



Awards for Innovation in Higher Education:

Report on the Proposed Uses of Funds

Santa Ana College on Behalf of the Santa Ana Partnership

QUESTION #1: Provide the name of the coordinating institution and the names of any other participants that are eligible to receive funds (if a distribution of funds is planned).

Our coordinating institution is Santa Ana College, with California State University, Fullerton, and the University of California, Irvine included as eligible institutions we have identified for Innovation Award fund transfers.

QUESTION #2: Provide the amount of the award, as approved by the Committee on Awards for Innovation in Higher Education.

On Friday, March 20th 2015 we were notified by the Department of Finance on behalf of the Committee on Awards for Innovation in Higher Education that we were selected to receive a \$5 million award.

QUESTION #3: Provide the amount of funds proposed for expenditure by the coordinating institution, a narrative describing the purposes for which those funds would be expended, and a timeline for expenditure. This narrative should explain how the purposes described are (1) one-time in nature and (2) related to the priorities of the Awards for Innovation in Higher Education.

Santa Ana College, as the backbone organization for the Santa Ana Partnership works through the Santa Ana College Foundation to serve as the fiscal agent for the collaborative across many of the innovative programs that are currently in place. This relationship will continue, and as such, the college and its foundation will be responsible for submitting all accountability documents associated with the Award for Innovation, including but not limited to, all required progress and accountability reports. We plan to deploy the Award for Innovation funds as detailed below, and appreciate your review and consultation as we move forward.

Amount & Expenditure Timeline	Brief Narrative Describing the Purpose of the Funds	Confirmation that the purposes are one-time and related to the Awards for Innovation Priorities										
<p>\$250,000 <i>This segment includes one-time direct fund allocations.</i></p> <p>Budget <u>Estimates</u>:</p> <table border="0"> <tr> <td>Personnel</td> <td>\$69,000</td> </tr> <tr> <td>Hardware</td> <td>\$86,000</td> </tr> <tr> <td>Software</td> <td>\$35,000</td> </tr> <tr> <td>Programming</td> <td>\$60,000</td> </tr> <tr> <td>TOTAL</td> <td>\$250,000</td> </tr> </table>	Personnel	\$69,000	Hardware	\$86,000	Software	\$35,000	Programming	\$60,000	TOTAL	\$250,000	<p>Research and Evaluation:</p> <p>The Santa Ana Partnership has a long history of data sharing and agreement upon metrics of progress as evidenced by the data sharing MOU's and the partnership scorecard. This one-time funding will move the Partnership to the next level by increasing capacity for evaluation of student progress by facilitating the collection, analysis and presentation of data from our separate institutional locations. An investment to upgrade the Partnership's evaluation hub, which is located at the University of California, Irvine, will provide the required technology infrastructure to accommodate the anticipated needs of the Santa Ana Partnership Innovation work. The update will create a shared workspace for the Partnership, and will include new equipment for file, web and data services, expand file/data/document storage, and make data analysis and display tools accessible to all of the institutions in the Partnership. This investment will directly support the critical goals of enabling data-driven decision making including the development of predictive models and storing greater amounts of student data to enable longitudinal analysis. This increased capacity will also facilitate the sharing of evaluation results with stakeholders and support the Partnership to pursue additional resources.</p>	<p>Funding will be used to purchase hardware and software. Temporary personnel will be employed to train Partnership staff on how to use the infrastructure, and customize the infrastructure as needed.</p> <p>The permanent capacity that will be created by this investment will take the strong foundation of data sharing and analysis that exists within the Partnership and create the ability for partners to access key data elements linked to achieving the B.A. This will enable us to pinpoint progress and impediments and address them with policy, practice, and interventions.</p> <p>Our Research & Evaluation Team will create a structure for developing, implementing, monitoring, and disseminating the resulting products.</p>
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TOTAL	\$250,000											
<p>\$1 million</p> <p>Timeline: The funds will be fully encumbered within 3 months of receipt.</p>	<p>Award for Innovation in Higher Education - Early College Trust:</p> <p>This endowment is being established at SAC to ensure that our impact on students expands immediately and thereafter as a result of work made possible by the Award for Innovation. All endowment proceeds will be dedicated to the direct costs (technology, textbooks, and academic materials) associated with enabling significant numbers of secondary students to attend college early in Santa Ana. Initial strategic work will include:</p> <ul style="list-style-type: none"> • <u>Phase I-Expanding Early College access</u> for local students so that students from all SAUSD feeder schools could opt in to a robust 	<p>The proceeds from the fund would be available to support innovation that would shorten the time required to earn the B.A. and reduce the costs of the B.A. as compared to local historical data with a minimum of 500 additional SAUSD students annually starting college early.</p>										

	<p>program of advanced study while still in high school. This strategy will be 1) centered on expanding articulated pathways where students can receive dual credit and 2) releasing eligible seniors from school 2 hours early to attend classes at SAC, some of which may be offered on high school campuses.</p> <ul style="list-style-type: none"> • <u>Phase II-Moving Towards 3 year & 4 year B.A. programs for Early College students and others committed to attending college full time.</u> SAUSD and SAC commit to leveraging an equal or greater amount of funds to the Early College effort in the segment of the Award for Innovation proposed uses of funds. 	
<p>\$1 million</p> <p>Timeline: The funds will be fully encumbered within 3 months of receipt.</p>	<p>Award for Innovation in Higher Education - Parent Leadership Trust: Create an endowment in the SAC Foundation dedicated to expanding parent efforts focused on peer training so parents will know exactly how to help their students get into and through college. Parent work is centered on “The Ten Commandments of Helping Your Child Complete College on Time” and is a pre-condition of helping students by first ensuring that their parents have the college knowledge to help their children get financial aid, attend full time, and graduate. Specific funding will be exclusively for parent stipends to build the program and help other communities adopt the practices.</p>	<p>Parent support and engagement is <u>the</u> critical link to college success and completion, especially in first generation communities such as Santa Ana. In Santa Ana, the Padres Promotores de la Educación reach over 35,000 parents annually but the demand is great in the community and statewide in regions that want to create similar efforts.</p>
<p>\$750,000</p> <p>Timeline: The funds will be fully encumbered within 3 months of receipt.</p>	<p>Award for Innovation in Higher Education – SAC Scholars Fund: Establish an endowment to support high achieving, low-income students from SAUSD who are enrolled at SAC enroute to the university to enable them to fast-track their university transfer and B.A. attainment with renewable awards based on good academic standing to help the students reduce outside work hours and focus on academics. The proceeds of the endowment will be awarded renewable scholarships for B.A.- intended students attending full time and year round with financial need beginning in 2016 and continuing with a new cohort each year thereafter.</p>	<p>Attending full time and year-round is a huge completion accelerator in B.A. attainment, and this strategy will be dedicated to making that a reality for a minimum of 25 more students a year. In establishing this endowment, SAC commits to bringing the amount of fund up to \$1 million before the initial scholarship distribution is made and will confirm all Partnership services linked to our Adelante initiative – accelerated early college success and pathway to transfer.</p>

QUESTION #4: The amount of funds to be transferred by the coordinating institution to participants eligible to receive funds, the amount of funds proposed for expenditure by each participant, a narrative describing the purposes for which those funds would be expended, and a timeline for expenditure. The narrative should explain how the purposes described are (1) one-time in nature and (2) related to the priorities of the Awards for Innovation in Higher Education.

Transfer Amount & Expenditure Timeline	Brief Narrative Describing the Purpose of the Funds	Confirmation that the purposes are one-time and related to the Awards for Innovation Priorities
<p>Timeline: The funds will be fully encumbered within 3 months of receipt.</p> <p>\$1 million to UCI</p> <p>\$1 million to CSUF</p>	<p>We plan to establish Santa Ana Partnership Award for Innovation endowments in which partner universities would freshly commit to updated terms and conditions for guaranteed transfer pathways from SAC to CSUF and UCI and to matching the funds 1:1 as part of formal agreements linked to the distribution of the initial funds. Proceeds from the endowments will be <u>exclusively</u> dedicated to underwriting the higher education costs of students coming from SAUSD to UCI and CSUF via SAC as part of the SAC Adelante Transfer Guarantee. Students going directly to UCI and CSUF as freshman from SAUSD will also be eligible to receive scholarships. Good academic standing, financial need, and attendance on a full-time basis will be required. By receiving the funds, CSUF and UCI commit to continuing to provide the guaranteed Adelante pathway for students from SAUSD who attend SAC for their lower division studies and to updating the agreements as part of the fund transfer MOU.</p> <p>CSUF, through the Center for Scholars and Scholarships, commits to providing these students with critical support services such as mentoring, advisement, and workshops. UCI commits to a comprehensive student support program for SAUSD students who matriculate as either transfers, via SAC or enroll directly from SAUSD. Services include eligibility for book scholarships, fall orientation, quarterly academic success workshops, one-on one advising, participation in a mentoring program and invitation to apply for internship and research support programming.</p>	<p>The scholarship endowments that are being created as one-time investments target one of the most significant restraining forces related to completion of the B.A. --- students who are short of funds needed to enroll full time and substitute work hours for coursework which results in attending college on a part-time basis. The one-time act of creating these <i>Award for Innovation</i> Santa Ana Partnership Scholarships will transform one-time investments into a legacy of B.A. completion.</p> <p>Should any endowment partner be unable to meet the terms represented in this plan, the available funds will be distributed across the remaining qualifying endowments.</p>

Distribution of Award Funds to the Santa Ana Partnership:

Award funds should be sent directly to Santa Ana College via the Rancho Santiago Community College District with the understanding that they will then be transferred to the Santa Ana College Foundation, which will serve as the fiscal agent for the award, including all of the associated reporting and accountability obligations. All fund transfers will be made from there to eligible institutions as described above via a Memorandum of Understanding. The mailing address is:

The Rancho Santiago Community College District

2323 North Broadway

Santa Ana, CA 92706

Attention: Fiscal Services



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April 6, 2015

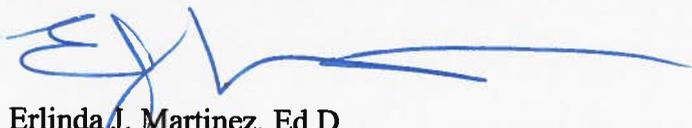
Mr. Michael Cohen, Director
California Department of Finance
Education Systems Unit—Innovation Awards
7th Floor
915 L. Street
Sacramento, CA 95814

Dear Mr. Cohen,

On behalf of both Santa Ana College and the Santa Ana Partnership, I am submitting this letter along with our plan for the disposition of the Award for Innovation in Higher Education funds to confirm that I have been directly involved in the development of the Partnership's proposed plan and to confirm that I wholeheartedly endorse it.

It is impossible to summarize the impact that this award has had on our collaborative and the community we serve. The attached plan reflects a careful consideration of the criteria of the award and even as we embrace new innovations with the added capacity that comes with the tangible and intangible benefits of the Award. We feel we are making history as we partner with CA Forward and the community of innovators that comprise everyone who has applied and will apply for an Award for Innovation in Higher Education.

With excitement about what lies ahead,



Erlinda J. Martinez, Ed.D.
President

PRESIDENT: Erlinda J. Martinez, Ed.D.

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