The Administration Program provides the functions required to support the programmatic responsibilities of the department. Major activities include accounting, budgeting, auditing, office facility operations and management, information technology, and a wide range of administrative services including human resources, procurement and contracting, training, workforce planning, and labor relations.

60 - EQUIPMENT

The Equipment Program provides mobile fleet equipment and services to other departmental programs through: (1) purchasing new vehicles, (2) receiving, servicing, and equipping new units, (3) assembling equipment components into completed units, (4) managing the fleet, (5) repairing and maintaining the fleet, including payments for fuel and insurance, and (6) disposing of used vehicles.

DETAILED EXPENDITURES BY PROGRAM

	2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS			
10 Aeronautics			
State Operations:			
0041 Aeronautics Account, State Transportation Fund	\$2,609	\$3,369	\$3,589
0890 Federal Trust Fund	784	1,036	436
0995 Reimbursements	41	41	41
Totals, State Operations	\$3,434	\$4,446	\$4,066
Local Assistance:			
0041 Aeronautics Account, State Transportation Fund	1,515	4,000	4,000
0052 Local Airport Loan Account	-2,507	-950	-1,051
Totals, Local Assistance	-\$992	\$3,050	\$2,949
ELEMENT REQUIREMENTS			
10.10 Safety and Local Assistance	\$2,295	\$7,250	\$6,769
State Operations			
0041 Aeronautics Account, State Transportation Fund	2,462	3,123	3,343
0890 Federal Trust Fund	784	1,036	436
0995 Reimbursements	41	41	41

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
Local Assistance			
0041 Aeronautics Account, State Transportation Fund	1,515	4,000	4,000
0052 Local Airport Loan Account	-2,507	-950	-1,051
10.65 Legal	\$147	\$246	\$246
State Operations			
0041 Aeronautics Account, State Transportation Fund	147	246	246
PROGRAM REQUIREMENTS			
20 Highway Transportation			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$1,946,020	\$2,498,023	\$2,727,320
0045 Bicycle Transportation Account, State Transportation Fund	10	10	10
0046 Public Transportation Account, State Transportation Fund	360	153	153
0365 Historic Property Maintenance Fund	1,616	1,641	1,640
0653 Seismic Retrofit Bond Fund of 1996	1,623	5,679	7,045
0890 Federal Trust Fund	526,763	667,367	643,379
0995 Reimbursements	268,726	314,916	323,533
3007 Traffic Congestion Relief Fund	15,643	16,183	15,740
3008 Transportation Investment Fund	199,608	-	-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	26,306	40,722	42,203
6056 Trade Corridors Improvement Fund	261	2,834	2,965
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	54,955	55,299	58,335
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006	-	24	19
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	197	682	727
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	61	1,752	1,653
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	-	24	24
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	16,462	17,087	17,536
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	3,069	13,673	14,466
6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund	188	-	-
Totals, State Operations	\$3,061,868	\$3,636,069	\$3,856,748
Local Assistance:			
0042 State Highway Account, State Transportation Fund	\$126,659	\$266,958	\$190,118
0045 Bicycle Transportation Account, State Transportation Fund	7,200	7,200	7,200

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
0183 Environmental Enhancement and Mitigation Program Fund	10,000	10,000	10,000
0890 Federal Trust Fund	1,985,986	1,315,473	1,270,177
3007 Traffic Congestion Relief Fund	20,801	-	-
3008 Transportation Investment Fund	66,166	-	-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	2,370	28,835	28,835
6056 Trade Corridors Improvement Fund	51,266	135,173	213,414
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	7,075	79,148	34,674
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	61,397	177,424	191,671
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	11,280	8,234	22,000
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	24,913	186,886	21,750
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	35,550	90,251	71,767
Totals, Local Assistance	\$2,410,663	\$2,305,582	\$2,061,606
Capital Outlay:			
0042 State Highway Account, State Transportation Fund	\$374,863	\$520,837	\$595,974
0653 Seismic Retrofit Bond Fund of 1996	1,411	4,000	1,000
0890 Federal Trust Fund	1,725,118	2,471,861	2,064,897
0942 Special Deposit Fund	8,626	11,074	-
0995 Reimbursements	1,357,300	993,202	786,080
3007 Traffic Congestion Relief Fund	96,090	36,835	51,139
3008 Transportation Investment Fund	448,764	-	-
3093 Transportation Deferred Investment Fund	9,389	-	-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	1,001,581	1,041,245	1,216,291
6056 Trade Corridors Improvement Fund	30,449	297,134	380,431
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	187,290	368,770	200,000
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	7,214	40,000	34,000
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	15,913	33,882	28,494
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	13,621	392,576	312,123
6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund	1	1	1

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
Totals, Capital Outlay	\$5,277,630	\$6,211,417	\$5,670,430
Unclassified:			
0001 General Fund	\$1,520,037	\$83,416	\$83,416
0890 Federal Trust Fund	-	5,000	5,000
3008 Transportation Investment Fund	-1,436,621	-	-
3093 Transportation Deferred Investment Fund	-83,416	-83,416	-83,416
Totals, Unclassified	\$-	\$5,000	\$5,000
ELEMENT REQUIREMENTS			
20.10 Capital Outlay Support	\$1,457,324	\$1,781,091	\$1,825,801
State Operations:			
0042 State Highway Account, State Transportation Fund	520,484	852,214	908,995
0365 Historic Property Maintenance Fund	1,616	1,641	1,640
0653 Seismic Retrofit Bond Fund of 1996	1,623	5,560	6,926
0890 Federal Trust Fund	386,658	506,766	481,925
0995 Reimbursements	234,396	270,187	276,250
3007 Traffic Congestion Relief Fund	15,643	16,183	15,740
3008 Transportation Investment Fund	197,479	-	-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	25,882	40,536	42,006
6056 Trade Corridors Improvement Fund	-	2,551	2,663
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	54,276	55,158	58,166
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	16,101	16,682	17,089
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	2,979	13,613	14,401
6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund	188	-	-
20.20 Capital Outlay Projects	\$5,277,630	\$6,230,670	\$5,689,026
State Operations:			
0042 State Highway Account, State Transportation Fund	-	3,900	3,900
0890 Federal Trust Fund	-	14,694	14,695
0995 Reimbursements	-	1	1
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	658	-
Capital Outlay:			
0042 State Highway Account, State Transportation Fund	374,863	520,837	595,974
0653 Seismic Retrofit Bond Fund of 1996	1,411	4,000	1,000
0890 Federal Trust Fund	1,725,118	2,471,861	2,064,897
0942 Special Deposit Fund	8,626	11,074	-
0995 Reimbursements	1,357,300	993,202	786,080
3007 Traffic Congestion Relief Fund	96,090	36,835	51,139
3008 Transportation Investment Fund	448,764	-	-
3093 Transportation Deferred Investment Fund	9,389	-	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	1,001,581	1,041,245	1,216,291
6056 Trade Corridors Improvement Fund	30,449	297,134	380,431
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	187,290	368,770	200,000
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	7,214	40,000	34,000
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	15,913	33,882	28,494
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	13,621	392,576	312,123
6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund	1	1	1
20.30 Local Assistance	\$2,445,695	\$2,356,139	\$2,116,714
State Operations:			
0042 State Highway Account, State Transportation Fund	32,973	41,916	45,758
0045 Bicycle Transportation Account, State Transportation Fund	10	10	10
0890 Federal Trust Fund	175	206	206
0995 Reimbursements	521	1,108	1,162
6056 Trade Corridors Improvement Fund	261	135	144
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	543	96	113
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	197	-	701
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	61	1,728	1,627
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	291	358	387
Local Assistance:			
0042 State Highway Account, State Transportation Fund	126,659	266,958	190,118
0045 Bicycle Transportation Account, State Transportation Fund	7,200	7,200	7,200
0183 Environmental Enhancement and Mitigation Program Fund	10,000	10,000	10,000
0890 Federal Trust Fund	1,985,986	1,315,473	1,270,177
3007 Traffic Congestion Relief Fund	20,801	-	-
3008 Transportation Investment Fund	66,166	-	-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	2,370	28,835	28,835
6056 Trade Corridors Improvement Fund	51,266	135,173	213,414

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	7,075	79,148	34,674
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	61,397	177,424	191,671
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	11,280	8,234	22,000
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	24,913	186,886	21,750
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	35,550	90,251	71,767
Unclassified:			
0001 General Fund	1,520,037	83,416	83,416
0890 Federal Trust Fund	-	5,000	5,000
3008 Transportation Investment Fund	-1,436,621	-	-
3093 Transportation Deferred Investment Fund	-83,416	-83,416	-83,416
20.40 Program Development	\$62,250	\$75,493	\$82,019
State Operations:			
0042 State Highway Account, State Transportation Fund	33,064	38,058	43,606
0046 Public Transportation Account, State Transportation Fund	360	1	1
0890 Federal Trust Fund	26,072	35,992	36,921
0995 Reimbursements	2,034	860	860
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	424	186	197
6056 Trade Corridors Improvement Fund	-	148	158
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	136	45	56
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006	-	24	19
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	24	26
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	24	26
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	-	24	24
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	70	47	60
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	90	60	65
20.65 Legal	\$109,778	\$120,886	\$122,226

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
State Operations:			
0042 State Highway Account, State Transportation Fund	107,649	117,987	119,327
0653 Seismic Retrofit Bond Fund of 1996	-	119	119
0890 Federal Trust Fund	-	2,297	2,297
0995 Reimbursements	-	483	483
3008 Transportation Investment Fund	2,129	-	-
20.70 Operations	\$175,772	\$219,472	\$244,557
State Operations:			
0042 State Highway Account, State Transportation Fund	152,704	189,139	212,466
0046 Public Transportation Account, State Transportation Fund	-	152	152
0890 Federal Trust Fund	2,429	2,059	2,059
0995 Reimbursements	20,639	28,122	29,880
20.80 Maintenance	\$1,221,711	\$1,374,317	\$1,513,441
State Operations:			
0042 State Highway Account, State Transportation Fund	1,099,146	1,254,809	1,393,268
0890 Federal Trust Fund	111,429	105,353	105,276
0995 Reimbursements	11,136	14,155	14,897
PROGRAM REQUIREMENTS			
30 Mass Transportation			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$392	\$3,815	\$2,236
0046 Public Transportation Account, State Transportation Fund	129,045	138,513	142,125
0890 Federal Trust Fund	2,422	3,528	3,518
0995 Reimbursements	572	894	921
3007 Traffic Congestion Relief Fund	249	277	285
6043 High - Speed Passenger Train Bond Fund	-	-	94
6056 Trade Corridors Improvement Fund	93	48	63
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	788	1,184	1,142
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	360	540	349
Totals, State Operations	\$133,921	\$148,799	\$150,733
Local Assistance:			
0046 Public Transportation Account, State Transportation Fund	8,025	184,501	30,930
0890 Federal Trust Fund	81,424	53,043	49,487
3007 Traffic Congestion Relief Fund	40,000	40,000	40,000
6043 High - Speed Passenger Train Bond Fund	-	38,500	7,000
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	45,457	89,457
Totals, Local Assistance	\$129,449	\$361,501	\$216,874
Capital Outlay:			
0046 Public Transportation Account, State Transportation Fund	-	30,712	15,000
0890 Federal Trust Fund	5,000	74,626	152,806

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
0995 Reimbursements	-	10,000	5,000
3007 Traffic Congestion Relief Fund	-10,774	-	-
6043 High - Speed Passenger Train Bond Fund	-	62,490	20,810
6056 Trade Corridors Improvement Fund	-	54,515	341,492
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, HighwaySafety, TrafficReduction, Air Quality, & Port Security Fd of 2006	-1,854	62,500	121,499
Totals, Capital Outlay	-\$7,628	\$294,843	\$656,607
ELEMENT REQUIREMENTS			
30.10 State and Federal Mass Transit	\$138,536	\$374,599	\$230,580
State Operations:			
0042 State Highway Account, State Transportation Fund	-	64	80
0046 Public Transportation Account, State Transportation Fund	5,431	8,273	8,803
0890 Federal Trust Fund	2,422	3,019	3,009
0995 Reimbursements	572	660	687
3007 Traffic Congestion Relief Fund	249	277	285
6043 High - Speed Passenger Train Bond Fund	-	-	94
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, HighwaySafety, TrafficReduction, Air Quality, & Port Security Fd of 2006	413	805	748
Local Assistance:			
0046 Public Transportation Account, State Transportation Fund	8,025	184,501	30,930
0890 Federal Trust Fund	81,424	53,043	49,487
3007 Traffic Congestion Relief Fund	40,000	40,000	40,000
6043 High - Speed Passenger Train Bond Fund	-	38,500	7,000
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	45,457	89,457
30.20 Intercity Rail Passenger Program	\$117,132	\$430,447	\$793,537
State Operations:			
0042 State Highway Account, State Transportation Fund	392	3,751	2,156
0046 Public Transportation Account, State Transportation Fund	123,540	130,143	133,225
0890 Federal Trust Fund	-	509	509
0995 Reimbursements	-	234	234
6056 Trade Corridors Improvement Fund	93	48	63
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, HighwaySafety, TrafficReduction, Air Quality, & Port Security Fd of 2006	375	379	394
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	360	540	349
Capital Outlay:			
0046 Public Transportation Account, State Transportation Fund	-	30,712	15,000
0890 Federal Trust Fund	5,000	74,626	152,806
0995 Reimbursements	-	10,000	5,000
3007 Traffic Congestion Relief Fund	-10,774	-	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
6043 High - Speed Passenger Train Bond Fund	-	62,490	20,810
6056 Trade Corridors Improvement Fund	-	54,515	341,492
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	-1,854	62,500	121,499
30.65 Legal	\$74	\$97	\$97
State Operations:			
0046 Public Transportation Account, State Transportation Fund	74	97	97
PROGRAM REQUIREMENTS			
40 Transportation Planning			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$28,451	\$40,885	\$45,198
0046 Public Transportation Account, State Transportation Fund	15,614	19,907	22,170
0890 Federal Trust Fund	24,117	28,462	31,361
0995 Reimbursements	9,749	4,268	9,050
6056 Trade Corridors Improvement Fund	127	361	363
Totals, State Operations	\$78,058	\$93,883	\$108,142
Local Assistance:			
0042 State Highway Account, State Transportation Fund	\$11,662	\$12,000	\$12,000
0890 Federal Trust Fund	62,594	64,000	63,000
Totals, Local Assistance	\$74,256	\$76,000	\$75,000
ELEMENT REQUIREMENTS			
40.10 Statewide Planning	\$74,448	\$87,449	\$101,708
State Operations:			
0042 State Highway Account, State Transportation Fund	28,451	40,885	45,198
0046 Public Transportation Account, State Transportation Fund	14,724	15,123	17,386
0890 Federal Trust Fund	21,397	26,812	29,711
0995 Reimbursements	9,749	4,268	9,050
6056 Trade Corridors Improvement Fund	127	361	363
40.20 Regional Planning	\$77,866	\$82,434	\$81,434
State Operations:			
0046 Public Transportation Account, State Transportation Fund	890	4,784	4,784
0890 Federal Trust Fund	2,720	1,650	1,650
Local Assistance:			
0042 State Highway Account, State Transportation Fund	11,662	12,000	12,000
0890 Federal Trust Fund	62,594	64,000	63,000
50 Administration			
State Operations:			
0041 Aeronautics Account, State Transportation Fund	\$121	\$-	\$-
0042 State Highway Account, State Transportation Fund	361,276	-	-
0045 Bicycle Transportation Account, State Transportation Fund	10	-	-
0046 Public Transportation Account, State Transportation Fund	3,185	-	-
0365 Historic Property Maintenance Fund	3	-	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
0890 Federal Trust Fund	321	-	-
0995 Reimbursements	20,244	-	-
6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	2,819	-	-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	951	-	-
6056 Trade Corridors Improvement Fund	239	-	-
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	883	-	-
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	306	-	-
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	83	-	-
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	36	-	-
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	110	-	-
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	256	-	-
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	360	-	-
Totals, State Operations	\$391,203	\$-	\$-
ELEMENT REQUIREMENTS			
50.10 General Administration / Administration Program Costs	\$96,908	\$446,713	\$484,298
State Operations:			
0041 Aeronautics Account, State Transportation Fund	-	427	524
0042 State Highway Account, State Transportation Fund	69,915	406,597	444,719
0046 Public Transportation Account, State Transportation Fund	414	6,703	6,538
0365 Historic Property Maintenance Fund	-	12	11
0890 Federal Trust Fund	321	15,075	15,077
0995 Reimbursements	20,215	12,377	12,377
3007 Traffic Congestion Relief Fund	-	238	-
6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	2,819	-	-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	951	1,413	1,561
6056 Trade Corridors Improvement Fund	239	513	464
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	883	834	1,038

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	306	226	269
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	83	109	109
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	36	658	475
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	110	197	50
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	256	995	778
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	360	339	308
50.20 Central Administration / Distributed Administration Program Costs	\$109,464	-\$446,713	-\$484,298
State Operations:			
0041 Aeronautics Account, State Transportation Fund	121	-427	-524
0042 State Highway Account, State Transportation Fund	107,661	-406,597	-444,719
0045 Bicycle Transportation Account, State Transportation Fund	10	-	-
0046 Public Transportation Account, State Transportation Fund	1,669	-6,703	-6,538
0365 Historic Property Maintenance Fund	3	-12	-11
0890 Federal Trust Fund	-	-15,075	-15,077
0995 Reimbursements	-	-12,377	-12,377
3007 Traffic Congestion Relief Fund	-	-238	-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	-1,413	-1,561
6056 Trade Corridors Improvement Fund	-	-513	-464
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	-834	-1,038
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006	-	-226	-269
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	-109	-109
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	-658	-475
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	-	-197	-50
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	-995	-778

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	-	-339	-308
50.60 Business, Information and Technical Services	\$184,831	\$-	\$-
State Operations:			
0042 State Highway Account, State Transportation Fund	183,700	-	-
0046 Public Transportation Account, State Transportation Fund	1,102	-	-
0995 Reimbursements	29	-	-
ELEMENT REQUIREMENTS			
50.10 Administration Program Costs	96,908	446,713	484,298
0041-Aeronautics Account, State Transportation Fund	(-)	(427)	(524)
0042-State Highway Account, State Transportation Fund	(-)	(406,597)	(444,719)
0046-Public Transportation Account, State Transportation Fund	(-)	(6,703)	(6,538)
0365-Historic Property Maintenance Fund	(-)	(12)	(11)
0890-Federal Trust Fund	(-)	(15,075)	(15,077)
0995-Reimbursements	(-)	(12,377)	(12,377)
3007-Traffic Congestion Relief Fund	(-)	(238)	(-)
6053-Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	(-)	(-)	(-)
6055-Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	(-)	(1,413)	(1,561)
6056-Trade Corridors Improvement Fund	(-)	(513)	(464)
6058-Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	(-)	(834)	(1,038)
6059-Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006	(-)	(226)	(269)
6060-State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	(-)	(109)	(109)
6062-Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	(-)	(658)	(475)
6063-Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006	(-)	(197)	(50)
6064-Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	(-)	(995)	(778)
6072-State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006	(-)	(339)	(308)
50.20 Distributed Administration Program Costs	109,464	(446,713)	(484,298)
10-Aeronautics	(-)	(428)	(525)
20.10-Capital Outlay Support	(-)	(-234,662)	(-197,134)
20.20-Capital Outlay Projects	(-)	(-18,595)	(-19,196)
20.30-Local Assistance	(-)	(-7,893)	(-10,339)
20.40-Program Development	(-)	(-5,324)	(-7,388)
20.65-Legal	(-)	(-3,911)	(-5,492)

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
20.70-Operations	(-)	(-29,867)	(-41,967)
20.80-Maintenance	(-)	(-118,699)	(-171,192)
30-Mass Transportation	(-)	(-9,671)	(-6,439)
40-Transportation Planning	(-)	(-17,663)	(-24,626)
60 Equipment Program			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$-	\$-	\$-
Totals, State Operations	\$-	\$-	\$-
ELEMENT REQUIREMENTS			
60.10 Equipment Service Program Costs	\$153,619	\$227,542	\$255,445
0042-State Highway Account, State Transportation Fund	(153,619)	(-)	(255,095)
0995-Reimbursements	(-)	(-)	(350)
60.20 Distributed Equipment Service Program Costs	-153,619	-227,542	-255,445
10-Aeronautics	(-2)	(-4)	(-4)
20.10-Capital Outlay Support	(-26,313)	(-35,574)	(-38,940)
20.30-Local Assistance	(-171)	(-246)	(-265)
20.40-Program Development	(-250)	(-382)	(-425)
20.65-Legal	(-146)	(-193)	(-210)
20.70-Operations	(-2,763)	(-3,839)	(-4,235)
20.80-Maintenance	(-123,163)	(-187,032)	(-211,066)
30-Mass Transportation	(-32)	(-76)	(-88)
40-Transportation Planning	(-139)	(-196)	(-212)
50-Administration	(-640)	(-)	(-)
TOTALS, EXPENDITURES			
State Operations	3,668,484	3,883,197	4,119,689
Local Assistance	2,613,376	2,746,133	2,356,429
Capital Expenditure	5,270,002	6,506,260	6,327,037
Unclassified	-	5,000	5,000
Totals, Expenditures	\$11,551,862	\$13,140,590	\$12,808,155

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	18,405.6	21,640.8	21,584.9	\$1,422,623	\$1,649,936	\$1,659,793
Total Adjustments	-	-	-193.0	-	-103,375	-20,531
Estimated Salary Savings	-	-1,015.4	-1,015.0	-	-92,572	-92,986
Net Totals, Salaries and Wages	18,405.6	20,625.4	20,376.9	\$1,422,623	\$1,453,989	\$1,546,276
Staff Benefits	-	-	-	515,369	452,855	476,095
Totals, Personal Services	18,405.6	20,625.4	20,376.9	\$1,937,992	\$1,906,844	\$2,022,371
OPERATING EXPENSES AND EQUIPMENT				\$1,730,492	\$1,976,353	\$2,097,318
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$3,668,484	\$3,883,197	\$4,119,689

2 Local Assistance

2 Local Assistance	Expenditures		
	2009-10*	2010-11*	2011-12*
Grants and Subventions	\$2,613,376	\$2,746,133	\$2,356,429

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

2 Local Assistance

	Expenditures		
	2009-10*	2010-11*	2011-12*
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$2,613,376	\$2,746,133	\$2,356,429

3 Capital Outlay

	Expenditures		
	2009-10*	2010-11*	2011-12*
Summary of Office Building Projects			
Eureka Office Building Project	\$3,695	\$687	\$8,716
Totals, Office Building Capital Outlay Projects	\$3,695	\$687	\$8,716
Transportation Capital Outlay Projects	\$5,266,307	\$6,505,573	\$6,318,321
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$5,270,002	\$6,506,260	\$6,327,037

4 Unclassified

	Expenditures		
	2009-10*	2010-11*	2011-12*
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)	\$-	\$5,000	\$5,000

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0041 Aeronautics Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$3,549	\$3,577	\$3,589
Adjustment per Section 3.60	7	49	-
Reduction per Section 3.90	-325	-104	-
Reduction per Control Section 3.91	-	-153	-
Adjustment per Section 3.55	-6	-	-
011 Budget Act appropriation (Transfer to Public Transportation Account, State Transportation Fund)	(30)	(30)	(30)
Prior year balances available:			
Item 2660-002-0041, Budget Act of 2008	1,560	1,560	1,560
Totals Available	\$4,785	\$4,929	\$5,149
Unexpended balance, estimated savings	-495	-	-
Balance available in subsequent years	-1,560	-1,560	-1,560
TOTALS, EXPENDITURES	\$2,730	\$3,369	\$3,589
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$2,517,598	-	-
Adjustment per Section 3.60	2,509	-	-
Reduction per Section 3.90	-132,645	-	-
Adjustment per Section 4.30	2,653	-	-
Reduction per Section 15.30	-3	-	-
Transfer to Legislative Claims (9670)	-2	-	-
Adjustment per Section 3.55	-2,835	-	-
Revised expenditure authority per Provision 12	125	-	-
001 Budget Act appropriation	-	\$2,599,061	\$2,660,556
Allocation for employee compensation	-	5,877	-
Adjustment per Section 3.60	-	26,533	-
Reduction per Section 3.90	-	-36,063	-
Adjustment per Section 4.30	-	509	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Transfer to Legislative Claims (9670)	-	-15	-
Reduction per Control Section 3.91	-	-152,907	-
002 Budget Act appropriation	600	600	600
005 Budget Act appropriation	15,966	14,732	14,728
Adjustment per Section 4.30	10	2	-
007 Budget Act appropriation	95,934	95,936	95,570
Adjustment per Section 3.60	2	33	-
Reduction per Section 3.90	-	-46	-
Reduction per Control Section 3.91	-	-1,375	-
Adjustment per Section 3.55	-58	-	-
011 Budget Act appropriation (Loan to the General Fund) as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	(135,000)	-	-
011 Budget Act appropriation (Loan to the General Fund)	-	(80,000)	-
012 Budget Act appropriation (Deficiencies)	(40,000)	(40,000)	(40,000)
015 Budget Act appropriation	-	100,000	-
Item 2660-015-0042, Budget Act of 2010	-	-	96,700
021 Budget Act appropriation (Transfer to Public Transportation Account, State Transportation Fund)	(24,459)	(25,046)	(25,046)
022 Budget Act appropriation (Transfer to Environmental Enhancement and Mitigation Program Fund)	(10,000)	(10,000)	(10,000)
Totals Available	\$2,499,854	\$2,652,877	\$2,868,154
Unexpended balance, estimated savings	-163,715	-13,454	-
Balance available in subsequent years	-	-96,700	-93,400
TOTALS, EXPENDITURES	\$2,336,139	\$2,542,723	\$2,774,754
0045 Bicycle Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$20	\$10	\$10
TOTALS, EXPENDITURES	\$20	\$10	\$10
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$160,945	\$161,624	\$164,448
Allocation for employee compensation	-	145	-
Adjustment per Section 3.60	93	353	-
Reduction per Section 3.90	-5,830	-329	-
Reduction per Control Section 3.91	-	-2,945	-
Adjustment per Section 3.55	-46	-	-
011 Budget Act appropriation (Loan to the General Fund)	-	(29,081)	-
Totals Available	\$155,162	\$158,848	\$164,448
Unexpended balance, estimated savings	-6,958	-275	-
TOTALS, EXPENDITURES	\$148,204	\$158,573	\$164,448
0062 Highway Users Tax Account, Transportation Tax Fund			
APPROPRIATIONS			
011 Budget Act appropriation (Loan to the General Fund)	-	(\$761,639)	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
0365 Historic Property Maintenance Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$1,632	\$1,641	\$1,640
Totals Available	\$1,632	\$1,641	\$1,640
Unexpended balance, estimated savings	-13	-	-
TOTALS, EXPENDITURES	\$1,619	\$1,641	\$1,640

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0653 Seismic Retrofit Bond Fund of 1996			
APPROPRIATIONS			
Government Code Section 8879.3	\$1,476	\$5,679	\$7,045
Government Code Section 16312 (Interest on PMIA Loan)	147	-	-
TOTALS, EXPENDITURES	\$1,623	\$5,679	\$7,045
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$495,617	-	-
Adjustment per Section 3.60	575	-	-
Reduction per Section 3.90	-49,841	-	-
Adjustment per Section 3.55	-768	-	-
Budget Adjustment	-16,412	-	-
001 Budget Act appropriation	-	\$592,483	\$591,100
Allocation for employee compensation	-	1,250	-
Adjustment per Section 3.60	-	3,119	-
Reduction per Section 3.90	-	-921	-
Reduction per Control Section 3.91	-	-9,153	-
Budget Adjustment	-	600	-
002 Budget Act appropriation (GARVEE) as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	675,000	-	-
002 Budget Act appropriation (GARVEE)	-	1	1
015 Budget Act appropriation	-	100,000	-
Item 2660-015-0890, Budget Act of 2010	-	-	96,700
Federal Funds	26,221	-	-
Adjustment per Section 3.60	401	-	-
Reduction per Section 3.90	-2,298	-	-
Budget Adjustment	-24,324	-	-
Prior year balances available:			
Item 2660-002-0890, Budget Act of 2004 (GARVEE)	427,514	354,615	281,716
Item 2660-002-0890, Budget Act of 2008	176,022	122,327	110,933
Budget Adjustment	-53,695	-	-
Item 2660-002-0890, Budget Act of 2010	-	-	1
Streets and Highways Code Sec. 2423(a)	77,759	25,422	-
Totals Available	\$1,731,771	\$1,189,743	\$1,080,451
Unexpended balance, estimated savings	-675,000	-	-
Balance available in subsequent years	-502,364	-489,350	-401,757
TOTALS, EXPENDITURES	\$554,407	\$700,393	\$678,694
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$299,332	\$320,119	\$333,545
3007 Traffic Congestion Relief Fund			
APPROPRIATIONS			
002 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$16,393	-	-
Reduction per Section 3.90	-32	-	-
Adjustment per Section 3.55	-1	-	-
002 Budget Act appropriation	-	\$16,325	\$16,025
Allocation for employee compensation	-	33	-
Adjustment per Section 3.60	-	117	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Reduction per Control Section 3.91	-	-15	-
Totals Available	\$16,360	\$16,460	\$16,025
Unexpended balance, estimated savings	-468	-	-
TOTALS, EXPENDITURES	\$15,892	\$16,460	\$16,025
3008 Transportation Investment Fund			
APPROPRIATIONS			
002 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$289,277	-	-
Adjustment per Section 3.60	616	-	-
Reduction per Section 3.90	-58,916	-	-
Adjustment per Section 3.55	-528	-	-
Totals Available	\$230,449	\$-	\$-
Unexpended balance, estimated savings	-30,841	-	-
TOTALS, EXPENDITURES	\$199,608	\$-	\$-
6043 High - Speed Passenger Train Bond Fund			
APPROPRIATIONS			
004 Budget Act appropriation	-	-	\$94
TOTALS, EXPENDITURES	\$-	\$-	\$94
6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
Government Code Section 8879.31 (Interest on PMIA Loan)	\$2,819	-	-
TOTALS, EXPENDITURES	\$2,819	\$-	\$-
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
004 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$40,642	-	-
Adjustment per Section 3.60	152	-	-
Reduction per Section 3.90	-10,273	-	-
Adjustment per Section 3.55	-142	-	-
004 Budget Act appropriation	-	\$42,108	\$42,203
Allocation for employee compensation	-	86	-
Adjustment per Section 3.60	-	646	-
Reduction per Section 3.90	-	-70	-
Reduction per Control Section 3.91	-	-2,048	-
Totals Available	\$30,379	\$40,722	\$42,203
Unexpended balance, estimated savings	-3,122	-	-
TOTALS, EXPENDITURES	\$27,257	\$40,722	\$42,203
6056 Trade Corridors Improvement Fund			
APPROPRIATIONS			
004 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$3,487	-	-
Reduction per Section 3.90	-191	-	-
Adjustment per Section 3.55	-5	-	-
004 Budget Act appropriation	-	\$3,450	\$3,391
Allocation for employee compensation	-	5	-
Adjustment per Section 3.60	-	82	-
Reduction per Section 3.90	-	-62	-
Reduction per Control Section 3.91	-	-232	-
Totals Available	\$3,291	\$3,243	\$3,391

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
Unexpended balance, estimated savings	-2,571	-	-
TOTALS, EXPENDITURES	\$720	\$3,243	\$3,391
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
004 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$57,427	-	-
Adjustment per Section 3.60	23	-	-
Reduction per Section 3.90	-207	-	-
Adjustment per Section 3.55	-58	-	-
004 Budget Act appropriation	-	\$54,378	\$58,335
Allocation for employee compensation	-	116	-
Adjustment per Section 3.60	-	4,734	-
Reduction per Section 3.90	-	-19	-
Reduction per Control Section 3.91	-	-3,910	-
Totals Available	\$57,185	\$55,299	\$58,335
Unexpended balance, estimated savings	-1,347	-	-
TOTALS, EXPENDITURES	\$55,838	\$55,299	\$58,335
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006			
APPROPRIATIONS			
004 Budget Act appropriation	\$1,312	\$1,403	\$1,161
Allocation for employee compensation	-	1	-
Adjustment per Section 3.60	2	-	-
Reduction per Section 3.90	-84	-156	-
Reduction per Control Section 3.91	-	-40	-
Adjustment per Section 3.55	-1	-	-
Totals Available	\$1,229	\$1,208	\$1,161
Unexpended balance, estimated savings	-135	-	-
TOTALS, EXPENDITURES	\$1,094	\$1,208	\$1,161
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
004 Budget Act appropriation	\$496	\$753	\$727
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	-	6	-
Reduction per Section 3.90	-60	-33	-
Reduction per Control Section 3.91	-	-46	-
Adjustment per Section 3.55	-7	-	-
Totals Available	\$429	\$682	\$727
Unexpended balance, estimated savings	-149	-	-
TOTALS, EXPENDITURES	\$280	\$682	\$727
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
004 Budget Act appropriation	\$232	\$1,868	\$1,653
Allocation for employee compensation	-	4	-
Adjustment per Section 3.60	-	19	-
Reduction per Section 3.90	-2	-54	-
Reduction per Control Section 3.91	-	-85	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
1 STATE OPERATIONS			
Totals Available	\$230	\$1,752	\$1,653
Unexpended balance, estimated savings	-133	-	-
TOTALS, EXPENDITURES	\$97	\$1,752	\$1,653
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006			
APPROPRIATIONS			
004 Budget Act appropriation	\$636	\$576	\$373
Reduction per Section 3.90	-8	-10	-
Reduction per Control Section 3.91	-	-2	-
Adjustment per Section 3.55	-2	-	-
Totals Available	\$626	\$564	\$373
Unexpended balance, estimated savings	-156	-	-
TOTALS, EXPENDITURES	\$470	\$564	\$373
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
004 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$18,175	-	-
Reduction per Section 3.90	-106	-	-
Adjustment per Section 3.55	-70	-	-
004 Budget Act appropriation	-	\$17,055	\$17,536
Allocation for employee compensation	-	38	-
Adjustment per Section 3.60	-	936	-
Reduction per Section 3.90	-	-25	-
Reduction per Control Section 3.91	-	-917	-
Totals Available	\$17,999	\$17,087	\$17,536
Unexpended balance, estimated savings	-1,281	-	-
TOTALS, EXPENDITURES	\$16,718	\$17,087	\$17,536
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
004 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$6,246	-	-
Reduction per Section 3.90	-50	-	-
Adjustment per Section 3.55	-1	-	-
004 Budget Act appropriation	-	\$14,434	\$14,466
Allocation for employee compensation	-	38	-
Adjustment per Section 3.60	-	140	-
Reduction per Section 3.90	-	-22	-
Reduction per Control Section 3.91	-	-917	-
Totals Available	\$6,195	\$13,673	\$14,466
Unexpended balance, estimated savings	-2,766	-	-
TOTALS, EXPENDITURES	\$3,429	\$13,673	\$14,466
6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$594	-	-
Totals Available	\$594	\$-	\$-
Unexpended balance, estimated savings	-406	-	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

1 STATE OPERATIONS	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
TOTALS, EXPENDITURES	\$188	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$3,668,484	\$3,883,197	\$4,119,689
2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
0041 Aeronautics Account, State Transportation Fund			
APPROPRIATIONS			
Public Utilities Code Section 21680	<u>\$1,515</u>	<u>\$4,000</u>	<u>\$4,000</u>
TOTALS, EXPENDITURES	\$1,515	\$4,000	\$4,000
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$10,000	\$92,892	\$36,289
102 Budget Act appropriation	140,314	140,313	140,313
Streets and Highways Code Section 188.6 (b)(4)(A)	-	53,000	50,000
Prior year balances available:			
Item 2660-101-0042, Budget Act of 2004	36,181	-	-
Item 2660-101-0042, Budget Act of 2005	22,957	22,090	-
Item 2660-101-0042, Budget Act of 2006	67,039	67,042	67,041
Item 2660-101-0042, Budget Act of 2007	29,884	29,882	29,881
Item 2660-101-0042, Budget Act of 2008	23,963	23,963	23,963
Item 2660-101-0042, Budget Act of 2009	-	10,000	-
Item 2660-102-0042, Budget Act of 2004	7,567	-	-
Item 2660-102-0042, Budget Act of 2005	3,856	4,606	-
Item 2660-102-0042, Budget Act of 2006	256	309	309
Item 2660-102-0042, Budget Act of 2007	14,450	6,789	6,789
Item 2660-102-0042, Budget Act of 2008	57,527	19,682	6,495
Item 2660-102-0042, Budget Act of 2009	-	45,259	15,387
Item 2660-102-0042, Budget Act of 2010	<u>-</u>	<u>-</u>	<u>60,308</u>
Totals Available	\$413,994	\$515,827	\$436,775
Unexpended balance, estimated savings	-46,051	-26,696	-67,350
Balance available in subsequent years	<u>-229,622</u>	<u>-210,173</u>	<u>-167,307</u>
TOTALS, EXPENDITURES	\$138,321	\$278,958	\$202,118
0045 Bicycle Transportation Account, State Transportation Fund			
APPROPRIATIONS			
101 Budget Act appropriation	<u>\$7,200</u>	<u>\$7,200</u>	<u>\$7,200</u>
TOTALS, EXPENDITURES	\$7,200	\$7,200	\$7,200
0046 Public Transportation Account, State Transportation Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$113,033	\$305,827	\$161,484
105 Budget Act appropriation	3,026	3,056	3,087
Prior year balances available:			
Item 2660-101-0046, Budget Act of 2007	165,806	-	-
Item 2660-101-0046, Budget Act of 2009	-	108,033	-
Item 2660-101-0046, Budget Act of 2010	<u>-</u>	<u>-</u>	<u>232,415</u>
Totals Available	\$281,865	\$416,916	\$396,986
Unexpended balance, estimated savings	-165,807	-	-
Balance available in subsequent years	<u>-108,033</u>	<u>-232,415</u>	<u>-366,056</u>
TOTALS, EXPENDITURES	\$8,025	\$184,501	\$30,930
0052 Local Airport Loan Account			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
2 LOCAL ASSISTANCE			
Public Utilities Code Section 21602	-	\$1,450	\$1,450
TOTALS, EXPENDITURES	\$-	\$1,450	\$1,450
Loan repayments from local agencies	-\$2,507	-2,400	-2,501
NET TOTALS, EXPENDITURES	-\$2,507	-\$950	-\$1,051
0183 Environmental Enhancement and Mitigation Program Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$10,000	\$10,000	\$10,000
TOTALS, EXPENDITURES	\$10,000	\$10,000	\$10,000
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$70,737	\$66,828	\$122,105
102 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	1,462,711	-	-
Budget Adjustment	-2,506	-	-
102 Budget Act appropriation	-	1,461,105	1,567,297
Budget Adjustment	-	44,100	-
Streets and Highways Code Section 2422(a)	3,573	-	-
Prior year balances available:			
Item 2660-101-0890, Budget Act of 2007	16,270	-	-
Budget Adjustment	-14,423	-	-
Item 2660-101-0890, Budget Act of 2008	62,189	49,372	49,371
Item 2660-101-0890, Budget Act of 2009	-	67,357	56,029
Item 2660-101-0890, Budget Act of 2010	-	-	43,057
Item 2660-102-0890, Budget Act of 2007	76,249	-	-
Budget Adjustment	-41,598	-	-
Item 2660-102-0890, Budget Act of 2008	976,381	130,532	120,104
Item 2660-102-0890, Budget Act of 2009	-	969,362	213,129
Item 2660-102-0890, Budget Act of 2010	-	-	982,912
Streets and Highways Code Sec. 2422(a)	845,506	108,462	-
Totals Available	\$3,455,089	\$2,897,118	\$3,154,004
Balance available in subsequent years	-1,325,085	-1,464,602	-1,771,340
TOTALS, EXPENDITURES	\$2,130,004	\$1,432,516	\$1,382,664
3007 Traffic Congestion Relief Fund			
APPROPRIATIONS			
Government Code Section 14556.5	\$60,801	\$40,000	\$40,000
TOTALS, EXPENDITURES	\$60,801	\$40,000	\$40,000
3008 Transportation Investment Fund			
APPROPRIATIONS			
Revenue and Taxation Code Sections 7104 and 7107	\$66,166	-	-
TOTALS, EXPENDITURES	\$66,166	\$-	\$-
6043 High - Speed Passenger Train Bond Fund			
APPROPRIATIONS			
104 Budget Act appropriation	-	\$38,500	\$7,000
TOTALS, EXPENDITURES	\$-	\$38,500	\$7,000
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$17,324	\$40,346	\$1
Prior year balances available:			
Item 2660-104-6055, Budget Act of 2007	1	1	1

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
Item 2660-104-6055, Budget Act of 2008	20,000	-	-
Item 2660-104-6055, Budget Act of 2009	-	17,324	8,662
Item 2660-104-6055, Budget Act of 2010	-	-	20,173
Totals Available	\$37,325	\$57,671	\$28,837
Unexpended balance, estimated savings	-17,630	-	-1
Balance available in subsequent years	-17,325	-28,836	-1
TOTALS, EXPENDITURES	\$2,370	\$28,835	\$28,835
6056 Trade Corridors Improvement Fund			
APPROPRIATIONS			
104 Budget Act appropriation	\$89,000	\$181,346	\$156,483
Prior year balances available:			
Item 2660-104-6056, Budget Act of 2008	254,860	-	-
Item 2660-104-6056, Budget Act of 2009	-	89,000	44,500
Item 2660-104-6056, Budget Act of 2010	-	-	90,673
Totals Available	\$343,860	\$270,346	\$291,656
Unexpended balance, estimated savings	-203,594	-	-
Balance available in subsequent years	-89,000	-135,173	-78,242
TOTALS, EXPENDITURES	\$51,266	\$135,173	\$213,414
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	-	\$69,349	-
Prior year balances available:			
Item 2660-104-6058, Budget Act of 2007	\$3,918	918	\$917
Item 2660-104-6058, Budget Act of 2008	60,568	44,472	-
Item 2660-104-6058, Budget Act of 2010	-	-	34,674
Totals Available	\$64,486	\$114,739	\$35,591
Unexpended balance, estimated savings	-12,021	-	-749
Balance available in subsequent years	-45,390	-35,591	-168
TOTALS, EXPENDITURES	\$7,075	\$79,148	\$34,674
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$1	\$1	-
Prior year balances available:			
Item 2660-104-6059, Budget Act of 2007	1	1	\$1
Item 2660-104-6059, Budget Act of 2008	1	1	1
Item 2660-104-6059, Budget Act of 2009	-	1	1
Item 2660-104-6059, Budget Act of 2010	-	-	1
Totals Available	\$3	\$4	\$4
Unexpended balance, estimated savings	-	-	-3
Balance available in subsequent years	-3	-4	-1
TOTALS, EXPENDITURES	\$-	\$-	\$-
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$199,999	\$240,246	\$132,000
Transfer to Item 2660-304-6060 per Provision 2	-7,214	-	-
Prior year balances available:			
Item 2660-104-6060, Budget Act of 2008	154,745	7,754	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
Item 2660-104-6060, Budget Act of 2009	-	190,009	95,005
Item 2660-104-6060, Budget Act of 2010	-	-	120,123
Totals Available	\$347,530	\$438,009	\$347,128
Unexpended balance, estimated savings	-88,370	-	-
Balance available in subsequent years	-197,763	-215,128	-66,000
TOTALS, EXPENDITURES	\$61,397	\$222,881	\$281,128
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$31,000	\$5,610	\$22,000
Prior year balances available:			
Item 2660-104-6062, Budget Act of 2007	774	639	173
Item 2660-104-6062, Budget Act of 2008	17,680	16,829	16,577
Item 2660-104-6062, Budget Act of 2009	-	20,706	18,800
Totals Available	\$49,454	\$43,784	\$57,550
Unexpended balance, estimated savings	-	-	-35,550
Balance available in subsequent years	-38,174	-35,550	-
TOTALS, EXPENDITURES	\$11,280	\$8,234	\$22,000
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	-	\$216,424	-
Prior year balances available:			
Item 2660-104-6063, Budget Act of 2007	\$185,499	88,518	-
Item 2660-104-6063, Budget Act of 2008	55,299	33,118	-
Item 2660-104-6063, Budget Act of 2010	-	-	\$151,174
Totals Available	\$240,798	\$338,060	\$151,174
Unexpended balance, estimated savings	-94,249	-	-
Balance available in subsequent years	-121,636	-151,174	-129,424
TOTALS, EXPENDITURES	\$24,913	\$186,886	\$21,750
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	-	\$181,543	-
Prior year balances available:			
Item 2660-104-6064, Budget Act of 2007	\$122,500	18,484	-
Item 2660-104-6064, Budget Act of 2008	78,569	-	-
Item 2660-104-6064, Budget Act of 2010	-	-	\$109,776
Totals Available	\$201,069	\$200,027	\$109,776
Unexpended balance, estimated savings	-147,035	-	-
Balance available in subsequent years	-18,484	-109,776	-38,009
TOTALS, EXPENDITURES	\$35,550	\$90,251	\$71,767
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
104 Budget Act appropriation	\$1	\$1	\$1
Prior year balances available:			
Item 2660-104-6072, Budget Act of 2007	1	1	1
Item 2660-104-6072, Budget Act of 2008	1	1	1
Item 2660-104-6072, Budget Act of 2009	-	1	1

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
Item 2660-104-6072, Budget Act of 2010	-	-	1
Totals Available	\$3	\$4	\$5
Unexpended balance, estimated savings	-	-	-3
Balance available in subsequent years	-3	-4	-2
TOTALS, EXPENDITURES	\$-	\$-	\$-
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$2,613,376	\$2,746,133	\$2,356,429
3 CAPITAL OUTLAY	2009-10*	2010-11*	2011-12*
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$2,000	\$219,108	\$192,708
302 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	369,687	-	-
302 Budget Act appropriation	-	185,443	543,542
303 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	48,500	-	-
303 Budget Act appropriation	-	18,050	14,200
311 Budget Act appropriation	695	687	8,716
Allocation from Item 2660-399-0042	5,000	5,000	5,000
Prior year balances available:			
Item 2660-301-0042, Budget Act of 2004	55,691	-	-
Item 2660-301-0042, Budget Act of 2005	8,358	1,508	-
Item 2660-301-0042, Budget Act of 2006	68,351	17,276	17,276
Item 2660-301-0042, Budget Act of 2007	8,755	13,369	13,369
Item 2660-301-0042, Budget Act of 2008	19,226	17,856	17,855
Item 2660-301-0042, Budget Act of 2009	-	276	275
Item 2660-301-0042, Budget Act of 2010	-	-	81,408
Item 2660-302-0042, Budget Act of 2000	1,709	2,719	1,903
Item 2660-302-0042, Budget Act of 2004	78,800	-	-
Item 2660-302-0042, Budget Act of 2005	225,686	79,485	-
Item 2660-302-0042, Budget Act of 2006	996,834	101,457	101,456
Item 2660-302-0042, Budget Act of 2007 as reverted per Item 2660-495, Budget Act of 2009	613,051	65,030	-
Item 2660-302-0042, Budget Act of 2007	-	-	65,029
Item 2660-302-0042, Budget Act of 2008 as reverted per Item 2660-495, Budget Act of 2009	399,155	127,624	-
Item 2660-302-0042, Budget Act of 2008	-	-	12,000
Item 2660-302-0042, Budget Act of 2009	-	188,401	93,000
Item 2660-302-0042, Budget Act of 2010	-	-	60,000
Item 2660-303-0042, Budget Act of 2007	28,973	-	-
Item 2660-303-0042, Budget Act of 2008	28,788	25,062	-
Item 2660-303-0042, Budget Act of 2009	-	34,001	14,613
Item 2660-303-0042, Budget Act of 2010	-	-	13,050
Item 2660-311-0042, Budget Act of 2007	0	-	-
Augmentation per Government Code Sections 16352, 16409 and 16354 Streets and Highways Code Section 2423(b)(2)(A)	3,000	-	-
	0	0	-
Totals Available	\$2,962,259	\$1,102,352	\$1,255,400
Unexpended balance, estimated savings	-1,913,332	-90,281	-133,345
Balance available in subsequent years	-674,064	-491,234	-526,081
TOTALS, EXPENDITURES	\$374,863	\$520,837	\$595,974
0046 Public Transportation Account, State Transportation Fund			

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

3 CAPITAL OUTLAY	2009-10*	2010-11*	2011-12*
APPROPRIATIONS			
301 Budget Act appropriation	\$24,263	\$16,400	\$65,500
Prior year balances available:			
Item 2660-301-0046, Budget Act of 2007	36,400	-	-
Item 2660-301-0046, Budget Act of 2008	1	1	1
Item 2660-301-0046, Budget Act of 2009	-	24,263	-
Item 2660-301-0046, Budget Act of 2010	-	-	9,951
Totals Available	\$60,664	\$40,664	\$75,452
Unexpended balance, estimated savings	-36,400	-	-
Balance available in subsequent years	-24,264	-9,952	-60,452
TOTALS, EXPENDITURES	\$-	\$30,712	\$15,000
0653 Seismic Retrofit Bond Fund of 1996			
APPROPRIATIONS			
Government Code Section 8879.3	\$1,411	\$4,000	\$1,000
TOTALS, EXPENDITURES	\$1,411	\$4,000	\$1,000
0890 Federal Trust Fund			
APPROPRIATIONS			
301 Budget Act appropriation	\$20,000	\$158,902	\$693,941
Budget Adjustment	5,000	72,000	-
302 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	1,209,652	-	-
302 Budget Act appropriation	-	956,757	1,922,424
303 Budget Act appropriation	1	1	1
315 Budget Act appropriation	-	930,070	-
Prior year balances available:			
Item 2660-301-0890, Budget Act of 2007	105,616	-	-
Budget Adjustment	-43,900	-	-
Item 2660-301-0890, Budget Act of 2008	136,602	74,629	356
Item 2660-301-0890, Budget Act of 2009	-	14,011	7,787
Item 2660-301-0890, Budget Act of 2010	-	-	24,876
Item 2660-302-0890, Budget Act of 2000	79,452	79,452	75,479
Item 2660-302-0890, Budget Act of 2007	41,090	-	-
Budget Adjustment	-9,101	-	-
Item 2660-302-0890, Budget Act of 2008	1,408,973	832,177	501,842
Item 2660-302-0890, Budget Act of 2009	-	1,107,569	220,928
Item 2660-302-0890, Budget Act of 2010	-	-	112,863
Item 2660-303-0890, Budget Act of 2008	180	180	-
Budget Adjustment	-	-180	-
Item 2660-303-0890, Budget Act of 2009	-	1	-
Budget Adjustment	-	-1	-
Item 2660-303-0890, Budget Act of 2010	-	-	1
Item 2660-315-0890, Budget Act of 2010	-	-	899,070
Streets and Highways Code Section 2423(b)(2)(A)	304,755	79,275	37,000
Streets and Highways Code Section 2423(b)(2)(B)	310,000	70,421	25,421
Streets and Highways Code Sec. 2423(a)	491,011	80,648	18,001
Streets and Highways Code Section 2423(b)(2)(C)	25,845	16,695	2,495
Totals Available	\$4,085,176	\$4,472,607	\$4,542,485
Balance available in subsequent years	-2,355,058	-1,926,120	-2,324,782
TOTALS, EXPENDITURES	\$1,730,118	\$2,546,487	\$2,217,703

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

3 CAPITAL OUTLAY	2009-10*	2010-11*	2011-12*
0942 Special Deposit Fund			
APPROPRIATIONS			
Prior year balances available:			
Item 2660-306-0942, Budget Act of 2008	\$19,700	\$11,074	-
Totals Available	\$19,700	\$11,074	\$-
Balance available in subsequent years	-11,074	-	-
TOTALS, EXPENDITURES	\$8,626	\$11,074	\$-
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,357,300	\$1,003,202	\$791,080
3007 Traffic Congestion Relief Fund			
APPROPRIATIONS			
Government Code Section 14556.5(2)	\$85,316	\$36,835	\$51,139
TOTALS, EXPENDITURES	\$85,316	\$36,835	\$51,139
3008 Transportation Investment Fund			
APPROPRIATIONS			
Revenue and Tax Code Section 7104 and 7107	\$448,764	-	-
TOTALS, EXPENDITURES	\$448,764	\$-	\$-
3093 Transportation Deferred Investment Fund			
APPROPRIATIONS			
Revenue and Taxation Code 7104 and 7106	\$9,389	-	-
TOTALS, EXPENDITURES	\$9,389	\$-	\$-
6043 High - Speed Passenger Train Bond Fund			
APPROPRIATIONS			
304 Budget Act appropriation	-	\$62,490	\$20,810
TOTALS, EXPENDITURES	\$-	\$62,490	\$20,810
6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
304 Budget Act appropriation	\$1,310,000	\$496,829	\$631,118
Prior year balances available:			
Item 2660-304-6055, Budget Act of 2007	188,587	2,276	-
Item 2660-304-6055, Budget Act of 2008	1,462,856	138,236	-
Item 2660-304-6055, Budget Act of 2009	-	1,304,636	652,317
304 Budget Act appropriation	-	-	248,415
Totals Available	\$2,961,443	\$1,941,977	\$1,531,850
Unexpended balance, estimated savings	-514,714	-	-
Balance available in subsequent years	-1,445,148	-900,732	-315,559
TOTALS, EXPENDITURES	\$1,001,581	\$1,041,245	\$1,216,291
6056 Trade Corridors Improvement Fund			
APPROPRIATIONS			
304 Budget Act appropriation	\$398,000	\$109,031	\$815,861
Prior year balances available:			
Item 2660-304-6056, Budget Act of 2008	158,350	98,134	-
Item 2660-304-6056, Budget Act of 2009	-	398,000	199,000
Item 2660-304-6056, Budget Act of 2010	-	-	54,516
Totals Available	\$556,350	\$605,165	\$1,069,377
Unexpended balance, estimated savings	-29,767	-	-
Balance available in subsequent years	-496,134	-253,516	-347,454
TOTALS, EXPENDITURES	\$30,449	\$351,649	\$721,923

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

3 CAPITAL OUTLAY	2009-10*	2010-11*	2011-12*
6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
304 Budget Act appropriation	-	\$400,000	-
Prior year balances available:			
Item 2660-304-6058, Budget Act of 2007	\$114,681	59,131	-
Item 2660-304-6058, Budget Act of 2008	724,346	109,639	-
Item 2660-304-6058, Budget Act of 2010	-	-	\$200,000
Totals Available	\$839,027	\$568,770	\$200,000
Unexpended balance, estimated savings	-482,967	-	-
Balance available in subsequent years	-168,770	-200,000	-
TOTALS, EXPENDITURES	\$187,290	\$368,770	\$200,000
6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006			
APPROPRIATIONS			
304 Budget Act appropriation	\$124,999	\$1	\$117,000
Prior year balances available:			
Item 2660-304-6059, Budget Act of 2007	131,243	-	-
Item 2660-304-6059, Budget Act of 2008	30,499	-	-
Item 2660-304-6059, Budget Act of 2009	-	124,999	62,499
Item 2660-304-6059, Budget Act of 2010	-	-	1
Totals Available	\$286,741	\$125,000	\$179,500
Unexpended balance, estimated savings	-163,596	-	-
Balance available in subsequent years	-124,999	-62,500	-58,001
TOTALS, EXPENDITURES	-\$1,854	\$62,500	\$121,499
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
304 Budget Act appropriation	\$1	\$1	\$68,000
Transfer from Item 2660-104-6060 per Provision 2	7,214	-	-
Prior year balances available:			
Item 2660-304-6060, Budget Act of 2008	45,255	40,000	-
Item 2660-304-6060, Budget Act of 2009	-	1	1
Item 2660-304-6060, Budget Act of 2010	-	-	1
Totals Available	\$52,470	\$40,002	\$68,002
Unexpended balance, estimated savings	-5,255	-	-1
Balance available in subsequent years	-40,001	-2	-34,001
TOTALS, EXPENDITURES	\$7,214	\$40,000	\$34,000
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality and Port Security Fund of 2006			
APPROPRIATIONS			
Prior year balances available:			
Item 2660-304-6063, Budget Act of 2007	\$1	\$1	\$1
Item 2660-304-6063, Budget Act of 2008	1	1	1
Totals Available	\$2	\$2	\$2
Unexpended balance, estimated savings	-	-	-2
Balance available in subsequent years	-2	-2	-
TOTALS, EXPENDITURES	\$-	\$-	\$-
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

3 CAPITAL OUTLAY	2009-10*	2010-11*	2011-12*
APPROPRIATIONS			
304 Budget Act appropriation	\$57,000	\$1	-
Prior year balances available:			
Item 2660-304-6064, Budget Act of 2007	64,705	4,712	-
Item 2660-304-6064, Budget Act of 2008	69,752	674	-
Item 2660-304-6064, Budget Act of 2009	-	56,990	\$28,494
Item 2660-304-6064, Budget Act of 2010	-	-	1
Totals Available	\$191,457	\$62,377	\$28,495
Unexpended balance, estimated savings	-113,168	-	-
Balance available in subsequent years	-62,376	-28,495	-1
TOTALS, EXPENDITURES	\$15,913	\$33,882	\$28,494
6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006			
APPROPRIATIONS			
304 Budget Act appropriation	\$426,999	\$68,071	\$391,928
Prior year balances available:			
Item 2660-304-6072, Budget Act of 2007	5,974	5,974	-
Item 2660-304-6072, Budget Act of 2008	98,322	16,252	-
Item 2660-304-6072, Budget Act of 2009	-	418,438	82,123
Item 2660-304-6072, Budget Act of 2010	-	-	34,036
Totals Available	\$531,295	\$508,735	\$508,087
Unexpended balance, estimated savings	-77,010	-	-
Balance available in subsequent years	-440,664	-116,159	-195,964
TOTALS, EXPENDITURES	\$13,621	\$392,576	\$312,123
6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund			
APPROPRIATIONS			
Government Code Section 14554(a)	\$1	\$1	\$1
TOTALS, EXPENDITURES	\$1	\$1	\$1
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$5,270,002	\$6,506,260	\$6,327,037
4 UNCLASSIFIED			
0001 General Fund			
APPROPRIATIONS			
Article XIX B of the California Constitution (Transfer to Transportation Investment Fund and Transportation Deferred Investment Fund)	\$1,520,037	\$83,416	\$83,416
TOTALS, EXPENDITURES	\$1,520,037	\$83,416	\$83,416
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
399 Budget Act appropriation	\$5,000	\$5,000	\$5,000
Allocation to Capital Outlay	-5,000	-5,000	-
Allocation to Capital Outlay	-	-	-5,000
TOTALS, EXPENDITURES	\$-	\$-	\$-
0890 Federal Trust Fund			
APPROPRIATIONS			
399 Budget Act appropriation	\$5,000	\$5,000	\$5,000
Budget Adjustment	-5,000	-	-
TOTALS, EXPENDITURES	\$-	\$5,000	\$5,000
3008 Transportation Investment Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

4 UNCLASSIFIED	2009-10*	2010-11*	2011-12*
Less funding provided by the General Fund	-\$1,436,621	-	-
TOTALS, EXPENDITURES	-\$1,436,621	\$-	\$-
3093 Transportation Deferred Investment Fund			
APPROPRIATIONS			
Less funding provided by the General Fund	-\$83,416	-\$83,416	-\$83,416
TOTALS, EXPENDITURES	-\$83,416	-\$83,416	-\$83,416
TOTALS, EXPENDITURES, ALL FUNDS (Unclassified)	\$-	\$5,000	\$5,000
TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance, Capital Outlay and Unclassified)	\$11,551,862	\$13,140,590	\$12,808,155

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0041 Aeronautics Account, State Transportation Fund^s			
BEGINNING BALANCE	\$7,050	\$7,928	\$1,965
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
141200 Sales of Documents	2	4	3
150300 Income From Surplus Money Investments	71	41	31
Transfers and Other Adjustments:			
FO0061 From Motor Vehicle Fuel Account, Transportation Tax Fund per Revenue and Taxation Code Section 8352.3	5,085	5,405	5,746
TO0001 To General Fund loan per Public Utilities Code 21683.3b	-	-4,000	-
TO0046 To Public Transportation Account, State Transportation Fund per Item 2660-011-0041, Budget Acts	-30	-30	-30
Total Revenues, Transfers, and Other Adjustments	<u>\$5,128</u>	<u>\$1,420</u>	<u>\$5,750</u>
Total Resources	\$12,178	\$9,348	\$7,715
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	5	12	8
2660 Department of Transportation			
State Operations	2,730	3,369	3,589
Local Assistance	1,515	4,000	4,000
8880 Financial Information System for California (State Operations)	-	2	3
Total Expenditures and Expenditure Adjustments	<u>\$4,250</u>	<u>\$7,383</u>	<u>\$7,600</u>
FUND BALANCE	\$7,928	\$1,965	\$115
Reserve for economic uncertainties	7,928	1,965	115
0042 State Highway Account, State Transportation Fund^s			
BEGINNING BALANCE	\$481,101	\$181,000	\$341,650
Prior year adjustments	-146,995	-	-
Adjusted Beginning Balance	<u>\$334,106</u>	<u>\$181,000</u>	<u>\$341,650</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
114100 Motor Vehicle Registration	913,448	903,500	910,000
125700 Other Regulatory Licenses and Permits	8,389	11,367	11,622
141200 Sales of Documents	624	824	822
150300 Income From Surplus Money Investments	2,430	1,453	1,529
150500 Interest Income From Interfund Loans	-	-	20,285
151200 Income From Condemnation Deposits Fund	507	1,946	1,861
152200 Rentals of State Property	45,830	41,005	41,503
152300 Misc Revenue From Use of Property & Money	11,726	29,068	29,179

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
161000 Escheat of Unclaimed Checks & Warrants	1,568	1,806	1,858
161400 Miscellaneous Revenue	1,820	2,861	2,935
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2660-011-0062, Budget Act of 2008	-	-	200,000
FO0044 From Motor Vehicle Account, State Transportation Fund per Government Code Section 16475	471	500	500
FO0046 From Public Transportation Account, State Transportation Fund per Streets and Highways Code Section 183.1(b)(2)	78,903	-	-
FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Sections 2104.1 and 2107.6	5,000	5,000	5,000
FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2108	1,962,789	1,960,676	1,932,411
FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2103	-	653,222	931,778
FO0062 From Highway Users Tax Account, Transportation Tax Fund per pending legislation	-	433,332	-
FO0062 From Highway Users Tax Account, Transportation Tax Fund Per pending legislation	-	281,646	726,700
TO0001 To General Fund loan per pending legislation	-	-493,969	-166,302
TO0001 To General Fund loan per Item 2660-011-0042, Budget Acts	-135,000	-80,000	-
TO0046 To Public Transportation Account, State Transportation Fund per Item 2660-021-0042, Budget Acts	-24,459	-25,046	-25,046
TO0046 To Public Transportation Account, State Transportation Fund per Streets and Highways Code Section 183.1	-78,903	-	-
TO0183 To Environmental Enhancement and Mitigation Program Fund per Item 2660-022-0042, Budget Acts	-10,000	-10,000	-10,000
TO0308 To Earthquake Risk Reduction Fund of 1996 per Item 6440-011-0042, Budget Acts	-1,000	-1,000	-1,000
TO3107 To Transportation Debt Service Fund per pending legislation	-	-262,427	-777,509
TO3107 To Transportation Debt Service Fund per Streets and Highways Code Section 183.1	-78,903	-61,795	-
Total Revenues, Transfers, and Other Adjustments	<u>\$2,705,240</u>	<u>\$3,393,969</u>	<u>\$3,838,126</u>
Total Resources	\$3,039,346	\$3,574,969	\$4,179,776
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0502 California Technology Agency (Capital Outlay)	-	555	-
0840 State Controller (State Operations)	3,463	8,377	5,962
2600 California Transportation Commission (State Operations)	742	1,056	1,175
2660 Department of Transportation			
State Operations	2,336,139	2,542,723	2,774,754
Local Assistance	138,321	278,958	202,118
Capital Outlay	374,863	520,837	595,974
2720 Department of the California Highway Patrol (State Operations)	51,340	59,552	59,746
2740 Department of Motor Vehicles			
State Operations	47,007	53,170	47,201
Capital Outlay	3,095	635	1,306
3480 Department of Conservation (State Operations)	12	12	12
8660 Public Utilities Commission (State Operations)	3,764	3,562	3,610
8880 Financial Information System for California (State Operations)	-	1,681	2,578
9625 Interest Payments to the Federal Government (State Operations)	60	1,000	1,000
9670 Equity Claims of California Victim Compensation and Government Claims Board and (State Operations)	2	15	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
Total Expenditures and Expenditure Adjustments	\$2,958,808	\$3,472,133	\$3,695,436
Adjustment for Unfunded Encumbrances	-\$100,462	-\$238,814	\$229,325
FUND BALANCE	\$181,000	\$341,650	\$255,015
Reserve for economic uncertainties	181,000	341,650	255,015
Reserve for unencumbered balance of continuing appropriations			
Reserve for cash outlays in advance of federal reimbursements			
0045 Bicycle Transportation Account, State Transportation Fund ^s			
BEGINNING BALANCE	\$1,531	\$1,629	\$1,770
Prior year adjustments	-6	-	-
Adjusted Beginning Balance	\$1,525	\$1,629	\$1,770
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	124	151	331
150500 Interest Income From Interfund Loans	-	-	582
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2660-011-0045, Budget Act of 2008	-	-	6,000
FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2106	7,200	7,200	7,200
Total Revenues, Transfers, and Other Adjustments	\$7,324	\$7,351	\$14,113
Total Resources	\$8,849	\$8,980	\$15,883
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2660 Department of Transportation			
State Operations	20	10	10
Local Assistance	7,200	7,200	7,200
Total Expenditures and Expenditure Adjustments	\$7,220	\$7,210	\$7,210
FUND BALANCE	\$1,629	\$1,770	\$8,673
Reserve for economic uncertainties	1,629	1,770	8,673
0046 Public Transportation Account, State Transportation Fund ^s			
BEGINNING BALANCE	\$292,545	\$588,808	\$325,005
Prior year adjustments	-24,343	-	-
Adjusted Beginning Balance	\$268,202	\$588,808	\$325,005
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
114900 Retail Sales and Use Taxes	928,374	306,894	408,783
150300 Income From Surplus Money Investments	2,689	2,911	1,556
Transfers and Other Adjustments:			
FO0041 From Aeronautics Account, State Transportation Fund per Item 2660-011-0041, Budget Acts	30	30	30
FO0042 From State Highway Account, State Transportation Fund per Streets and Highways Code Section 183.1	78,903	-	-
FO0042 From State Highway Account, State Transportation Fund per Item 2660-021-0042, Budget Acts	24,459	25,046	25,046
FO3008 From Transportation Investment Fund per Revenue and Taxation Code Section 7104	287,324	-	-
TO0001 To General Fund loan per Item 2660-011-0046, Budget Act of 2010	-	-29,081	-
TO0042 To State Highway Account, State Transportation Fund per Streets and Highways Code Section 183.1(b)(2)	-78,903	-	-
TO3007 To Traffic Congestion Relief Fund loan repayment per GCS 14556.85	-	-60,000	-
TO3107 To Transportation Debt Service Fund per Public Utilities Code Section 99315	-70,650	-90,886	-

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
Total Revenues, Transfers, and Other Adjustments	\$1,172,226	\$154,914	\$435,415
Total Resources	\$1,440,428	\$743,722	\$760,420
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	254	597	362
2600 California Transportation Commission (State Operations)	1,282	1,334	1,371
2640 State Transit Assistance (Local Assistance)	400,000	-	329,587
2660 Department of Transportation			
State Operations	148,204	158,573	164,448
Local Assistance	8,025	184,501	30,930
Capital Outlay	-	30,712	15,000
2830 General Obligation Bonds-BT&H (State Operations)	71,409	-	-
6440 University of California (State Operations)	980	980	980
8660 Public Utilities Commission (State Operations)	3,909	4,008	4,055
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	-	255	-
Total Expenditures and Expenditure Adjustments	\$634,063	\$380,960	\$546,733
Adjustment for Unfunded Encumbrances	\$217,557	\$37,757	-\$61,184
FUND BALANCE	\$588,808	\$325,005	\$274,871
Reserve for economic uncertainties	588,808	325,005	274,871
0052 Local Airport Loan Account ^s			
BEGINNING BALANCE	\$4,269	\$8,125	\$10,430
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
131200 Interest on Loans to Local Agencies	1,304	1,313	1,339
150300 Income From Surplus Money Investments	45	42	47
150500 Interest Income From Interfund Loans	-	-	737
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2660-011-0052, Budget Act of 2008	-	-	7,500
Total Revenues, Transfers, and Other Adjustments	\$1,349	\$1,355	\$9,623
Total Resources	\$5,618	\$9,480	\$20,053
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2660 Department of Transportation (Local Assistance)	-	1,450	1,450
Expenditure Adjustments:			
2660 Department of Transportation			
Loan repayments from local agencies (Local Assistance)	-2,507	-2,400	-2,501
Total Expenditures and Expenditure Adjustments	-\$2,507	-\$950	-\$1,051
FUND BALANCE	\$8,125	\$10,430	\$21,104
Reserve for economic uncertainties	8,125	10,430	21,104
0061 Motor Vehicle Fuel Account, Transportation Tax Fund ^s			
BEGINNING BALANCE	\$37,083	\$39,237	\$22,779
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
113800 Motor Vehicle Fuel Tax (Gasoline)	2,665,421	5,220,441	5,089,446
113900 Jet Fuel Tax	2,099	2,933	2,933
114000 Motor Vehicle Fuel Tax (Diesel)	496,174	511,567	402,885
125700 Other Regulatory Licenses and Permits	3,386	313	313
150300 Income From Surplus Money Investments	1,266	5,984	5,984
150500 Interest Income From Interfund Loans	-	-	786

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
161000 Escheat of Unclaimed Checks & Warrants	159	137	137
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2660-011-0061, Budget Act of 2008	-	-	8,000
TO0041 To Aeronautics Account, State Transportation Fund per Revenue and Taxation Code Section 8352.3	-5,085	-5,405	-5,746
TO0062 To Highway Users Tax Account, Transportation Tax Fund per Revenue and Taxation Code Section 8353	-2,987,427	-3,017,413	-2,957,466
TO0062 To Highway Users Tax Account, Transportation Tax Fund per Revenue and Taxation Code Section 7360 and 7361.1	-	-2,559,444	-2,390,573
TO0111 To Department of Agriculture Account, Department of Food and Agriculture Fund per Revenue and Taxation Code Section 8352.5	-38,167	-38,171	-38,171
TO0263 To Off-Highway Vehicle Trust Fund per Revenue and Taxation Code Section 8352.6	-65,196	-65,000	-65,000
TO0392 To State Parks and Recreation Fund per Item 3790-012-0061, various Budget Acts	-26,649	-26,649	-26,649
TO0516 To Harbors and Watercraft Revolving Fund per Revenue and Taxation Code Section 8352.4	-19,822	-17,891	-20,424
Total Revenues, Transfers, and Other Adjustments	<u>\$26,159</u>	<u>\$11,402</u>	<u>\$6,455</u>
Total Resources	<u>\$63,242</u>	<u>\$50,639</u>	<u>\$29,234</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	3,748	4,314	4,445
0860 State Board of Equalization (State Operations)	20,257	23,530	24,147
8880 Financial Information System for California (State Operations)	-	16	-
Total Expenditures and Expenditure Adjustments	<u>\$24,005</u>	<u>\$27,860</u>	<u>\$28,592</u>
FUND BALANCE	<u>\$39,237</u>	<u>\$22,779</u>	<u>\$642</u>
Reserve for economic uncertainties	39,237	22,779	642
0183 Environmental Enhancement and Mitigation Program Fund ^s			
BEGINNING BALANCE	\$3,373	\$3,516	\$3,463
Prior year adjustments	118	-	-
Adjusted Beginning Balance	<u>\$3,491</u>	<u>\$3,516</u>	<u>\$3,463</u>
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	162	70	91
150500 Interest Income From Interfund Loans	-	-	432
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2660-011-0183, Budget Act of 2008	-	-	4,400
FO0042 From State Highway Account, State Transportation Fund per Item 2660-022-0042, Budget Acts	10,000	10,000	10,000
Total Revenues, Transfers, and Other Adjustments	<u>\$10,162</u>	<u>\$10,070</u>	<u>\$14,923</u>
Total Resources	<u>\$13,653</u>	<u>\$13,586</u>	<u>\$18,386</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0540 Secretary of the Natural Resources Agency (State Operations)	137	123	128
2660 Department of Transportation (Local Assistance)	10,000	10,000	10,000
Total Expenditures and Expenditure Adjustments	<u>\$10,137</u>	<u>\$10,123</u>	<u>\$10,128</u>
FUND BALANCE	<u>\$3,516</u>	<u>\$3,463</u>	<u>\$8,258</u>
Reserve for economic uncertainties	3,516	3,463	8,258
0365 Historic Property Maintenance Fund ^s			
BEGINNING BALANCE	\$584	\$331	\$109

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
Prior year adjustments	<u>-8</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$576	\$331	\$109
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150300 Income From Surplus Money Investments	8	5	4
150500 Interest Income From Interfund Loans	-	-	295
152200 Rentals of State Property	1,368	1,420	1,409
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2660-011-0365, Budget Act of 2008	<u>-</u>	<u>-</u>	<u>3,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$1,376</u>	<u>\$1,425</u>	<u>\$4,708</u>
Total Resources	\$1,952	\$1,756	\$4,817
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	2	5	3
2660 Department of Transportation (State Operations)	1,619	1,641	1,640
8880 Financial Information System for California (State Operations)	<u>-</u>	<u>1</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,621</u>	<u>\$1,647</u>	<u>\$1,644</u>
FUND BALANCE	\$331	\$109	\$3,173
Reserve for economic uncertainties	331	109	3,173
2500 Pedestrian Safety Account, State Transportation Fund ^s			
BEGINNING BALANCE	\$10	\$10	\$10
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
150500 Interest Income From Interfund Loans	-	-	143
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2660-011-2500, Budget Act of 2008	<u>-</u>	<u>-</u>	<u>1,715</u>
Total Revenues, Transfers, and Other Adjustments	<u>-</u>	<u>-</u>	<u>\$1,858</u>
Total Resources	<u>\$10</u>	<u>\$10</u>	<u>\$1,868</u>
FUND BALANCE	\$10	\$10	\$1,868
Reserve for economic uncertainties	10	10	1,868
2501 Local Transportation Loan Account, State Highway Account, State Transportation Fund ^s			
BEGINNING BALANCE	\$3,339	\$3,965	\$3,982
Prior year adjustments	<u>599</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$3,938	\$3,965	\$3,982
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
131200 Interest on Loans to Local Agencies	5	-	-
150300 Income From Surplus Money Investments	<u>22</u>	<u>17</u>	<u>12</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$27</u>	<u>\$17</u>	<u>\$12</u>
Total Resources	<u>\$3,965</u>	<u>\$3,982</u>	<u>\$3,994</u>
FUND BALANCE	\$3,965	\$3,982	\$3,994
Reserve for economic uncertainties	3,965	3,982	3,994
3007 Traffic Congestion Relief Fund ^s			
BEGINNING BALANCE	\$616,724	\$342,916	\$238,062
Prior year adjustments	<u>-128,281</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$488,443	\$342,916	\$238,062
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
Transfers and Other Adjustments:			
FO0046 From Public Transportation Account, State Transportation Fund loan repayment per GCS 14556.85	-	60,000	-
FO3093 From Transportation Deferred Investment Fund per Government Section 14557.1, Revenue and Taxation Code 7104, 7105, and 7106	83,416	83,178	83,178
Total Revenues, Transfers, and Other Adjustments	<u>\$83,416</u>	<u>\$143,178</u>	<u>\$83,178</u>
Total Resources	\$571,859	\$486,094	\$321,240
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	34	82	34
2660 Department of Transportation			
State Operations	15,892	16,460	16,025
Local Assistance	60,801	40,000	40,000
Capital Outlay	85,316	36,835	51,139
8880 Financial Information System for California (State Operations)	-	16	-
Total Expenditures and Expenditure Adjustments	<u>\$162,043</u>	<u>\$93,393</u>	<u>\$107,198</u>
Adjustment for Unfunded Encumbrances	<u>\$66,900</u>	<u>\$154,639</u>	<u>\$48,407</u>
FUND BALANCE	\$342,916	\$238,062	\$165,635
Reserve for unencumbered balance of continuing appropriations			
Reserve for economic uncertainties	342,916	238,062	165,635
3008 Transportation Investment Fund ^s			
BEGINNING BALANCE	\$311,686	\$578,161	\$207,682
Prior year adjustments	<u>243,468</u>	-	-
Adjusted Beginning Balance	\$555,154	\$578,161	\$207,682
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
TO0046 To Public Transportation Account, State Transportation Fund per Revenue and Taxation Code Section 7104	-287,324	-	-
Total Revenues, Transfers, and Other Adjustments	<u>-\$287,324</u>	<u>-</u>	<u>-</u>
Total Resources	\$267,830	\$578,161	\$207,682
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	301	728	-
2660 Department of Transportation			
State Operations	199,608	-	-
Local Assistance	66,166	-	-
Capital Outlay	448,764	-	-
Unclassified	-1,436,621	-	-
9535 Apportionment of Local Transportation Funding (Local Assistance)	<u>574,648</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>-\$147,134</u>	<u>\$728</u>	<u>-</u>
Adjustment for Unfunded Encumbrances	<u>-\$163,197</u>	<u>\$369,751</u>	<u>\$169,191</u>
FUND BALANCE	\$578,161	\$207,682	\$38,491
Reserve for economic uncertainties	578,161	207,682	38,491
3093 Transportation Deferred Investment Fund ^s			
BEGINNING BALANCE	\$157,743	\$85,343	\$24,736
Prior year adjustments	<u>2,000</u>	-	-
Adjusted Beginning Balance	\$159,743	\$85,343	\$24,736
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
TO3007 To Traffic Congestion Relief Fund per Government Section 14557.1, Revenue and Taxation Code 7104, 7105, and 7106	-83,416	-83,178	-83,178
Total Revenues, Transfers, and Other Adjustments	<u>-\$83,416</u>	<u>-\$83,178</u>	<u>-\$83,178</u>
Total Resources	\$76,327	\$2,165	-\$58,442
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2660 Department of Transportation			
Capital Outlay	9,389	-	-
Unclassified	<u>-83,416</u>	<u>-83,416</u>	<u>-83,416</u>
Total Expenditures and Expenditure Adjustments	<u>-\$74,027</u>	<u>-\$83,416</u>	<u>-\$83,416</u>
Adjustment for Unfunded Encumbrances	<u>\$65,011</u>	<u>\$60,845</u>	<u>\$24,832</u>
FUND BALANCE	\$85,343	\$24,736	\$142
Reserve for economic uncertainties	85,343	24,736	142

3107 Transportation Debt Service Fund^s

BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO0042 From State Highway Account, State Transportation Fund per Streets and Highways Code Section 183.1	\$78,903	\$61,795	-
FO0042 From State Highway Account, State Transportation Fund per pending legislation	-	262,427	777,509
FO0046 From Public Transportation Account, State Transportation Fund per Public Utilities Code Section 99315	70,650	90,886	-
FO0062 From Highway Users Tax Account, Transportation Tax Fund per Streets and Highways Code Section 2103	-	209,539	-
Total Revenues, Transfers, and Other Adjustments	<u>\$149,553</u>	<u>\$624,647</u>	<u>\$777,509</u>
Total Resources	\$149,553	\$624,647	\$777,509
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2830 General Obligation Bonds-BT&H (State Operations)	149,553	624,647	777,509
Debt Service Reimbursement			
Total Expenditures and Expenditure Adjustments	<u>\$149,553</u>	<u>\$624,647</u>	<u>\$777,509</u>
FUND BALANCE	-	-	-

3116 Mass Transportation Fund^s

BEGINNING BALANCE	-	-	-
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
Proposition 1A Debt Payment Reimbursement			
Total Expenditures and Expenditure Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
FUND BALANCE	-	-	-

6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006^B

BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
520000 Proceeds from the Sale of Bonds and Notes	\$2,287,213	\$3,749,785	\$4,268,015
250300 Income from Surplus Money Investment			
Transfers and Other Adjustments:			
TO6054 California Ports Infrastructure, Security, and Air Quality Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-203,249	-240,825	-282,381

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
TO6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-1,031,400	-1,111,000	-1,287,533
TO6056 Trade Corridors Improvement Fund per Government Code Section 8879.23	-82,576	-490,256	-938,926
TO6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-250,203	-503,396	-293,192
TO6059 Public Transportation Modernization, Improvement and Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-63,173	-163,760	-622,713
TO6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-68,891	-263,654	-315,949
TO6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-11,377	-9,996	-23,662
TO6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-25,394	-187,483	-22,157
TO6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-68,181	-141,301	-117,883
TO6065 Local Streets and Road Improvement, Congestion Relief, and Traffic Safety Account of 2006, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	-459,632	-231,865	-37,030
TO6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund per Government Code Section 8879.23	-17,050	-406,249	-326,589
Total Revenues, Transfers, and Other Adjustments	<u>\$6,087</u>	<u>-</u>	<u>-</u>
Total Resources	<u>\$6,087</u>	<u>-</u>	<u>-</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2660 Department of Transportation (State Operations)	2,819	-	-
3900 Air Resources Board (State Operations)	<u>3,268</u>	<u>-</u>	<u>-</u>
Total Expenditures and Expenditure Adjustments	<u>\$6,087</u>	<u>-</u>	<u>-</u>
FUND BALANCE	-	-	-
 6054 CA Ports Infrastructure, Security, & AirQuality Improvement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fund of 2006^B			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$203,249	\$240,825	\$282,381
Total Revenues, Transfers, and Other Adjustments	<u>\$203,249</u>	<u>\$240,825</u>	<u>\$282,381</u>
Total Resources	<u>\$203,249</u>	<u>\$240,825</u>	<u>\$282,381</u>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
3900 Air Resources Board (State Operations)	<u>203,249</u>	<u>240,825</u>	<u>282,381</u>
Total Expenditures and Expenditure Adjustments	<u>\$203,249</u>	<u>\$240,825</u>	<u>\$282,381</u>
FUND BALANCE	-	-	-
 6055 Corridor Mobility Improvement Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006^B			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$1,031,400	\$1,111,000	\$1,287,533

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
Total Revenues, Transfers, and Other Adjustments	\$1,031,400	\$1,111,000	\$1,287,533
Total Resources	\$1,031,400	\$1,111,000	\$1,287,533
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	192	198	204
2660 Department of Transportation			
State Operations	27,257	40,722	42,203
Local Assistance	2,370	28,835	28,835
Capital Outlay	<u>1,001,581</u>	<u>1,041,245</u>	<u>1,216,291</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,031,400</u>	<u>\$1,111,000</u>	<u>\$1,287,533</u>
FUND BALANCE	-	-	-

6056 Trade Corridors Improvement Fund ^B

BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$82,576	\$490,256	\$938,926
Total Revenues, Transfers, and Other Adjustments	<u>\$82,576</u>	<u>\$490,256</u>	<u>\$938,926</u>
Total Resources	\$82,576	\$490,256	\$938,926
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	141	191	198
2660 Department of Transportation			
State Operations	720	3,243	3,391
Local Assistance	51,266	135,173	213,414
Capital Outlay	<u>30,449</u>	<u>351,649</u>	<u>721,923</u>
Total Expenditures and Expenditure Adjustments	<u>\$82,576</u>	<u>\$490,256</u>	<u>\$938,926</u>
FUND BALANCE	-	-	-

6058 Transportation Facilities Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 ^B

BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$250,203	\$503,396	\$293,192
Total Revenues, Transfers, and Other Adjustments	<u>\$250,203</u>	<u>\$503,396</u>	<u>\$293,192</u>
Total Resources	\$250,203	\$503,396	\$293,192
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	-	179	183
2660 Department of Transportation			
State Operations	55,838	55,299	58,335
Local Assistance	7,075	79,148	34,674
Capital Outlay	<u>187,290</u>	<u>368,770</u>	<u>200,000</u>
Total Expenditures and Expenditure Adjustments	<u>\$250,203</u>	<u>\$503,396</u>	<u>\$293,192</u>
FUND BALANCE	-	-	-

6059 Public Transportation Modernization, Improvement & Service Enhancement Account, Highway Safety, Traffic Reduction, Air Quality, & Port Security Fd of 2006 ^B

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$63,173	\$163,760	\$622,713
Total Revenues, Transfers, and Other Adjustments	<u>\$63,173</u>	<u>\$163,760</u>	<u>\$622,713</u>
Total Resources	\$63,173	\$163,760	\$622,713
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	10	52	53
2640 State Transit Assistance (Local Assistance)	63,923	100,000	500,000
2660 Department of Transportation			
State Operations	1,094	1,208	1,161
Capital Outlay	<u>-1,854</u>	<u>62,500</u>	<u>121,499</u>
Total Expenditures and Expenditure Adjustments	<u>\$63,173</u>	<u>\$163,760</u>	<u>\$622,713</u>
FUND BALANCE	-	-	-
6060 State-Local Partnership Program Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006^B			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$68,891	\$263,654	\$315,949
Total Revenues, Transfers, and Other Adjustments	<u>\$68,891</u>	<u>\$263,654</u>	<u>\$315,949</u>
Total Resources	\$68,891	\$263,654	\$315,949
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	-	91	94
2660 Department of Transportation			
State Operations	280	682	727
Local Assistance	61,397	222,881	281,128
Capital Outlay	<u>7,214</u>	<u>40,000</u>	<u>34,000</u>
Total Expenditures and Expenditure Adjustments	<u>\$68,891</u>	<u>\$263,654</u>	<u>\$315,949</u>
FUND BALANCE	-	-	-
6062 Local Bridge Seismic Retrofit Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006^B			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$11,377	\$9,996	\$23,662
Total Revenues, Transfers, and Other Adjustments	<u>\$11,377</u>	<u>\$9,996</u>	<u>\$23,662</u>
Total Resources	\$11,377	\$9,996	\$23,662
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	-	10	9
2660 Department of Transportation			
State Operations	97	1,752	1,653
Local Assistance	11,280	8,234	22,000

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	<u>2009-10*</u>	<u>2010-11*</u>	<u>2011-12*</u>
Total Expenditures and Expenditure Adjustments	\$11,377	\$9,996	\$23,662
FUND BALANCE	-	-	-
6063 Highway-Railroad Crossing Safety Account, Highway Safety, Traffic Reduction,			
Air Quality and Port Security Fund of 2006 ^B			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$25,394	\$187,483	\$22,157
Total Revenues, Transfers, and Other Adjustments	<u>\$25,394</u>	<u>\$187,483</u>	<u>\$22,157</u>
Total Resources	\$25,394	\$187,483	\$22,157
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	11	33	34
2660 Department of Transportation			
State Operations	470	564	373
Local Assistance	<u>24,913</u>	<u>186,886</u>	<u>21,750</u>
Total Expenditures and Expenditure Adjustments	<u>\$25,394</u>	<u>\$187,483</u>	<u>\$22,157</u>
FUND BALANCE	-	-	-
6064 Highway Safety, Rehabilitation, and Preservation Account, Highway Safety,			
Traffic Reduction, Air Quality, and Port Security Fund of 2006 ^B			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$68,181	\$141,301	\$117,883
Total Revenues, Transfers, and Other Adjustments	<u>\$68,181</u>	<u>\$141,301</u>	<u>\$117,883</u>
Total Resources	\$68,181	\$141,301	\$117,883
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2600 California Transportation Commission (State Operations)	-	81	86
2660 Department of Transportation			
State Operations	16,718	17,087	17,536
Local Assistance	35,550	90,251	71,767
Capital Outlay	<u>15,913</u>	<u>33,882</u>	<u>28,494</u>
Total Expenditures and Expenditure Adjustments	<u>\$68,181</u>	<u>\$141,301</u>	<u>\$117,883</u>
FUND BALANCE	-	-	-
6065 Local Streets & Road Improvement Congestion Relief & Traffic Safety Acct of			
2006 Highway Safety, Traffic Reduction, Air Quality & Port Security Fd of 2006 ^B			
BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	\$459,632	\$231,865	\$37,030
Total Revenues, Transfers, and Other Adjustments	<u>\$459,632</u>	<u>\$231,865</u>	<u>\$37,030</u>
Total Resources	\$459,632	\$231,865	\$37,030
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	2009-10*	2010-11*	2011-12*
8860 Department of Finance (State Operations)	83	28	30
9350 Shared Revenues (Local Assistance)	<u>459,549</u>	<u>231,837</u>	<u>37,000</u>
Total Expenditures and Expenditure Adjustments	<u>\$459,632</u>	<u>\$231,865</u>	<u>\$37,030</u>
FUND BALANCE	-	-	-

6072 State Route 99 Account, Highway Safety, Traffic Reduction, Air Quality, and Port

Security Fund of 2006 ^B

BEGINNING BALANCE	-	-	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Transfers and Other Adjustments:			
FO6053 Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 per Government Code Section 8879.23	<u>\$17,050</u>	<u>\$406,249</u>	<u>\$326,589</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$17,050</u>	<u>\$406,249</u>	<u>\$326,589</u>
Total Resources	\$17,050	\$406,249	\$326,589
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2660 Department of Transportation			
State Operations	3,429	13,673	14,466
Capital Outlay	<u>13,621</u>	<u>392,576</u>	<u>312,123</u>
Total Expenditures and Expenditure Adjustments	<u>\$17,050</u>	<u>\$406,249</u>	<u>\$326,589</u>
FUND BALANCE	-	-	-

6801 Transportation Financing Subaccount, State Highway Account, State

Transportation Fund ^N

BEGINNING BALANCE	\$52,143	\$51,953	\$51,950
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
520000 Proceeds from Sale of Bonds	<u>-</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>-</u>	<u>-</u>	<u>-</u>
Total Resources	\$52,143	\$51,953	\$51,950
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	1	2	-
2660 Department of Transportation			
State Operations	188	-	-
Capital Outlay	<u>1</u>	<u>1</u>	<u>1</u>
Total Expenditures and Expenditure Adjustments	<u>\$190</u>	<u>\$3</u>	<u>\$1</u>
FUND BALANCE	\$51,953	\$51,950	\$51,949

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	18,405.6	21,640.8	21,584.9	\$1,422,623	\$1,649,936	\$1,659,793
Furlough Adjustments	-	-	-	-	-65,669	-
PLP Adjustments	-	-	-	-	-37,706	-
Workload and Administrative Adjustments:				Salary Range		
Budget Year Component of COS Reduction	<u>-</u>	<u>-</u>	<u>-188.0</u>	<u>-</u>	<u>-</u>	<u>-21,306</u>
Totals, Workload & Admin Adjustments	<u>-</u>	<u>-</u>	<u>-188.0</u>	<u>\$-</u>	<u>\$-</u>	<u>-\$21,306</u>
Proposed New Positions:						
Load Rating of Local Bridges:						
Senior Transportation Engineer	-	-	2.0	8,122-9,870	-	210

* Dollars in thousands, except in Salary Range.

2660 Department of Transportation - Continued

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Transportation Engineer	-	-	7.0	6,897-8,379	-	704
Planning PID Workload Base Line Justification:						
Senior Transportation Engineer	-	-	2.0	8,122-9,870	-	216
Transportation Engineer	-	-	16.0	6,897-8,379	-	1,466
JARC/New Freedom:						
Associate Transportation Planner	-	-	3.0	4,619-5,616	-	184
E-FIS Reduction:						
Accounting Administrator II	-	-	-1.0	5,576-6,727	-	-74
Accounting Administrator I (Supv)	-	-	-3.0	5,079-6,127	-	-202
Accounting Officer (Spec)	-	-	-19.0	3,841-4,670	-	-970
Assistant Information System Analyst (Spec)	-	-	-1.0	3,106-3,596	-	-40
Associate Information System Analyst (Spec)	-	-	-6.0	4,619-5,897	-	-379
Associate Programmer Analyst (Spec)	-	-	-1.0	4,619-5,897	-	-63
Staff Information System Analyst (Spec)	-	-	-3.0	5,065-6,466	-	-208
Staff Programmer Analyst (Spec)	-	-	-1.0	5,065-6,466	-	-69
Totals, Proposed New Positions	-	-	-5.0	\$-	\$-	\$775
Total Adjustments	-	-	-193.0	\$-	-\$103,375	-\$20,531
TOTAL SALARIES AND WAGES	18,405.6	21,640.8	21,391.9	\$1,422,623	\$1,546,561	\$1,639,262

2665 High-Speed Rail Authority

The California High-Speed Rail Authority's mission is to plan, design, build, and operate a high-speed train system for California.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Authority's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Administration	10.3	37.1	37.1	\$140,204	\$5,733	\$7,131
20 Program Management and Oversight Contracts	-	-	-	-	39,036	3,000
30 Public Information and Communications Contracts	-	-	-	-	1,800	1,800
40 Fiscal and Other External Contracts	-	-	-	-	10,055	750
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	10.3	37.1	37.1	\$140,204	\$56,624	\$12,681
FUNDING				2009-10*	2010-11*	2011-12*
0995 Reimbursements				\$1,500	\$-	\$-
6043 High - Speed Passenger Train Bond Fund				138,704	56,624	12,681
TOTALS, EXPENDITURES, ALL FUNDS				\$140,204	\$56,624	\$12,681

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Public Utilities Code, Division 19.5 (commencing with Section 185000).

DETAILED BUDGET ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

2665 High-Speed Rail Authority - Continued

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustment	\$-	\$94	-	\$-	\$94	-
• Employee Compensation Adjustments	-	-305	-	-	-43	-
• Workforce Cap Adjustment	-	-196	-2.5	-	-196	-2.5
• One Time Cost Reductions	-	-	-	-	-50,891	-
Totals, Other Workload Budget Adjustments	\$-	-\$407	-2.5	\$-	-\$51,036	-2.5
Totals, Workload Budget Adjustments	\$-	-\$407	-2.5	\$-	-\$51,036	-2.5
Policy Adjustments						
• Program Management Oversight	\$-	\$-	-	\$-	\$3,000	-
• Public Information and Communication	-	-	-	-	1,800	-
• Staff Increase/Baseline Adjustment	-	-	-	-	1,136	-
• Financial Consulting Services and Public Private Partnership Program	-	-	-	-	750	-
Totals, Policy Adjustments	\$-	\$-	-	\$-	\$6,686	-
Totals, Budget Adjustments	\$-	-\$407	-2.5	\$-	-\$44,350	-2.5

PROGRAM DESCRIPTIONS

10 - ADMINISTRATION OF HIGH-SPEED RAIL AUTHORITY

The Administration Program is responsible for developing and implementing a statewide high-speed train system for California.

20 - PROGRAM MANAGEMENT AND OVERSIGHT CONTRACTS

The Program Management and Oversight Contracts Program is responsible for providing evaluation and review of services and products generated by the Program Management Team and Regional Consultants. This incorporates Project/Program monitoring, technical review, and programmatic review to be utilized by the Authority and shareholders.

30 - PUBLIC INFORMATION AND COMMUNICATIONS

The Public Information and Communications Program is responsible for providing information and communication services to the public by coordinating various regional outreach activities related to the environmental review process and supplements those efforts.

40 - FISCAL AND OTHER EXTERNAL CONTRACTS

The Fiscal and Other External Contracts Program is responsible for providing cost-effective services through contractual agreements.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	Administration			
	State Operations:			
0995	Reimbursements	\$1,500	\$-	\$-
6043	High - Speed Passenger Train Bond Fund	138,704	5,733	7,131
	Totals, State Operations	\$140,204	\$5,733	\$7,131
PROGRAM REQUIREMENTS				
20	Program Management and Oversight Contracts			
	State Operations:			
6043	High - Speed Passenger Train Bond Fund	\$-	\$39,036	\$3,000
	Totals, State Operations	\$-	\$39,036	\$3,000
PROGRAM REQUIREMENTS				
30	Public Information and Communications Contracts			
	State Operations:			

* Dollars in thousands, except in Salary Range.

2665 High-Speed Rail Authority - Continued

	2009-10*	2010-11*	2011-12*
6043 High - Speed Passenger Train Bond Fund	\$-	\$1,800	\$1,800
Totals, State Operations	\$-	\$1,800	\$1,800
PROGRAM REQUIREMENTS			
40 Fiscal and Other External Contracts			
State Operations:			
6043 High - Speed Passenger Train Bond Fund	\$-	\$10,055	\$750
Totals, State Operations	\$-	\$10,055	\$750
TOTALS, EXPENDITURES			
State Operations	140,204	56,624	12,681
Totals, Expenditures	\$140,204	\$56,624	\$12,681

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	10.3	41.5	41.5	\$817	\$3,563	\$3,638
Total Adjustments	-	-2.5	-2.5	-	-401	-145
Estimated Salary Savings	-	-1.9	-1.9	-	-171	-171
Net Totals, Salaries and Wages	10.3	37.1	37.1	\$817	\$2,991	\$3,322
Staff Benefits	-	-	-	266	1,107	1,131
Totals, Personal Services	10.3	37.1	37.1	\$1,083	\$4,098	\$4,454
OPERATING EXPENSES AND EQUIPMENT				\$139,121	\$52,526	\$8,227
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$140,204	\$56,624	\$12,681

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$1,500	-	-
6043 High - Speed Passenger Train Bond Fund			
APPROPRIATIONS			
004 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$139,180	-	-
Adjustment per Section 3.60	3	-	-
Reduction per Section 3.90	-118	-	-
Adjustment per Section 3.55	-1	-	-
004 Budget Act appropriation	-	\$57,031	\$12,681
Allocation for employee compensation	-	20	-
Adjustment per Section 3.60	-	94	-
Reduction per Section 3.90	-	-196	-
Reduction per Control Section 3.91	-	-325	-
Totals Available	\$139,064	\$56,624	\$12,681
Unexpended balance, estimated savings	-360	-	-
TOTALS, EXPENDITURES	\$138,704	\$56,624	\$12,681
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$140,204	\$56,624	\$12,681

* Dollars in thousands, except in Salary Range.

2665 High-Speed Rail Authority - Continued

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
6043 High - Speed Passenger Train Bond Fund ^B			
BEGINNING BALANCE	-	\$90,591	-
Prior year adjustments	\$60,945	-	-
Adjusted Beginning Balance	\$60,945	\$90,591	-
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
Proceeds from the Sale of Bonds and Notes	168,350	153,773	\$130,257
Total Revenues, Transfers, and Other Adjustments	\$168,350	\$153,773	\$130,257
Total Resources	\$229,295	\$244,364	\$130,257
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2660 Department of Transportation			
State Operations	-	-	94
Local Assistance	-	38,500	7,000
Capital Outlay	-	62,490	20,810
2665 High-Speed Rail Authority			
State Operations	138,704	56,624	12,681
Capital Outlay	-	86,750	89,672
Total Expenditures and Expenditure Adjustments	\$138,704	\$244,364	\$130,257
FUND BALANCE	\$90,591	-	-

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	10.3	41.5	41.5	\$817	\$3,563	\$3,638
Furlough Adjustments	-	-	-	-	-136	-
PLP Adjustments	-	-	-	-	-120	-
Workforce and Administrative Adjustments:				Salary Range		
Civil Engineer Associate	-	-1.0	-1.0	4,960-6,027	-66	-66
Associate Transportation Planner	-	-1.0	-1.0	4,619-5,616	-61	-61
Office Technician	-	-0.5	-0.5	2,686-3,624	-18	-18
Totals, Workforce and Admin. Adjustments	-	-2.5	-2.5	\$-	-\$145	-\$145
Total Adjustments	-	-2.5	-2.5	\$-	-\$401	-\$145
TOTAL SALARIES AND WAGES	10.3	39.0	39.0	\$817	\$3,162	\$3,493

INFRASTRUCTURE OVERVIEW

The High-Speed Rail Authority is in the process of acquiring real property and right-of-way accesses to enable the development of a high-speed train system between Anaheim and San Francisco with extensions to Sacramento and San Diego.

MAJOR PROJECT CHANGES

- The Governor's Budget provides \$179.3 million (50 percent High-Speed Passenger Train Bond Fund and 50 percent federal funds) for preliminary engineering and environmental review along the San Francisco to Anaheim sections of the high-speed rail system.

SUMMARY OF PROJECTS

	State Building Program Expenditures	2009-10*	2010-11*	2011-12*
20	CAPITAL OUTLAY			
	Major Projects			

* Dollars in thousands, except in Salary Range.

2665 High-Speed Rail Authority - Continued

State Building Program Expenditures		2009-10*	2010-11*	2011-12*
20.15	San Francisco to San Jose	\$-	\$26,150	\$12,390
20.15.010	San Francisco to San Jose	-	26,150 ^{ADbf}	12,390 ^{ADbf}
20.25	San Jose to Merced	\$-	\$26,120	\$34,920
20.25.010	San Jose to Merced	-	26,120 ^{ADbf}	34,920 ^{ADbf}
20.30	Merced to Fresno	\$-	\$16,139	\$13,358
20.30.010	Merced to Fresno	-	16,139 ^{ADbf}	13,358 ^{ADbf}
20.40	Fresno to Bakersfield	\$-	\$38,466	\$13,884
20.40.010	Fresno to Bakersfield	-	38,466 ^{ADbf}	13,884 ^{ADbf}
20.45	Bakersfield to Palmdale	\$-	\$1,815	\$51,050
20.45.010	Bakersfield to Palmdale	-	1,815 ^{ADbf}	51,050 ^{ADbf}
20.50	Palmdale to Los Angeles	\$-	\$35,225	\$45,392
20.50.010	Palmdale to Los Angeles	-	35,225 ^{ADbf}	45,392 ^{ADbf}
20.60	Los Angeles to Anaheim	\$-	\$11,085	\$8,350
20.60.010	Los Angeles to Anaheim	-	11,085 ^{ADbf}	8,350 ^{ADbf}
20.70	Los Angeles to San Diego	\$-	\$3,700	\$-
20.70.010	Los Angeles to San Diego	-	3,700 ^{ADbf}	-
20.80	Merced to Sacramento	\$-	\$2,800	\$-
20.80.010	Merced to Sacramento	-	2,800 ^{ADbf}	-
20.90	Altamont Pass	\$-	\$2,750	\$-
20.90.010	Altamont Pass	-	2,750 ^{ADbf}	-
Totals, Major Projects		\$-	\$164,250	\$179,344
TOTALS, EXPENDITURES, ALL PROJECTS		\$-	\$164,250	\$179,344
FUNDING		2009-10*	2010-11*	2011-12*
0890	Federal Trust Fund	\$-	\$77,500	\$89,672
6043	High - Speed Passenger Train Bond Fund	-	86,750	89,672
TOTALS, EXPENDITURES, ALL FUNDS		\$-	\$164,250	\$179,344

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY		2009-10*	2010-11*	2011-12*
0890 Federal Trust Fund				
APPROPRIATIONS				
304	Budget Act appropriation	-	\$25,000	\$22,469
305	Budget Act appropriation	-	52,500	67,203
TOTALS, EXPENDITURES		\$-	\$77,500	\$89,672
6043 High - Speed Passenger Train Bond Fund				
APPROPRIATIONS				
304	Budget Act appropriation	-	\$28,345	\$22,469
305	Budget Act appropriation	-	58,405	67,203
TOTALS, EXPENDITURES		\$-	\$86,750	\$89,672
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)		\$-	\$164,250	\$179,344

2670 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisun

The Board of Pilot Commissioners for the Bays of San Francisco, San Pablo and Suisun licenses and regulates maritime pilots who guide vessels entering or leaving those bays. The seven members of the Board are appointed by the Governor with the consent of the Senate. All expenses of the Board (except for pilot training and pilot trainee training) are funded by a surcharge on pilotage fees set by the Board. Pilot training programs are funded by a separate surcharge on vessel

* Dollars in thousands, except in Salary Range.

2670 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisun - Continued

movements.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Board of Pilot Commissioners	3.2	4.0	4.0	\$2,448	\$2,602	\$2,190
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	3.2	4.0	4.0	\$2,448	\$2,602	\$2,190
FUNDING				2009-10*	2010-11*	2011-12*
0290 Board of Pilot Commissioners' Special Fund				\$2,448	\$2,602	\$2,190
TOTALS, EXPENDITURES, ALL FUNDS				\$2,448	\$2,602	\$2,190

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Harbors and Navigation Code, Section 1150 et seq.

On January 1, 2009, the Board was placed within the Business, Transportation, and Housing Agency, pursuant to Chapter 567, Statutes of 2008 (SB 1627).

MAJOR PROGRAM CHANGES

- The 2011-12 Budget reflects the removal of \$233,000 in limited-term funding associated with the Cosco Busan lawsuits, which are anticipated to be settled in 2010-11.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						
• Retirement Rate Adjustment	\$-	\$8	-	\$-	\$8	-
• Employee Compensation Adjustments	-	-16	-	-	-5	-
• Workforce Cap Adjustment	-	-17	-0.2	-	-17	-0.2
• Miscellaneous Adjustments	-	-	-	-	-21	-
• One Time Cost Reductions	-	-	-	-	-402	-
Totals, Other Workload Budget Adjustments	\$-	-\$25	-0.2	\$-	-\$437	-0.2
Totals, Workload Budget Adjustments	\$-	-\$25	-0.2	\$-	-\$437	-0.2
Totals, Budget Adjustments	\$-	-\$25	-0.2	\$-	-\$437	-0.2

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	BOARD OF PILOT COMMISSIONERS			
	State Operations:			
0290	Board of Pilot Commissioners' Special Fund	\$2,448	\$2,602	\$2,190
	Totals, State Operations	\$2,448	\$2,602	\$2,190
ELEMENT REQUIREMENTS				
10.01	Support	\$1,384	\$1,311	\$1,061
	State Operations:			
0290	Board of Pilot Commissioners' Special Fund	1,384	1,311	1,061

* Dollars in thousands, except in Salary Range.

2670 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisun - Continued

	2009-10*	2010-11*	2011-12*
10.03 Training	\$1,064	\$1,291	\$1,129
State Operations:			
0290 Board of Pilot Commissioners' Special Fund	1,064	1,291	1,129
TOTALS, EXPENDITURES			
State Operations	2,448	2,602	2,190
Totals, Expenditures	\$2,448	\$2,602	\$2,190

EXPENDITURES BY CATEGORY

	1 State Operations			Expenditures		
	Positions/Personnel Years					
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	3.2	4.2	4.2	\$234	\$286	\$290
Total Adjustments	-	-	-	-	-10	-
Estimated Salary Savings	-	-0.2	-0.2	-	-13	-13
Net Totals, Salaries and Wages	3.2	4.0	4.0	\$234	\$263	\$277
Staff Benefits	-	-	-	104	105	111
Totals, Personal Services	3.2	4.0	4.0	\$338	\$368	\$388
OPERATING EXPENSES AND EQUIPMENT				\$2,110	\$2,234	\$1,802
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$2,448	\$2,602	\$2,190

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0290 Board of Pilot Commissioners' Special Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$3,136	-	-
Adjustment per Section 3.60	1	-	-
Reduction per Section 3.90	-27	-	-
Adjustment per Section 3.55	-2	-	-
001 Budget Act appropriation	-	\$2,627	\$2,190
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	-	8	-
Reduction per Section 3.90	-	-17	-
Reduction per Control Section 3.91	-	-18	-
Totals Available	\$3,108	\$2,602	\$2,190
Unexpended balance, estimated savings	-660	-	-
TOTALS, EXPENDITURES	\$2,448	\$2,602	\$2,190
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$2,448	\$2,602	\$2,190

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0290 Board of Pilot Commissioners' Special Fund[§]			
BEGINNING BALANCE	\$904	\$1,258	\$975
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

* Dollars in thousands, except in Salary Range.

2670 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisun - Continued

	2009-10*	2010-11*	2011-12*
125700 Other Regulatory Licenses and Permits	3,046	2,315	2,315
150300 Income From Surplus Money Investments	6	4	4
Total Revenues, Transfers, and Other Adjustments	<u>\$3,052</u>	<u>\$2,319</u>	<u>\$2,319</u>
Total Resources	\$3,956	\$3,577	\$3,294
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2670 Board of Pilot Commissioners for the Bays of San Francisco, San Pablo, and Suisu (State Operations)	2,448	2,602	2,190
8855 Bureau of State Audits (State Operations)	250	-	-
Total Expenditures and Expenditure Adjustments	<u>\$2,698</u>	<u>\$2,602</u>	<u>\$2,190</u>
FUND BALANCE	\$1,258	\$975	\$1,104
Reserve for economic uncertainties	1,258	975	1,104

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	3.2	4.2	4.2	\$234	\$286	\$290
Furlough Adjustments	-	-	-	-	-5	-
PLP Adjustments	-	-	-	-	-5	-
Total Adjustments	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$-</u>	<u>-\$10</u>	<u>\$-</u>
TOTALS, SALARIES AND WAGES	3.2	4.2	4.2	\$234	\$276	\$290

2700 Office of Traffic Safety

The California Office of Traffic Safety's mission is to obtain and effectively administer traffic safety grant funds to reduce deaths, injuries, and economic losses resulting from traffic collisions.

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 California Traffic Safety Program	33.6	33.0	33.0	\$96,961	\$189,537	\$96,945
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	33.6	33.0	33.0	\$96,961	\$189,537	\$96,945
FUNDING				2009-10*	2010-11*	2011-12*
0044 Motor Vehicle Account, State Transportation Fund				\$366	\$406	\$428
0890 Federal Trust Fund				96,595	189,131	96,517
TOTALS, EXPENDITURES, ALL FUNDS				\$96,961	\$189,537	\$96,945

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Vehicle Code, Division 2, Chapter 5, Article 1.

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Other Workload Budget Adjustments						

* Dollars in thousands, except in Salary Range.

2700 Office of Traffic Safety - Continued

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
• Employee Compensation Adjustments	\$-	-\$176	-	\$-	-\$34	-
• Retirement Rate Adjustment	-	61	-	-	61	-
• Carryover/Reappropriation	-	42,107	-	-	-51,176	-
• Miscellaneous Adjustments	-	-	-	-	549	-
• Workforce Cap Adjustment	-	-123	-1.0	-	-123	-1.0
Totals, Other Workload Budget Adjustments	\$-	\$41,869	-1.0	\$-	-\$50,723	-1.0
Totals, Workload Budget Adjustments	\$-	\$41,869	-1.0	\$-	-\$50,723	-1.0
Totals, Budget Adjustments	\$-	\$41,869	-1.0	\$-	-\$50,723	-1.0

PROGRAM DESCRIPTIONS

10 - CALIFORNIA TRAFFIC SAFETY PROGRAM

This program develops the California Highway Safety Plan, which: (1) identifies major traffic safety problems and appropriate programs to address these problems using available state and federal funds; (2) administers grants to state departments and local governments; and (3) coordinates statewide traffic safety programs and activities.

DETAILED EXPENDITURES BY PROGRAM

		2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS				
10	CALIFORNIA TRAFFIC SAFETY PROGRAM			
State Operations:				
0044	Motor Vehicle Account, State Transportation Fund	\$366	\$406	\$428
0890	Federal Trust Fund	58,560	121,569	59,524
Totals, State Operations		\$58,926	\$121,975	\$59,952
Local Assistance:				
0890	Federal Trust Fund	\$38,035	\$67,562	\$36,993
Totals, Local Assistance		\$38,035	\$67,562	\$36,993
TOTALS, EXPENDITURES				
State Operations		58,926	121,975	59,952
Local Assistance		38,035	67,562	36,993
Totals, Expenditures		\$96,961	\$189,537	\$96,945

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	33.6	35.0	35.0	\$1,761	\$2,208	\$2,239
Total Adjustments	-	-	-	-	-152	-
Estimated Salary Savings	-	-2.0	-2.0	-	-148	-146
Net Totals, Salaries and Wages	33.6	33.0	33.0	\$1,761	\$1,908	\$2,093
Staff Benefits	-	-	-	750	837	826
Totals, Personal Services	33.6	33.0	33.0	\$2,511	\$2,745	\$2,919
OPERATING EXPENSES AND EQUIPMENT				\$2,957	\$2,731	\$3,231
SPECIAL ITEMS OF EXPENSE				\$53,458	\$116,499	\$53,802
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$58,926	\$121,975	\$59,952

* Dollars in thousands, except in Salary Range.

2700 Office of Traffic Safety - Continued

2 Local Assistance

	Expenditures		
	2009-10*	2010-11*	2011-12*
Other	\$38,035	\$67,562	\$36,993
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$38,035	\$67,562	\$36,993

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$433	\$435	\$428
Allocation for employee compensation	-	2	-
Adjustment per Section 3.60	1	7	-
Reduction per Section 3.90	-34	-12	-
Reduction per Control Section 3.91	-	-26	-
Totals Available	\$400	\$406	\$428
Unexpended balance, estimated savings	-34	-	-
TOTALS, EXPENDITURES	\$366	\$406	\$428
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$58,842	\$59,064	\$59,524
Allocation for employee compensation	-	16	-
Adjustment per Section 3.60	5	54	-
Reduction per Section 3.90	-318	-111	-
Reduction per Section 15.30	-5	-	-
Reduction per Control Section 3.91	-	-168	-
Adjustment per Section 3.55	-1	-	-
Budget Adjustment	55	-	-
Prior year balances available:			
Item 2700-001-0890, Budget Act of 2005, as reappropriated by Item 2700-491, Budget Act of 2010	-	35,006	-
Item 2700-001-0890, Budget Act of 2006 as reappropriated by Item 2700-491, Budget Act of 2010	-	27,663	-
Item 2700-001-0890, Budget Act of 2007 as reappropriated by Item 2700-491, Budget Act of 2010	-	27	-
Item 2700-001-0890, Budget Act of 2009	-	18	-
Totals Available	\$58,578	\$121,569	\$59,524
Balance available in subsequent years	-18	-	-
TOTALS, EXPENDITURES	\$58,560	\$121,569	\$59,524
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$58,926	\$121,975	\$59,952
2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
0890 Federal Trust Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$36,993	\$36,993	\$36,993
Budget Adjustment	1,042	-	-
Prior year balances available:			
Item 2700-101-0890, Budget Act of 2005 as reappropriated by Item 2700-491, Budget Act of 2010	-	16,170	-
Item 2700-101-0890, Budget Act of 2006 as reappropriated by Item 2700-491, Budget Act of 2010	-	14,397	-

* Dollars in thousands, except in Salary Range.

2700 Office of Traffic Safety - Continued

2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
Item 2700-101-0890, Budget Act of 2007 as reappropriated by Item 2700-491, Budget Act of 2010	-	2	-
TOTALS, EXPENDITURES	\$38,035	\$67,562	\$36,993
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$38,035	\$67,562	\$36,993
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$96,961	\$189,537	\$96,945

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	33.6	35.0	35.0	\$1,761	\$2,208	\$2,239
Furlough Adjustments	-	-	-	-	-80	-
PLP Adjustments	-	-	-	-	-72	-
Total Adjustments	-	-	-	\$-	-\$152	\$-
TOTALS, SALARIES AND WAGES	33.6	35.0	35.0	\$1,761	\$2,056	\$2,239

2720 Department of the California Highway Patrol

The California Highway Patrol's (CHP's) mission is to ensure the safe, convenient, and efficient transportation of people and goods across the state's highway system and to provide the highest level of safety and security to the facilities and employees of the State of California.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on CHP's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
10 Traffic Management	9,279.9	8,969.2	8,948.2	\$1,658,472	\$1,731,072	\$1,659,585
20 Regulation and Inspection	763.4	1,068.7	1,067.7	136,429	206,157	193,731
30 Vehicle Security	219.0	238.6	238.6	39,137	46,032	43,194
40.01 Administration	1,066.1	1,132.2	1,126.2	111,877	305,859	230,925
40.02 Distributed Administration	-	-	-	-111,256	-305,238	-230,304
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	11,328.4	11,408.7	11,380.7	\$1,834,659	\$1,983,882	\$1,897,131
FUNDING				2009-10*	2010-11*	2011-12*
0042 State Highway Account, State Transportation Fund				\$51,340	\$59,552	\$59,746
0044 Motor Vehicle Account, State Transportation Fund				1,671,381	1,778,903	1,699,617
0293 Motor Carriers Safety Improvement Fund				1,867	2,591	2,054
0840 California Motorcyclist Safety Fund				1,464	2,229	2,278
0890 Federal Trust Fund				14,127	17,991	18,296
0942 Special Deposit Fund				1,510	2,329	2,329
0974 California Peace Officer Memorial Foundation Fund				167	300	300
0995 Reimbursements				92,803	119,987	112,511
TOTALS, EXPENDITURES, ALL FUNDS				\$1,834,659	\$1,983,882	\$1,897,131

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Vehicle Code, Division 2, Chapters 2, 2.5 and 4, Division 3, Chapters 1 and 6, Division 4, Chapters 1 and 1.5, Division 6, Chapters 1 and 2, Division 11, Chapters 3 and 9, Division 13, Chapter 5, Division 14.1, Chapter 1, Division 14.7, and Division 14.8, and Education Code Section 39831.

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Motor Carriers Safety: Remove Excess Authority	\$-	\$-	-	\$-	-\$545	-
• CAD Project Extension	-	-	-	-	7,383	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$6,838	-
Other Workload Budget Adjustments						
• Employee Compension Adjustment	\$-	-\$1,557	-	\$-	\$1,979	-
• Retirement Rate Adjustment	-	36,021	-	-	36,021	-
• One Time Cost Reductions	-	-	-	-	-110,187	-
• Full Year Cost of New/Expanded Programs	-	-	-	-	15,574	-
• Miscellaneous Adjustments	-	-	-	-	24,489	-
• Lease Revenue Debt Service Adjustment	-	-	-	-	-1	-
• Workforce Cap Adjustment	-	-34,792	-	-	-61,792	-276.0
Totals, Other Workload Budget Adjustments	\$-	-\$328	-	\$-	-\$93,917	-276.0
Totals, Workload Budget Adjustments	\$-	-\$328	-	\$-	-\$87,079	-276.0
Totals, Budget Adjustments	\$-	-\$328	-	\$-	-\$87,079	-276.0

PROGRAM DESCRIPTIONS

10 - TRAFFIC MANAGEMENT

The objectives of this program are to minimize deaths, injuries, and property losses due to traffic accidents; to reduce traffic delays to the motoring public; to provide protection and assistance to the motoring public, state employees and property including protection of the State Capitol and the surrounding grounds, state constitutional officers and visiting dignitaries; and to curtail the potential for terrorist threat as part of state and federal homeland security efforts. These objectives are achieved through both ground and flight operations.

20 - REGULATION AND INSPECTION

The CHP operates 16 inspection facilities statewide with the objectives of:

- Reducing the incidence of truck and bus accidents attributable to defective vehicle equipment, improper maintenance, loading or securement of cargo, or disqualified drivers.
- Protecting the public from spills of hazardous materials or specially regulated loads and from the improper operation of specified vehicles such as ambulances and armored cars.
- Protecting farm workers transported in farm labor vehicles and children transported in school buses.
- Ensuring that proper registration fees are paid, and protecting highways from excessive weights.

30 - VEHICLE OWNERSHIP SECURITY

This program protects the public from vehicle theft through:

- Investigation and prosecution of the professional vehicle thief.
- Assistance and training of CHP and allied agency personnel.
- Prevention of vehicle theft through public awareness and coordination with the insurance, trucking, construction, auto manufacturing, and auto sale industries.

40 - ADMINISTRATION

The Administration Program provides services essential for the administration of the Department and its programs, including executive, administrative, legal, legislative, policy, and information support.

DETAILED EXPENDITURES BY PROGRAM

	2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS			
10 TRAFFIC MANAGEMENT			
State Operations:			

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

	2009-10*	2010-11*	2011-12*
0042 State Highway Account, State Transportation Fund	\$21,418	\$21,807	\$21,882
0044 Motor Vehicle Account, State Transportation Fund	1,544,345	1,591,081	1,523,763
0840 California Motorcyclist Safety Fund	1,464	2,199	2,246
0890 Federal Trust Fund	536	2,623	1,740
0942 Special Deposit Fund	748	1,058	1,058
0995 Reimbursements	<u>89,794</u>	<u>112,004</u>	<u>108,596</u>
Totals, State Operations	\$1,658,305	\$1,730,772	\$1,659,285
Local Assistance:			
0974 California Peace Officer Memorial Foundation Fund	<u>167</u>	<u>300</u>	<u>300</u>
Totals, Local Assistance	\$167	\$300	\$300
ELEMENT REQUIREMENTS			
10.10 Ground Operations	\$1,610,761	\$1,686,085	\$1,616,768
State Operations:			
0042 State Highway Account, State Transportation Fund	21,049	21,432	21,505
0044 Motor Vehicle Account, State Transportation Fund	1,497,003	1,546,492	1,481,345
0840 California Motorcyclist Safety Fund	1,464	2,199	2,246
0890 Federal Trust Fund	536	2,623	1,740
0942 Special Deposit Fund	748	1,058	1,058
0995 Reimbursements	89,794	111,981	108,574
Local Assistance:			
0974 California Peace Officer Memorial Foundation Fund	167	300	300
10.20 Flight Operations	\$47,711	\$44,987	\$42,817
State Operations:			
0042 State Highway Account, State Transportation Fund	369	375	377
0044 Motor Vehicle Account, State Transportation Fund	47,342	44,589	42,418
0995 Reimbursements	-	23	22
PROGRAM REQUIREMENTS			
20 REGULATION AND INSPECTION			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$29,922	\$37,745	\$37,864
0044 Motor Vehicle Account, State Transportation Fund	89,671	144,679	135,495
0293 Motor Carriers Safety Improvement Fund	1,867	2,591	2,054
0840 California Motorcyclist Safety Fund	-	22	23
0890 Federal Trust Fund	13,591	15,368	16,556
0942 Special Deposit Fund	13	213	213
0995 Reimbursements	<u>1,365</u>	<u>5,539</u>	<u>1,526</u>
Totals, State Operations	\$136,429	\$206,157	\$193,731
ELEMENT REQUIREMENTS			
20.05 School Pupil Transportation Safety	\$11,193	\$12,986	\$12,127
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	11,193	12,964	12,104
0840 California Motorcyclist Safety Fund	-	22	23
20.10 Regulated Special Purpose Vehicles	\$2,473	\$2,841	\$2,653
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	2,473	2,841	2,653
20.15 Transportation of Hazardous Materials	\$9,531	\$11,212	\$10,751
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	9,518	10,999	10,538
0942 Special Deposit Fund	13	213	213

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

	2009-10*	2010-11*	2011-12*
20.20 Farm Labor Transportation Safety	\$7	\$5,001	\$4,920
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	7	5,001	4,920
20.25 Commercial Vehicle Inspection Enforcement	\$81,492	\$133,858	\$122,952
State Operations:			
0042 State Highway Account, State Transportation Fund	29,922	37,745	37,864
0044 Motor Vehicle Account, State Transportation Fund	43,170	83,224	76,660
0293 Motor Carriers Safety Improvement Fund	1,867	2,591	2,054
0890 Federal Trust Fund	5,168	4,759	4,848
0995 Reimbursements	1,365	5,539	1,526
20.45 Motor Carrier Safety Operations	\$31,733	\$40,259	\$40,328
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	23,310	29,650	28,620
0890 Federal Trust Fund	8,423	10,609	11,708
PROGRAM REQUIREMENTS			
30 VEHICLE SECURITY			
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	\$37,365	\$43,143	\$40,359
0840 California Motorcyclist Safety Fund	-	8	9
0942 Special Deposit Fund	749	1,058	1,058
0995 Reimbursements	1,023	1,823	1,768
Totals, State Operations	\$39,137	\$46,032	\$43,194
ELEMENT REQUIREMENTS			
30.10 Vehicle Theft Control	\$35,178	\$41,489	\$38,964
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	33,406	38,600	36,129
0840 California Motorcyclist Safety Fund	-	8	9
0942 Special Deposit Fund	749	1,058	1,058
0995 Reimbursements	1,023	1,823	1,768
30.20 Vehicle Identification Numbering Program	\$3,959	\$4,543	\$4,230
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	3,959	4,543	4,230
PROGRAM REQUIREMENTS			
40 ADMINISTRATION			
State Operations:			
0995 Reimbursements	\$621	\$621	\$621
Totals, State Operations	\$621	\$621	\$621
ELEMENT REQUIREMENTS			
40.01 Administration	111,877	305,859	230,925
40.02 Distributed Administration	-111,256	-305,238	-230,304
TOTALS, EXPENDITURES			
State Operations	1,834,492	1,983,582	1,896,831
Local Assistance	167	300	300
Totals, Expenditures	\$1,834,659	\$1,983,882	\$1,897,131

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	11,328.4	11,658.2	11,838.2	\$1,007,585	\$1,053,673	\$1,072,630
Total Adjustments	-	-	-14.0	-	-3,043	-536
Estimated Salary Savings	-	-249.5	-443.5	-	-13,704	-32,564
Net Totals, Salaries and Wages	11,328.4	11,408.7	11,380.7	\$1,007,585	\$1,036,926	\$1,039,530
Staff Benefits	-	-	-	338,665	505,555	471,223
Totals, Personal Services	11,328.4	11,408.7	11,380.7	\$1,346,250	\$1,542,481	\$1,510,753
OPERATING EXPENSES AND EQUIPMENT				\$488,242	\$441,101	\$386,078
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)				\$1,834,492	\$1,983,582	\$1,896,831

2 Local Assistance	Expenditures		
	2009-10*	2010-11*	2011-12*
Grants and Subventions	\$167	\$300	\$300
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$167	\$300	\$300

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Ch. 1, Statutes of 2009, Fourth Extraordinary Session	\$60,404	-	-
Adjustment per Section 3.60	-819	-	-
Reduction per Section 3.90	-639	-	-
Adjustment per Section 3.55	-19	-	-
001 Budget Act appropriation	-	\$59,641	\$59,746
Allocation for employee compensation	-	376	-
Adjustment per Section 3.60	-	1,085	-
Reduction per Section 3.90	-	-1,127	-
Reduction per Control Section 3.91	-	-423	-
Totals Available	\$58,927	\$59,552	\$59,746
Unexpended balance, estimated savings	-7,587	-	-
TOTALS, EXPENDITURES	\$51,340	\$59,552	\$59,746
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$1,791,293	-	-
Adjustment per Section 3.60	-24,285	-	-
Reduction per Section 3.90	-16,895	-	-
Reduction per Section 15.30	-1,128	-	-
Adjustment per Section 3.55	-557	-	-
001 Budget Act appropriation	-	\$1,780,599	\$1,698,669
Allocation for employee compensation	-	11,239	-
Adjustment per Section 3.60	-	32,421	-
Reduction per Section 3.90	-	-33,665	-
Reduction per Control Section 3.91	-	-12,640	-

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
003 Budget Act appropriation (lease revenue debt)	947	949	948
Adjustment per Section 4.30	-17	-	-
011 Budget Act appropriation (Advanced Authorization)	(10,000)	(10,000)	(10,000)
021 Budget Act appropriation (Advanced Authorization)	<u>(5,000)</u>	<u>(5,000)</u>	<u>(5,000)</u>
Totals Available	\$1,749,358	\$1,778,903	\$1,699,617
Unexpended balance, estimated savings	<u>-77,977</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,671,381	\$1,778,903	\$1,699,617
0293 Motor Carriers Safety Improvement Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,575	\$2,547	\$2,054
Allocation for employee compensation	-	16	-
Adjustment per Section 3.60	-35	46	-
Reduction per Section 3.90	-26	-	-
Reduction per Control Section 3.91	-	-18	-
Adjustment per Section 3.55	<u>-1</u>	<u>-</u>	<u>-</u>
Totals Available	\$2,513	\$2,591	\$2,054
Unexpended balance, estimated savings	<u>-646</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,867	\$2,591	\$2,054
0840 California Motorcyclist Safety Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$1,662	-	-
001 Budget Act appropriation	<u>-</u>	<u>\$2,229</u>	<u>\$2,278</u>
Totals Available	\$1,662	\$2,229	\$2,278
Unexpended balance, estimated savings	<u>-198</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,464	\$2,229	\$2,278
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$19,222	-	-
Adjustment per Section 3.60	-260	-	-
Reduction per Section 3.90	-171	-	-
Adjustment per Section 3.55	-5	-	-
Budget Adjustment	-4,659	-	-
001 Budget Act appropriation	-	\$17,682	\$18,296
Allocation for employee compensation	-	112	-
Adjustment per Section 3.60	-	322	-
Reduction per Control Section 3.91	<u>-</u>	<u>-125</u>	<u>-</u>
TOTALS, EXPENDITURES	\$14,127	\$17,991	\$18,296
0903 State Penalty Fund			
APPROPRIATIONS			
012 Budget Act appropriation (transfer to California Motorcyclist Safety Fund)	<u>(\$250)</u>	<u>(\$250)</u>	<u>(\$250)</u>
TOTALS, EXPENDITURES	\$-	\$-	\$-
0942 Special Deposit Fund			
APPROPRIATIONS			
001 Budget Act appropriation (Hazardous Substance Account)	\$213	\$213	\$213
011 Budget Act appropriation (Asset Forfeiture Account)	<u>2,116</u>	<u>2,116</u>	<u>2,116</u>
Totals Available	\$2,329	\$2,329	\$2,329
Unexpended balance, estimated savings	<u>-819</u>	<u>-</u>	<u>-</u>
TOTALS, EXPENDITURES	\$1,510	\$2,329	\$2,329

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$92,803	\$119,987	\$112,511
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$1,834,492	\$1,983,582	\$1,896,831
2 LOCAL ASSISTANCE	2009-10*	2010-11*	2011-12*
0974 California Peace Officer Memorial Foundation Fund			
APPROPRIATIONS			
101 Budget Act appropriation	\$300	\$300	\$300
Totals Available	\$300	\$300	\$300
Unexpended balance, estimated savings	-133	-	-
TOTALS, EXPENDITURES	\$167	\$300	\$300
TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance)	\$167	\$300	\$300
TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance)	\$1,834,659	\$1,983,882	\$1,897,131

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0293 Motor Carriers Safety Improvement Fund ^s			
BEGINNING BALANCE	\$3,655	\$3,377	\$2,143
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	1,549	1,333	1,333
150300 Income From Surplus Money Investments	24	19	19
Transfers and Other Adjustments:			
FO0412 From Transportation Rate Fund per Public Utilities Code Section 5003.1	20	17	17
Total Revenues, Transfers, and Other Adjustments	\$1,593	\$1,369	\$1,369
Total Resources	\$5,248	\$4,746	\$3,512
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	4	10	6
2720 Department of the California Highway Patrol (State Operations)	1,867	2,591	2,054
8880 Financial Information System for California (State Operations)	-	2	11
Total Expenditures and Expenditure Adjustments	\$1,871	\$2,603	\$2,071
FUND BALANCE	\$3,377	\$2,143	\$1,441
Reserve for economic uncertainties	3,377	2,143	1,441

CHANGES IN AUTHORIZED POSITIONS

	<u>Positions/Personnel Years</u>			<u>Expenditures</u>		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	11,328.4	11,658.2	11,838.2	\$1,007,585	\$1,053,673	\$1,072,630
PLP Adjustments	-	-	-	-	-3,043	-
Workload and Administrative Adjustments:				\$Salary Range		
Reductions in Authorized Positions:						
Assoc Auto Equipt Standards Engineer	-	-	-1.0	\$6,898-8,378	-	-92
Assoc Govtl Program Analyst	-	-	-1.0	\$4,400-5,348	-	-58
Executive Secretary	-	-	-1.0	\$3,020-3,672	-	-40
Supervising Program Techn I	-	-	-1.0	\$2,745-3,334	-	-36
Office Techn-Typing	-	-	-3.0	\$2,686-3,264	-	-107
Maintenance Worker	-	-	-1.0	\$2,391-2,805	-	-31
Office Asst-Typing	-	-	-4.0	\$2,143-2,826	-	-119

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Custodian	-	-	-1.0	\$2,098-2,549	-	-28
Asst Clerk	-	-	-1.0	\$1,852-2,251	-	-25
Totals, Workload & Admin Adjustments:	-	-	-14.0	-	-	-536
Total Adjustments	-	-	-14.0	\$-	-\$3,043	-\$536
TOTALS, SALARIES AND WAGES	11,328.4	11,658.2	11,824.2	\$1,007,585	\$1,050,630	\$1,072,094

INFRASTRUCTURE OVERVIEW

The California Highway Patrol utilizes over 500 facilities of varying types statewide, which include 10 field division offices, 102 area commands, 8 air operations offices, 34 resident posts, 31 commercial vehicle inspection/scale facilities, 23 platform scales sites, 25 communications centers, 272 telecommunication sites, a training academy and various administrative facilities. These facilities comprise approximately 1.4 million gross square feet of state-owned properties and 400,000 gross square feet of leased property and support the Department's mission to provide the highest level of safety, service, and security to the people of California.

SUMMARY OF PROJECTS

		State Building Program Expenditures	2009-10*	2010-11*	2011-12*
50	CAPITAL OUTLAY				
	Major Projects				
50.04	CALIFORNIA HIGHWAY PATROL ENHANCED RADIO SYSTEM		\$4,012	\$9,946	\$33,658
50.04.004	Replace Towers and Vaults - Phase 1		4,012 ^{PWs}	7,126 ^{PWcs}	21,362 ^{ACs}
50.04.005	Replace Towers and Vaults - Phase 2		-	2,820 ^{PWs}	12,296 ^{ACs}
50.40	OAKHURST		\$-	\$10,171	\$-
50.40.400	Replacement Facility		-	10,171 ^{Cs}	-
50.57	SANTA FE SPRINGS		\$76	\$6,480	\$19,575
50.57.507	Replacement Facility		76 ^{Ps}	6,480 ^{AWs}	19,575 ^{Cs}
50.63	OCEANSIDE		\$-	\$1,544	\$18,317
50.63.603	Replacement Facility		-	1,544 ^{Ws}	18,317 ^{Cs}
	Totals, Major Projects		\$4,088	\$28,141	\$71,550
TOTALS, EXPENDITURES, ALL PROJECTS			\$4,088	\$28,141	\$71,550
FUNDING			2009-10*	2010-11*	2011-12*
0044	Motor Vehicle Account, State Transportation Fund		\$4,088	\$28,141	\$71,550
TOTALS, EXPENDITURES, ALL FUNDS			\$4,088	\$28,141	\$71,550

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY		2009-10*	2010-11*	2011-12*
0044 Motor Vehicle Account, State Transportation Fund				
APPROPRIATIONS				
301	Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$6,334	-	-
301	Budget Act appropriation	-	\$42,192	\$50,388
Prior year balances available:				
Item 2720-301-0044,	Budget Act of 2007, as reappropriated by Item 2720-491, Budget Act of 2010	5,230	5,154	-
Item 2720-301-0044,	Budget Act of 2009, as partially reverted by Item 2720-495, Budget Act of 2010	-	2,114	-
301	Budget Act appropriation	-	-	21,162
Totals Available		\$11,564	\$49,460	\$71,550
Unexpended balance, estimated savings		-208	-157	-

* Dollars in thousands, except in Salary Range.

2720 Department of the California Highway Patrol - Continued

3 CAPITAL OUTLAY	2009-10*	2010-11*	2011-12*
Balance available in subsequent years	-7,268	-21,162	-
TOTALS, EXPENDITURES	\$4,088	\$28,141	\$71,550
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$4,088	\$28,141	\$71,550

2740 Department of Motor Vehicles

The mission of the Department of Motor Vehicles (DMV) is to serve the public by providing licensing and motor vehicle-related services.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on DMV's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

	Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
11 Vehicle/Vessel Identification and Compliance	4,046.6	4,025.2	4,009.0	\$472,330	\$502,937	\$508,934
22 Driver Licensing and Personal Identification	2,040.0	2,015.1	2,011.9	221,764	245,802	244,286
25 Driver Safety	1,173.3	1,175.3	1,182.0	104,658	114,566	116,646
32 Occupational Licensing and Investigative Services	457.2	451.2	450.5	43,042	46,686	49,659
35 New Motor Vehicle Board	12.6	20.7	20.7	1,670	1,972	2,036
41.01 Administration	586.3	579.0	576.8	86,666	102,486	103,439
41.02 Distributed Administration	-	-	-	-86,666	-102,486	-103,439
TOTALS, POSITIONS AND EXPENDITURES (All Programs)	8,316.0	8,266.5	8,250.9	\$843,464	\$911,963	\$921,561
FUNDING				2009-10*	2010-11*	2011-12*
0042 State Highway Account, State Transportation Fund				\$47,007	\$53,170	\$47,201
0044 Motor Vehicle Account, State Transportation Fund				470,505	525,714	525,115
0054 New Motor Vehicle Board Account				1,670	1,972	2,036
0064 Motor Vehicle License Fee Account, Transportation Tax Fund				305,785	307,736	325,053
0516 Harbors and Watercraft Revolving Fund				3,660	1,539	4,252
0890 Federal Trust Fund				801	8,173	3,832
0995 Reimbursements				14,036	13,544	13,887
3162 Gold Star License Plate Account, Specialized License Plate Fund				-	115	185
TOTALS, EXPENDITURES, ALL FUNDS				\$843,464	\$911,963	\$921,561

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Vehicle Code, Division 2, Chapters 1 and 6, Divisions 3, 3.5, 5, 6, 6.5, 6.7, 7, 9, 10, Sections 20012 and 20014, 11.5, 14.85, 16.5, Chapters 1, 2, and 16.7; Revenue and Taxation Code, Division 2, Part 5; The National Voter Registration Act of 1993, Title 42 US Code; The Help America Vote Act of 2002, Family Code Section 17520; Administrative Procedures Act; Government Code, Title 2, Division 3, Part 1, Chapter 4; Health and Safety Code Section 103900; Code of Civil Procedure, Sections 1985, 1985.1, 1985.2, 1985.3, 1985.4, 1985.6, 1987; Evidence Code, Divisions 2, 3, 5, 6, 7, 8, 9, 10, and 11.

MAJOR PROGRAM CHANGES

- The Budget transfers \$71.6 million in non-Article XIX revenues from the Motor Vehicle Account to the General Fund.
- The Budget includes \$2.3 million to implement revised licensing standards for traffic violator schools.
- The Budget includes \$1.4 million for permanent workload to process temporary operating permits and administer ignition interlock device requirements.

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

DETAILED BUDGET ADJUSTMENTS

	2010-11*			2011-12*		
	General Fund	Other Funds	Personnel Years	General Fund	Other Funds	Personnel Years
Workload Budget Adjustments						
Workload Budget Change Proposals						
• Traffic Violator School Program (Ch. 599/2010)	\$-	\$-	-	\$-	\$2,308	-
• Privacy and Security Enhancement Project	-	-	-	-	918	-
• Ignition Interlock Device Workload	-	-	-	-	511	8.5
• Temporary Operating Permit Workload	-	-	-	-	369	6.6
• Consolidated Commercial Driver License Center-- Southern Los Angeles	-	-	-	-	20	-
Totals, Workload Budget Change Proposals	\$-	\$-	-	\$-	\$4,126	15.1
Other Workload Budget Adjustments						
• Employee Compensation Adjustments	\$-	-\$33,459	-	\$-	-\$3,285	-
• Retirement Rate Adjustment	-	10,791	-	-	10,791	-
• Limited Term Positions/Expiring Programs	-	-	-	-	-2,386	-
• Expiring Vehicle License Fee for Local Public Safety Programs	-	-	-	-441,516	441,516	-
• One Time Cost Reductions	-	-	-	-	-5,628	-
• Full Year Cost of New/Expanded Programs	-	-	-	-	2,672	-
• Expenditure Transfers	-	-8	-	-	-	-
• Miscellaneous Adjustments	-	-	-	-	-19,368	-
• Workforce Cap Adjustment	-	-24,533	-183.6	-	-24,533	-183.6
Totals, Other Workload Budget Adjustments	\$-	-\$47,209	-183.6	-\$441,516	\$399,779	-183.6
Totals, Workload Budget Adjustments	\$-	-\$47,209	-183.6	-\$441,516	\$403,905	-168.5
Totals, Budget Adjustments	\$-	-\$47,209	-183.6	-\$441,516	\$403,905	-168.5

PROGRAM DESCRIPTIONS

11 - VEHICLE/VESSEL IDENTIFICATION AND COMPLIANCE

The objective of this program is to establish identification and ownership of vehicles and vessels of California residents, assure compliance with various related laws, collect revenue for various state and local government programs, and provide information from vehicle and vessel records to state and local agencies.

22 - DRIVER LICENSING AND PERSONAL IDENTIFICATION

The objective of this program is to evaluate the eligibility and ability of applicants for original and renewal driver licenses, to issue driver licenses and/or identification cards to those who meet specific criteria, and to provide information from driver license and identification card records to state and local law enforcement agencies.

25 - DRIVER SAFETY

The objective of the Driver Safety program is to enhance safety for the motoring public by monitoring, suspending, and revoking the driving privilege of unsafe licensed drivers operating on public roadways.

32 - OCCUPATIONAL LICENSING AND INVESTIGATIVE SERVICES

The objective of this program is to enhance consumer protection by licensing and regulating principal segments of motor vehicle-related businesses that provide services related to the sale and use of vehicles in California and enforce laws within the Department's jurisdiction by means of criminal and administrative investigations.

35 - NEW MOTOR VEHICLE BOARD

The primary objectives of this Board are to enhance relations between the dealers and manufacturers throughout the state by resolving disputes in the new motor vehicle industry in an efficient, fair and cost-effective manner and to assist consumers in mediating disputes with dealers and manufacturers.

41 - ADMINISTRATION

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

The Administration Program provides services to support programmatic responsibilities of the department including executive, administrative, legal, legislative, policy, and information support. Support services include accounting, budgeting, facility maintenance and operations, human resources, mail operations, printing services, procurement and contracting, training, and labor relations.

DETAILED EXPENDITURES BY PROGRAM

	2009-10*	2010-11*	2011-12*
PROGRAM REQUIREMENTS			
11 VEHICLE/VESSEL IDENTIFICATION AND COMPLIANCE			
State Operations:			
0042 State Highway Account, State Transportation Fund	\$47,007	\$53,170	\$47,201
0044 Motor Vehicle Account, State Transportation Fund	104,009	128,368	120,633
0064 Motor Vehicle License Fee Account, Transportation Tax Fund	305,785	307,736	325,053
0516 Harbors and Watercraft Revolving Fund	3,660	1,539	4,252
0890 Federal Trust Fund	-	1,090	518
0995 Reimbursements	11,869	10,919	11,092
3162 Gold Star License Plate Account, Specialized License Plate Fund	-	115	185
Totals, State Operations	\$472,330	\$502,937	\$508,934
PROGRAM REQUIREMENTS			
22 DRIVER LICENSING AND PERSONAL IDENTIFICATION			
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	\$220,764	\$238,426	\$240,711
0890 Federal Trust Fund	711	6,689	2,718
0995 Reimbursements	289	687	857
Totals, State Operations	\$221,764	\$245,802	\$244,286
PROGRAM REQUIREMENTS			
25 DRIVER SAFETY			
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	\$103,625	\$112,619	\$114,716
0890 Federal Trust Fund	-	17	-
0995 Reimbursements	1,033	1,930	1,930
Totals, State Operations	\$104,658	\$114,566	\$116,646
PROGRAM REQUIREMENTS			
32 OCCUPATIONAL LICENSING AND INVESTIGATIVE SERVICES			
State Operations:			
0044 Motor Vehicle Account, State Transportation Fund	\$42,107	\$46,301	\$49,055
0890 Federal Trust Fund	90	377	596
0995 Reimbursements	845	8	8
Totals, State Operations	\$43,042	\$46,686	\$49,659
PROGRAM REQUIREMENTS			
35 NEW MOTOR VEHICLE BOARD			
State Operations:			
0054 New Motor Vehicle Board Account	\$1,670	\$1,972	\$2,036
Totals, State Operations	\$1,670	\$1,972	\$2,036
TOTALS, EXPENDITURES			
State Operations	843,464	911,963	921,561
Totals, Expenditures	\$843,464	\$911,963	\$921,561

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

EXPENDITURES BY CATEGORY

1 State Operations	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
PERSONAL SERVICES						
Authorized Positions (Equals Sch. 7A)	8,316.0	8,672.8	8,640.3	\$340,725	\$405,895	\$410,488
Total Adjustments	-	-	-145.0	-	-21,476	-10,878
Estimated Salary Savings	-	-406.3	-244.4	-	-25,148	-18,613
Net Totals, Salaries and Wages	8,316.0	8,266.5	8,250.9	\$340,725	\$359,271	\$380,997
Staff Benefits	-	-	-	162,215	184,795	192,997
Totals, Personal Services	8,316.0	8,266.5	8,250.9	\$502,940	\$544,066	\$573,994
OPERATING EXPENSES AND EQUIPMENT				\$340,524	\$367,897	\$347,567
TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS				\$843,464	\$911,963	\$921,561
(State Operations)						

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$52,731	-	-
Adjustment per Section 3.60	49	-	-
Reduction per Section 3.90	-3,755	-	-
Reduction per Section 15.30	-340	-	-
Adjustment per Section 3.55	-41	-	-
001 Budget Act appropriation	-	\$55,821	\$47,201
Allocation for employee compensation	-	207	-
Adjustment per Section 3.60	-	606	-
Reduction per Section 3.90	-	-1,378	-
Reduction per Control Section 3.91	-	-2,086	-
Totals Available	\$48,644	\$53,170	\$47,201
Unexpended balance, estimated savings	-1,637	-	-
TOTALS, EXPENDITURES	\$47,007	\$53,170	\$47,201
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$532,386	-	-
Adjustment per Section 3.60	507	-	-
Reduction per Section 3.90	-38,471	-	-
Reduction per Section 15.30	-5,397	-	-
Transfer to Legislative Claims (9670)	-1	-	-
Adjustment per Section 3.55	-416	-	-
001 Budget Act appropriation	-	\$552,895	\$525,115
Allocation for employee compensation	-	2,125	-
Adjustment per Section 3.60	-	6,206	-
Reduction per Section 3.90	-	-14,126	-
Transfer to Legislative Claims (9670)	-	-8	-
Reduction per Control Section 3.91	-	-21,378	-

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
002 Budget Act appropriation	7,926	-	-
011 Budget Act appropriation (transfer to the General Fund) as added by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	(70,000)	-	-
011 Budget Act appropriation (transfer to the General Fund)	-	(72,200)	(71,600)
012 Budget Act appropriation (transfer to General Fund)	-	(180,000)	-
Totals Available	\$496,534	\$525,714	\$525,115
Unexpended balance, estimated savings	-26,029	-	-
TOTALS, EXPENDITURES	\$470,505	\$525,714	\$525,115
0054 New Motor Vehicle Board Account			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,076	\$2,098	\$2,036
Allocation for employee compensation	-	13	-
Adjustment per Section 3.60	3	39	-
Reduction per Section 3.90	-177	-62	-
Reduction per Control Section 3.91	-	-116	-
Totals Available	\$1,902	\$1,972	\$2,036
Unexpended balance, estimated savings	-232	-	-
TOTALS, EXPENDITURES	\$1,670	\$1,972	\$2,036
0064 Motor Vehicle License Fee Account, Transportation Tax Fund			
APPROPRIATIONS			
001 Budget Act appropriation as amended by Chapter 1, Statutes of 2009, Fourth Extraordinary Session	\$343,024	-	-
Adjustment per Section 3.60	322	-	-
Reduction per Section 3.90	-24,424	-	-
Reduction per Section 15.30	-2,211	-	-
Adjustment per Section 3.55	-264	-	-
001 Budget Act appropriation	-	\$324,987	\$325,053
Allocation for employee compensation	-	1,349	-
Adjustment per Section 3.60	-	3,940	-
Reduction per Section 3.90	-	-8,967	-
Reduction per Control Section 3.91	-	-13,573	-
Totals Available	\$316,447	\$307,736	\$325,053
Unexpended balance, estimated savings	-10,662	-	-
TOTALS, EXPENDITURES	\$305,785	\$307,736	\$325,053
0516 Harbors and Watercraft Revolving Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$4,405	\$1,539	\$4,252
Totals Available	\$4,405	\$1,539	\$4,252
Unexpended balance, estimated savings	-745	-	-
TOTALS, EXPENDITURES	\$3,660	\$1,539	\$4,252
0890 Federal Trust Fund			
APPROPRIATIONS			
001 Budget Act appropriation	\$2,435	\$8,173	\$3,832
Budget Adjustment	-1,634	-	-
TOTALS, EXPENDITURES	\$801	\$8,173	\$3,832
0995 Reimbursements			
APPROPRIATIONS			
Reimbursements	\$14,036	\$13,544	\$13,887
3162 Gold Star License Plate Account, Specialized License Plate Fund			
APPROPRIATIONS			

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

1 STATE OPERATIONS	2009-10*	2010-11*	2011-12*
001 Budget Act appropriation	-	\$115	\$185
TOTALS, EXPENDITURES	\$-	\$115	\$185
TOTALS, EXPENDITURES, ALL FUNDS (State Operations)	\$843,464	\$911,963	\$921,561

FUND CONDITION STATEMENTS

	2009-10*	2010-11*	2011-12*
0044 Motor Vehicle Account, State Transportation Fund^s			
BEGINNING BALANCE	\$180,385	\$369,067	\$110,846
Prior year adjustments	102,445	-	-
Adjusted Beginning Balance	\$282,830	\$369,067	\$110,846
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
114100 Motor Vehicle Registration	2,037,041	2,052,000	2,100,000
114200 Driver's License Fees	241,782	237,000	194,500
114300 Other Motor Vehicle Fees	36,801	38,033	37,533
114400 Identification Card Fees	25,296	25,500	26,000
114500 Lien Sale Application Fees	1,097	1,108	1,119
120900 Off-Highway Vehicle Fees	6,128	6,000	6,500
121000 Liquor License Fees	364	367	371
125600 Other Regulatory Fees	6,471	6,536	6,601
125700 Other Regulatory Licenses and Permits	17,849	18,028	18,208
131700 Misc Revenue From Local Agencies	31	31	31
131900 Rev Local Govt Agencies-Cost Recoveries	8,850	8,939	9,028
140900 Parking Lot Revenues	484	489	494
141200 Sales of Documents	3,066	3,097	3,128
142500 Miscellaneous Services to the Public	66,955	67,500	68,500
143000 Personalized License Plates	5	5	5
150300 Income From Surplus Money Investments	2,762	3,000	3,000
152200 Rentals of State Property	53	54	54
161000 Escheat of Unclaimed Checks & Warrants	2,304	2,326	2,350
161400 Miscellaneous Revenue	3,322	3,355	3,388
163000 Settlements/Judgments(not Anti-trust)	216	218	221
164000 Uninsured Motorist Fees	445	449	454
164100 Traffic Violations	10,108	10,209	10,311
164400 Civil & Criminal Violation Assessment	11,983	12,103	12,224
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2740-012-0044, Budget Act of 2010	-	-	40,000
FO0115 From Air Pollution Control Fund loan repayment per Item 3900-011-0044, Budget Act of 2007	-	5,500	5,500
FO0115 From Air Pollution Control Fund loan repayment per Item 0555-011-0044, Budget Act of 2007	-	100	100
FO0140 From California Environmental License Plate Fund per Public Resources Code Section 21191	3,890	3,890	3,890
TO0001 To General Fund loan per Item 2740-012-0044, Budget Act of 2010	-	-180,000	-
TO0001 To General Fund per Item 2740-011-0044, Budget Act of 2009	-70,000	-	-
TO0001 To General Fund per Government Code Section 16475	-737	-60	-60
TO0001 To General Fund per Item 2740-011-0044, Budget Act of 2011	-	-	-71,600
TO0001 To General Fund per Item 2740-011-0044, Budget Act of 2010	-	-72,200	-

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

	2009-10*	2010-11*	2011-12*
TO0042 To State Highway Account, State Transportation Fund per Government Code Section 16475	-471	-500	-500
TO0064 To Motor Vehicle License Fee Account, Transportation Tax Fund per Government Code Section 16475	-253	-300	-300
TO0140 To California Environmental License Plate Fund per Government Code Section 16475	-21	-25	-25
TO0261 To Off Highway License Fee Fund per Government Code Section 16475	-1	-5	-5
TO0263 To Off-Highway Vehicle Trust Fund per Government Code Section 16475	-9	-9	-9
TO0840 To California Motorcyclist Safety Fund per Government Code Section 16475	-1	-5	-5
TO8038 To Donate Life California Trust Subaccount per Government	-	-5	-5
Total Revenues, Transfers, and Other Adjustments	<u>\$2,415,810</u>	<u>\$2,252,728</u>	<u>\$2,481,001</u>
Total Resources	\$2,698,640	\$2,621,795	\$2,591,847
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0250 Judicial Branch (State Operations)	176	190	194
0502 California Technology Agency (Capital Outlay)	-	1,406	-
0520 Secretary for Business, Transportation and Housing (State Operations)	1,433	1,433	1,571
0555 Secretary for Environmental Protection (State Operations)	1,813	1,838	1,869
0820 Department of Justice (State Operations)	23,690	24,146	24,709
0840 State Controller (State Operations)	3,714	8,984	4,927
1730 Franchise Tax Board (State Operations)	2,218	2,913	3,014
2700 Office of Traffic Safety (State Operations)	366	406	428
2720 Department of the California Highway Patrol			
State Operations	1,671,381	1,778,903	1,699,617
Capital Outlay	4,088	28,141	71,550
2740 Department of Motor Vehicles			
State Operations	470,505	525,714	525,115
Capital Outlay	33,031	6,584	13,532
3360 Energy Resources Conservation and Development Commission (State Operations)	139	141	140
3900 Air Resources Board			
State Operations	92,377	101,353	107,180
Local Assistance	10,111	10,111	10,111
3980 Office of Environmental Health Hazard Assessment (State Operations)	2,702	3,675	3,988
4265 Department of Public Health (State Operations)	1,032	1,535	1,590
8570 Department of Food and Agriculture (State Operations)	5,431	6,533	6,612
8880 Financial Information System for California (State Operations)	-	1,508	2,117
8885 Commission on State Mandates (Local Assistance)	2,150	2,625	2,940
9651 Prefunding Health and Dental Benefits for Annuitants (State Operations)	3,215	2,802	-
9670 Equity Claims of California Victim Compensation and Government Claims Board and (State Operations)	1	8	-
Total Expenditures and Expenditure Adjustments	<u>\$2,329,573</u>	<u>\$2,510,949</u>	<u>\$2,481,204</u>
FUND BALANCE	\$369,067	\$110,846	\$110,643
Reserve for economic uncertainties	369,067	110,846	110,643
0054 New Motor Vehicle Board Account ^s			
BEGINNING BALANCE	\$2,064	\$1,504	\$760
Prior year adjustments	<u>27</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$2,091	\$1,504	\$760
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

	2009-10*	2010-11*	2011-12*
121300 New Motor Vehicle Dealer License Fee	1,076	1,220	1,281
142500 Miscellaneous Services to the Public	2	3	3
161400 Miscellaneous Revenue	5	5	5
Total Revenues, Transfers, and Other Adjustments	<u>\$1,083</u>	<u>\$1,228</u>	<u>\$1,289</u>
Total Resources	\$3,174	\$2,732	\$2,049
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
2740 Department of Motor Vehicles (State Operations)	<u>1,670</u>	<u>1,972</u>	<u>2,036</u>
Total Expenditures and Expenditure Adjustments	<u>\$1,670</u>	<u>\$1,972</u>	<u>\$2,036</u>
FUND BALANCE	\$1,504	\$760	\$13
Reserve for economic uncertainties	1,504	760	13
0064 Motor Vehicle License Fee Account, Transportation Tax Fund^s			
BEGINNING BALANCE	-\$23,368	\$6,119	\$1
Prior year adjustments	<u>31,867</u>	-	-
Adjusted Beginning Balance	\$8,499	\$6,119	\$1
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
113600 Motor Vehicle License (In-Lieu) Fees	481,581	490,398	514,923
150300 Income From Surplus Money Investments	74	100	100
Transfers and Other Adjustments:			
FO0044 From Motor Vehicle Account, State Transportation Fund per Government Code Section 16475	253	300	300
Total Revenues, Transfers, and Other Adjustments	<u>\$481,908</u>	<u>\$490,798</u>	<u>\$515,323</u>
Total Resources	\$490,407	\$496,917	\$515,324
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0840 State Controller (State Operations)	18	27	482
1730 Franchise Tax Board (State Operations)	4,164	5,475	5,662
2740 Department of Motor Vehicles			
State Operations	305,785	307,736	325,053
Capital Outlay	22,837	4,618	9,519
8880 Financial Information System for California (State Operations)	-	163	1,408
9430 Apportionment of Motor Vehicle License Fees (Local Assistance)	<u>151,484</u>	<u>178,897</u>	<u>173,199</u>
Total Expenditures and Expenditure Adjustments	<u>\$484,288</u>	<u>\$496,916</u>	<u>\$515,323</u>
FUND BALANCE	\$6,119	\$1	\$1
Reserve for economic uncertainties	6,119	1	1
0487 Financial Responsibility Penalty Account^s			
BEGINNING BALANCE	\$1,100	\$904	\$904
Prior year adjustments	<u>-7</u>	-	-
Adjusted Beginning Balance	\$1,093	\$904	\$904
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
164100 Traffic Violations	890	1,000	1,000
Transfers and Other Adjustments:			
TO0001 To General Fund per Vehicle Code Section 16072	<u>-1,079</u>	<u>-1,000</u>	<u>-1,000</u>
Total Revenues, Transfers, and Other Adjustments	<u>-\$189</u>	-	-
Total Resources	<u>\$904</u>	<u>\$904</u>	<u>\$904</u>
FUND BALANCE	\$904	\$904	\$904
Reserve for economic uncertainties	904	904	904

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

CHANGES IN AUTHORIZED POSITIONS

	Positions/Personnel Years			Expenditures		
	2009-10	2010-11	2011-12	2009-10*	2010-11*	2011-12*
Totals, Authorized Positions	8,316.0	8,672.8	8,640.3	\$340,725	\$405,895	\$410,488
Furlough Adjustments	-	-	-	-	-13,458	-
PLP Adjustments	-	-	-	-	-8,018	-
Workload and Administrative Adjustments				Salary Range		
Licensing Operations Division						
Driver Safety Branch						
Senior Motor Vehicle Technician	-	-	6.0	2,951-3,588	-	235
Motor Vehicle Technician	-	-	3.0	2,450-3,209	-	102
Field Operations Division						
General Administration						
Manager I	-	-	1.0	3,338-4,055	-	44
Motor Vehicle Field Representative	-	-	6.0	2,280-3,209	-	198
Workforce Cap Adjustment						
Temporary Help	-	-	-161.0	2,280-3,209	-	-6,215
Overtime	-	-	-	-	-	-5,242
Totals, Workload and Admin Adjustments	-	-	-145.0	\$-	-\$21,476	-\$10,878
Total Adjustments	-	-	-145.0	\$-	-\$21,476	-\$10,878
TOTALS, SALARIES AND WAGES	8,316.0	8,672.8	8,495.3	\$340,725	\$384,419	\$399,610

INFRASTRUCTURE OVERVIEW

The Department of Motor Vehicles operates 229 facilities statewide consisting of an estimated 1.6 million gross square feet of state-owned properties and 1.0 million gross square feet of agency-leased properties. Many offices contain multiple programs, which include vehicle/vessel identification and compliance, driver license and personal identification, driver safety, occupational licensing and investigation. These properties support the Department's mission to protect the public's interest in vehicle management, ownership and safety as well as the regulation of the motor vehicle industry and the protection of personal information and identity.

SUMMARY OF PROJECTS

		State Building Program Expenditures	2009-10*	2010-11*	2011-12*
71	CAPITAL OUTLAY				
	Major Projects				
71.03	SACRAMENTO HEADQUARTERS BUILDING		\$58,922	\$878	\$-
71.03.018	1st Floor Asbestos Removal and Seismic Retrofit		-	878 ^{Cs}	-
71.03.024	6th Floor Asbestos Removal, Seismic Retrofit, and Building Re-skin		58,922 ^{Cs}	-	-
71.06	REDDING		\$-	\$237	\$2,912
71.06.020	Field Office Reconfiguration Project		-	237 ^{Ws}	2,912 ^{Cs}
71.20	SAN BERNARDINO		\$41	\$2,239	\$-
71.20.020	Field Office Reconfiguration Project		41 ^{Ws}	2,239 ^{Cs}	-
71.31	GRASS VALLEY		\$-	\$-	\$648
71.31.010	Field Office Replacement Project		-	-	648 ^{Ps}
71.37	OAKLAND		\$-	\$155	\$2,078
71.37.011	Second Floor Reconfiguration Project-Field Office Project		-	155 ^{Ws}	2,078 ^{Cs}
71.43	STOCKTON		\$-	\$3,495	\$-
71.43.020	Field Office Reconfiguration Project		-	3,495 ^{Cs}	-
71.61	FRESNO		\$-	\$1,174	\$18,719
71.61.010	Field Office Replacement Project		-	1,174 ^{Ws}	18,719 ^{Cs}
71.63	VICTORVILLE		\$-	\$3,659	\$-

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

State Building Program Expenditures	2009-10*	2010-11*	2011-12*
71.63.010 Field Office Reconfiguration Project	-	3,659 ^{Cs}	-
Totals, Major Projects	\$58,963	\$11,837	\$24,357
TOTALS, EXPENDITURES, ALL PROJECTS	\$58,963	\$11,837	\$24,357

FUNDING	2009-10*	2010-11*	2011-12*
0042 State Highway Account, State Transportation Fund	\$3,095	\$635	\$1,306
0044 Motor Vehicle Account, State Transportation Fund	33,031	6,584	13,532
0064 Motor Vehicle License Fee Account, Transportation Tax Fund	<u>22,837</u>	<u>4,618</u>	<u>9,519</u>
TOTALS, EXPENDITURES, ALL FUNDS	\$58,963	\$11,837	\$24,357

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

3 CAPITAL OUTLAY	2009-10*	2010-11*	2011-12*
0042 State Highway Account, State Transportation Fund			
APPROPRIATIONS			
301 Budget Act appropriation	-	\$1,853	\$39
Prior year balances available:			
Item 2740-301-0042, Budget Act of 2002	-	0	-
Augmentation per Government Code Sections 16352, 16409 and 16354	-	49	-
Item 2740-301-0042, Budget Act of 2007, as reappropriated by Item 2740-490, Budget Act of 2008	\$4,326	-	-
Augmentation per Government Code Sections 16352, 16409 and 16354	2	-	-
Item 2740-301-0042, Budget Act of 2010	-	-	<u>1,267</u>
Totals Available	\$4,328	\$1,902	\$1,306
Unexpended balance, estimated savings	-1,233	-	-
Balance available in subsequent years	-	<u>-1,267</u>	-
TOTALS, EXPENDITURES	\$3,095	\$635	\$1,306
0044 Motor Vehicle Account, State Transportation Fund			
APPROPRIATIONS			
301 Budget Act appropriation	-	\$19,226	\$383
Prior year balances available:			
Item 2740-301-0044, Budget Act of 2002	-	0	-
Augmentation per Government Code Sections 16352, 16409 and 16354	-	507	-
Item 2740-301-0044, Budget Act of 2007, as reappropriated by Item 2740-490, Budget Act of 2008	\$46,155	-	-
Augmentation per Government Code Sections 16352, 16409 and 16354	23	-	-
Item 2740-301-0044, Budget Act of 2010	-	-	<u>13,149</u>
Totals Available	\$46,178	\$19,733	\$13,532
Unexpended balance, estimated savings	-13,147	-	-
Balance available in subsequent years	-	<u>-13,149</u>	-
TOTALS, EXPENDITURES	\$33,031	\$6,584	\$13,532
0064 Motor Vehicle License Fee Account, Transportation Tax Fund			
APPROPRIATIONS			
301 Budget Act appropriation	-	\$13,589	\$226
Prior year balances available:			
Item 2740-301-0064, Budget Act of 2002	-	0	-
Augmentation per Government Code Sections 16352, 16409 and 16354	-	322	-
Item 2740-301-0064, Budget Act of 2007, as reappropriated by Item 2740-490, Budget Act of 2008	\$31,910	-	-
Augmentation per Government Code Sections 16352, 16409 and 16354	16	-	-

* Dollars in thousands, except in Salary Range.

2740 Department of Motor Vehicles - Continued

3 CAPITAL OUTLAY	2009-10*	2010-11*	2011-12*
Item 2740-301-0064, Budget Act of 2010	-	-	9,293
Totals Available	\$31,926	\$13,911	\$9,519
Unexpended balance, estimated savings	-9,089	-	-
Balance available in subsequent years	-	-9,293	-
TOTALS, EXPENDITURES	\$22,837	\$4,618	\$9,519
TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)	\$58,963	\$11,837	\$24,357

* Dollars in thousands, except in Salary Range.